



# negative translations and normal modality

**Tadeusz Litak, Miriam Polzer and Ulrich Rabenstein**

Informatik 8, FAU Erlangen-Nuremberg

she's here too



Even valuation

a standard notion at FSCD

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Even valuation  $V(p) := \emptyset$

a standard notion at FSCD

increasingly so too, but ...

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- ▶ A **normal modality**  $\Box$  is one that distributes over finite meets/products/conjunctions, i.e.,

- \* algebraically:  $\Box(\phi \wedge \psi) = \Box\phi \wedge \Box\psi$  and  $\Box\top = \top$

- \* categorically: monoidal wrt cartesian structure

- \* deductively:  $\vdash \Box(\phi \rightarrow \psi) \rightarrow \Box\phi \rightarrow \Box\psi$  and  $\frac{\vdash \psi}{\vdash \Box\psi}$

The last rule known as **Necessitation** or **Gödel rule**

- ▶ The resulting extension of the intuitionistic propositional calculus (**IPC**) is denoted as  **$i_{\Box}\mathbf{K}$**

Let us denote its language by  $\mathcal{L}_{\Box}$

- ▶ Typically, one has more structure and more **axioms**

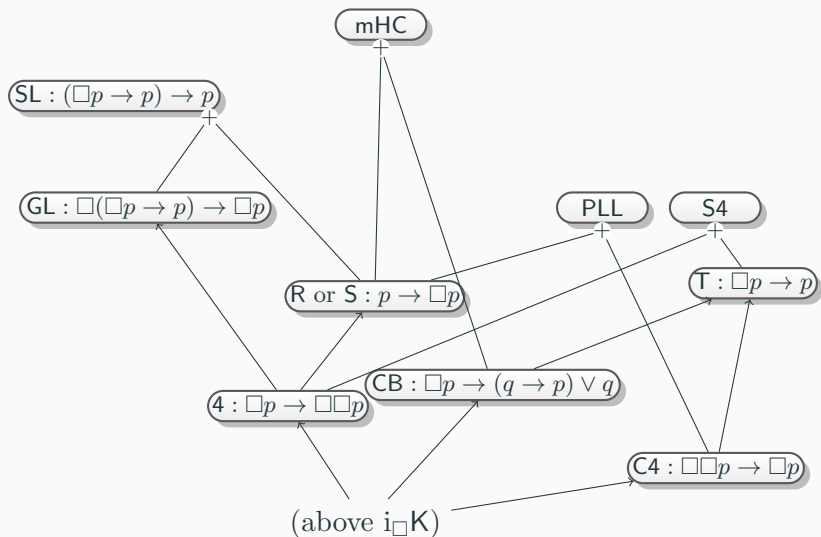
We need a general notion

- ▶ **Normal modal logic**: a subset of  $\mathcal{L}_{\Box}$  containing  $i_{\Box}\mathbf{K}$  and closed under Necessitation, MP and substitution

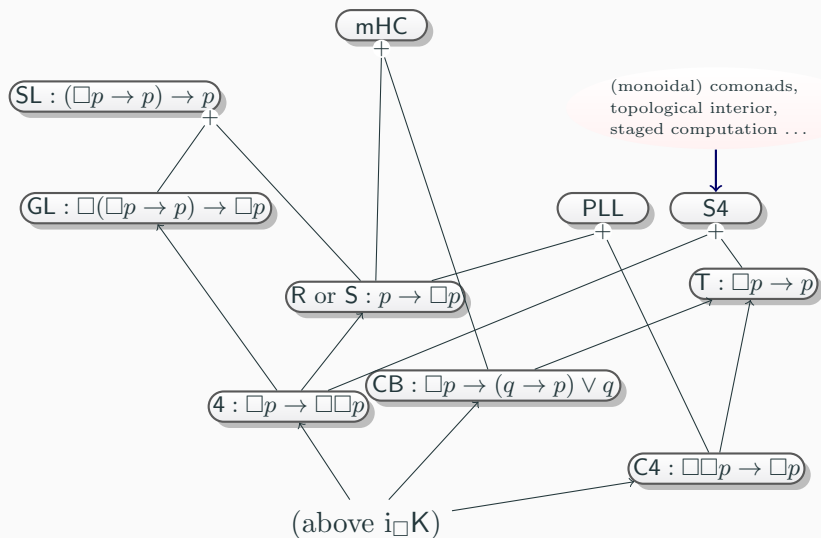
Algebraically corresponds to a **variety**: an equational class of Heyting algebras with  $\Box$

Later, we'll see a Gentzen/ND-style formulation

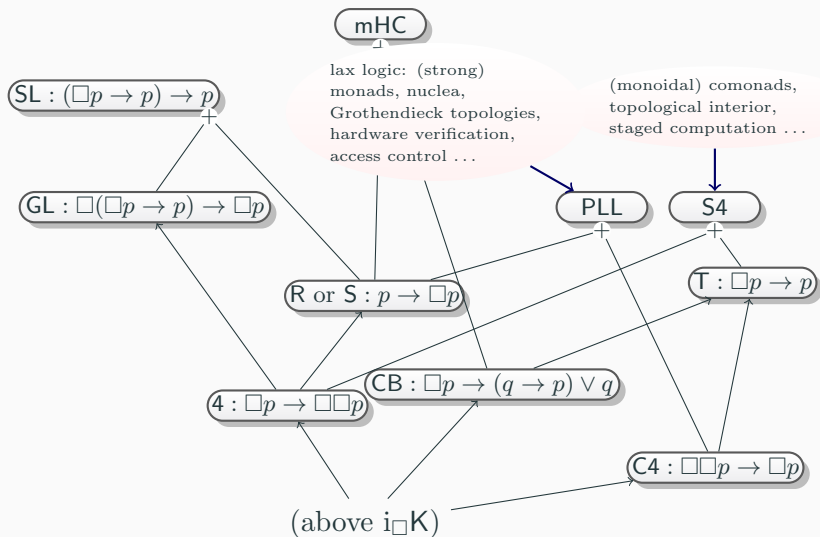
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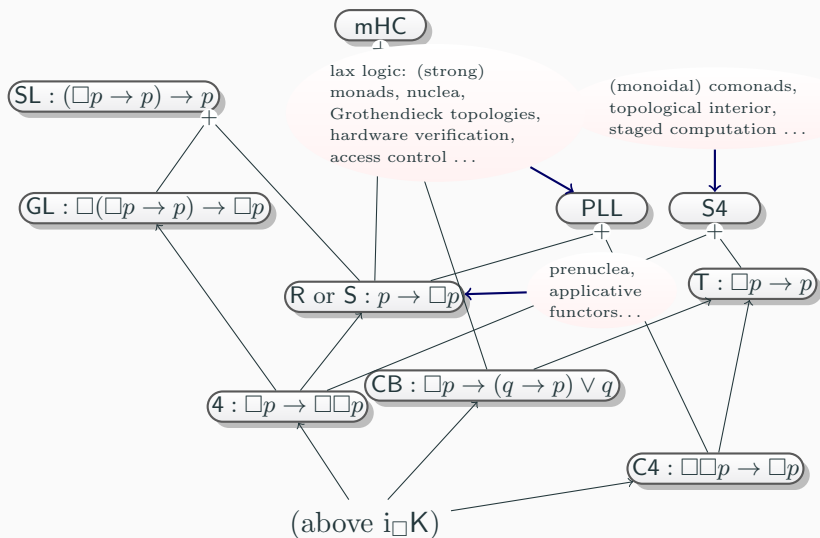


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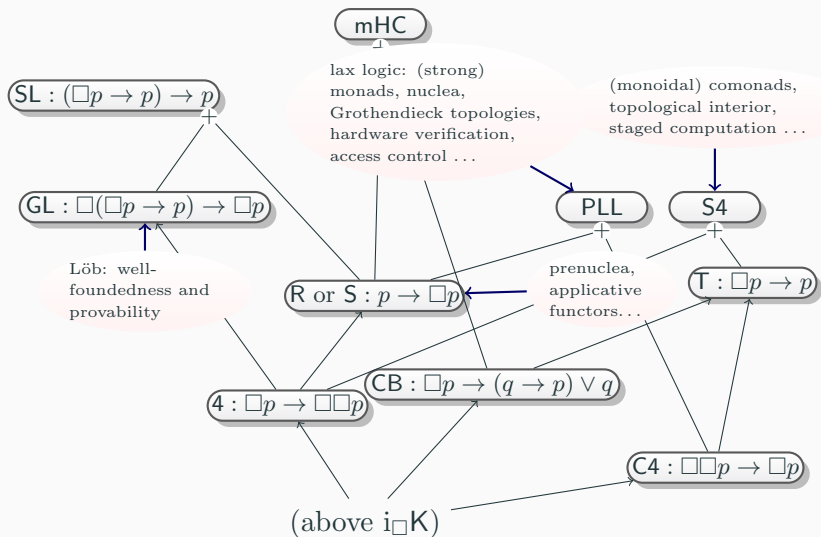




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strong Löb: guarded  
(co-)recursion

$$\text{SL} : (\Box p \rightarrow p) \rightarrow p$$

$$\text{GL} : \Box(\Box p \rightarrow p) \rightarrow \Box p$$

Löb: well-  
foundedness and  
provability

mHC

lax logic: (strong)  
monads, nuclei,  
Grothendieck topologies,  
hardware verification,  
access control ...

(monoidal) comonads,  
topological interior,  
staged computation ...

PLL

S4

prenuclea,  
applicative  
functors...

$$\text{R or S} : p \rightarrow \Box p$$

$$\text{T} : \Box p \rightarrow p$$

$$\text{4} : \Box p \rightarrow \Box \Box p$$

$$\text{CB} : \Box p \rightarrow (q \rightarrow p) \vee q$$

$$\text{C4} : \Box \Box p \rightarrow \Box p$$

(above  $i_{\Box}K$ )

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Esakia's "modalized Heyting calculus"

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- ▶  $\exists x$ , meanwhile, is obviously a  $\diamond$

For more on the resulting logic, see A. Prior, R. Bull, G. Bezhanishvili . . .

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- ▶ In the intuitionistic setting, it matters whether or not  $\diamond$  is included in the syntax

Not always natural to include in the examples from the previous slide

- ▶ Highlighted notationally: for any  $\mathcal{Z} \subseteq \mathcal{L}_\square$ ,  $i_\square \mathcal{Z}$  is the logic axiomatized by  $\mathcal{Z}$

Other conceivable conventions:  $iK_\square + \mathcal{Z}$  or  $\text{Int}K_\square \oplus \mathcal{Z}$ .

We use the former for **join** of logics and drop set brackets, i.e.,

write  $i_\square \mathcal{X} + \zeta$  instead of  $i_\square(\mathcal{X} \cup \{\zeta\})$

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- ▶ Special case:  $c_\square \mathcal{Z} := i_\square \mathcal{Z} + \neg\neg p \rightarrow p$

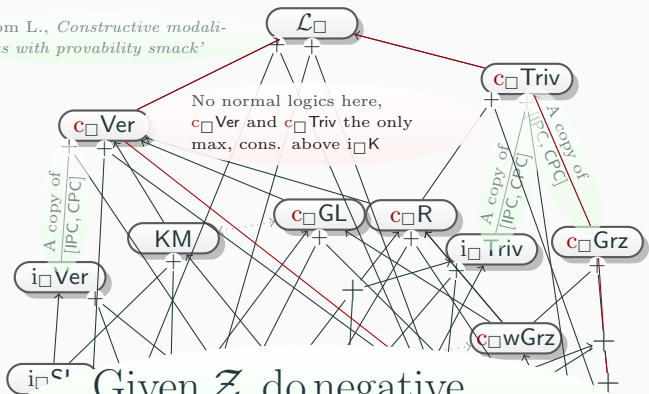
i.e., logic axiomatized by  $\mathcal{Z}$  over the classical propositional calculus (**CPC**)

- ▶ The lattice of all intuitionistic modal logics includes the lattice of all classical ones as a sublattice

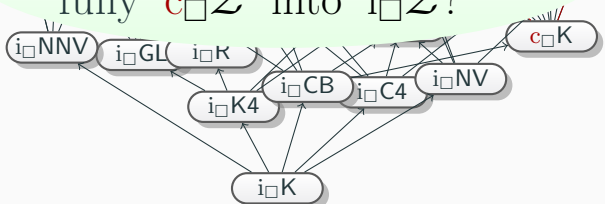




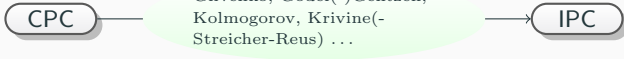
from L., *Constructive modalities with provability smack*



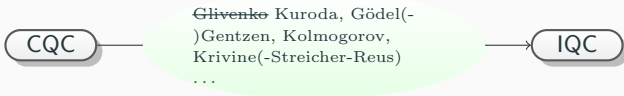
Given  $\mathcal{Z}$ , do negative translations embed faithfully  $c_{\Box}\mathcal{Z}$  into  $i_{\Box}\mathcal{Z}$ ?

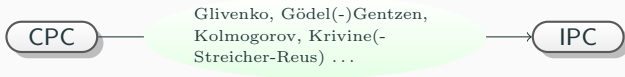




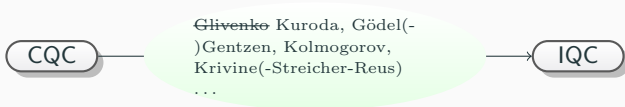


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- ▶ We had to fill it ourselves

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- ▶ No **recursive** translation from BBI to BI can be adequate



# additional motivation: Simpson's dissertation

Chapter 3. Intuitionistic modal logic

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Of course the converse will be a theorem of IML for some logics.

This suggests two problems at all. However, one might expect to find logics that the adequate corresponding classical logics. So

- 1. too restrictive: important intuitionistic logics can be trivialized classically**
- 2. too permissive: what if there are "standard" modal logics for which negative translations are not adequate?**

**Requirement 3** *The addition of the schema  $A \vee \neg A$  to IML yields a standard classical modal logic.*

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  - \* natural to formalize in a proof assistant, see our Coq code

Intuitionistic propositional rules:

$$\begin{array}{c}
 \text{IN} \frac{}{\vdash_{\text{Ni}\Box\mathcal{Z}} \phi, \Gamma \Rightarrow \phi} \quad
 \top_I \frac{}{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \top} \quad
 \perp_E \frac{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \perp}{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \phi} \\
 \\
 \rightarrow_I \frac{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma, \phi \Rightarrow \psi}{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \phi \rightarrow \psi} \quad
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 \\
 \wedge_I \frac{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \phi \quad \vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \psi}{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \phi \wedge \psi} \quad
 \wedge_{E1} \frac{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \phi \wedge \psi}{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \phi} \quad
 \wedge_{E2} \frac{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \phi \wedge \psi}{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \psi} \\
 \\
 \vee_{I1} \frac{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \phi}{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \phi \vee \psi} \quad
 \vee_{I2} \frac{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \psi}{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \phi \vee \psi} \\
 \vee_E \frac{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \phi \vee \psi \quad \vdash_{\text{Ni}\Box\mathcal{Z}} \phi, \Gamma \Rightarrow \chi \quad \vdash_{\text{Ni}\Box\mathcal{Z}} \psi, \Gamma \Rightarrow \chi}{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \chi}
 \end{array}$$

The Bellin, de Paiva and Ritter rule for  $\Box$

$$\Box_K \frac{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \Box\phi_1 \quad \dots \quad \vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \Box\phi_n \quad \vdash_{\text{Ni}\Box\mathcal{Z}} \phi_1, \dots, \phi_n \Rightarrow \psi}{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow \Box\psi}$$

The Sobociński-style rule for additional axioms:

$$\text{AXSB} \frac{\zeta \in \mathcal{Z} \quad s \text{ a substitution}}{\vdash_{\text{Ni}\Box\mathcal{Z}} \Gamma \Rightarrow s(\zeta)}$$

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  - \*  **$\neg\neg$ -completeness** holds
  - \* **Glivenko translation** is as good as regular ones



§3: regular negative translations

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- ▶ dump  $\neg$  onto each and every subformula
- ▶ that is:

$$\begin{array}{lll} \perp^{\text{kol}} := \perp & p^{\text{kol}} := \neg p & (\phi \wedge \psi)^{\text{kol}} := \neg(\phi^{\text{kol}} \wedge \psi^{\text{kol}}) \\ (\phi \vee \psi)^{\text{kol}} := \neg(\phi^{\text{kol}} \vee \psi^{\text{kol}}) & (\phi \rightarrow \psi)^{\text{kol}} := \neg(\phi^{\text{kol}} \rightarrow \psi^{\text{kol}}) & (\Box \phi)^{\text{kol}} := \neg \neg \Box \phi^{\text{kol}} \end{array}$$

# Kolmogorov's brutal saturation

- ▶ earliest (1925) and most straightforward one
- ▶ dump  $\neg$  onto each and every subformula
- ▶ that is:

$$\begin{array}{llll} \perp^{\text{kol}} & := & \perp & p^{\text{kol}} & := & \neg p & (\phi \wedge \psi)^{\text{kol}} & := & \neg(\phi^{\text{kol}} \wedge \psi^{\text{kol}}) \\ (\phi \vee \psi)^{\text{kol}} & := & \neg(\phi^{\text{kol}} \vee \psi^{\text{kol}}) & (\phi \rightarrow \psi)^{\text{kol}} & := & \neg(\phi^{\text{kol}} \rightarrow \psi^{\text{kol}}) & (\Box\phi)^{\text{kol}} & := & \neg\neg\Box\phi^{\text{kol}} \end{array}$$

- ▶ more parsimoniously, the effect can be approximated “from the inside” and “from the outside”

*drop it on the surface and let it sink*

$$\neg\neg(p \wedge (q \vee (r \rightarrow \Box s))) \dashv\vdash_{i\Box K} \neg\neg(\neg\neg p \wedge \neg\neg(q \vee (r \rightarrow \Box s)))$$

*drop it on the surface and let it sink*

$$\begin{aligned} \neg(p \wedge (q \vee (r \rightarrow \Box s))) \dashv\vdash_{i_{\Box}K} \neg(\neg p \wedge \neg(q \vee (r \rightarrow \Box s))) \\ \dashv\vdash_{i_{\Box}K} \neg(\neg p \wedge \neg(\neg q \vee \neg(r \rightarrow \Box s))) \end{aligned}$$

*drop it on the surface and let it sink*

$$\begin{aligned} \neg(p \wedge (q \vee (r \rightarrow \Box s))) &\Vdash_{i_{\Box}K} \neg(\neg p \wedge \neg(q \vee (r \rightarrow \Box s))) \\ &\Vdash_{i_{\Box}K} \neg(\neg p \wedge \neg(\neg q \vee \neg(r \rightarrow \Box s))) \\ \text{ex falso here } \Rightarrow &\Vdash_{i_{\Box}K} \neg(\neg p \wedge \neg(\neg q \vee \neg(\neg r \rightarrow \neg \Box s))) \end{aligned}$$



*drop it on the surface and let it sink*

$$\begin{aligned} \neg(p \wedge (q \vee (r \rightarrow \Box s))) &\Vdash_{i_{\Box}K} \neg(\neg p \wedge \neg(q \vee (r \rightarrow \Box s))) \\ &\Vdash_{i_{\Box}K} \neg(\neg p \wedge \neg(\neg q \vee \neg(r \rightarrow \Box s))) \\ \text{ex falso here } \Rightarrow &\Vdash_{i_{\Box}K} \neg(\neg p \wedge \neg(\neg q \vee \neg(\neg r \rightarrow \neg \Box s))) \\ &\dots \text{ but now what?} \end{aligned}$$

*drop it on the surface and let it sink*

$$\begin{aligned} \neg(p \wedge (q \vee (r \rightarrow \Box s))) &\not\vdash_{i_{\Box}K} \neg(\neg p \wedge \neg(q \vee (r \rightarrow \Box s))) \\ &\not\vdash_{i_{\Box}K} \neg(\neg p \wedge \neg(\neg q \vee \neg(r \rightarrow \Box s))) \\ \text{ex falso here } \Rightarrow &\not\vdash_{i_{\Box}K} \neg(\neg p \wedge \neg(\neg q \vee \neg(\neg r \rightarrow \neg \Box s))) \\ &\dots \text{ but now what?} \end{aligned}$$

The modal Double Negation Shift/Kuroda axiom

$$\text{DNS: } \quad \Box \neg \neg p \rightarrow \neg \Box p.$$

not valid in general (but valid over  $i_{\Box}R!$ )

axiomatizes the same logic as  $\neg \Box p \leftrightarrow \neg \Box \neg \neg p$

# Kuroda's 1951: "saturated Glivenko"

$$\phi^{\text{kur}} := \neg\neg\phi_{\text{kur}},$$

with  $(\cdot)_{\text{kur}}$  defined as  $(\Box\phi)_{\text{kur}} := \Box\neg\neg\phi_{\text{kur}}$  and identity in other inductive clauses, i.e.,

$$\begin{array}{lll} \perp_{\text{kur}} & := & \perp \\ (\phi \vee \psi)_{\text{kur}} & := & \phi_{\text{kur}} \vee \psi_{\text{kur}} \\ p_{\text{kur}} & := & p \\ (\phi \rightarrow \psi)_{\text{kur}} & := & \phi_{\text{kur}} \rightarrow \psi_{\text{kur}} \\ (\phi \wedge \psi)_{\text{kur}} & := & \phi_{\text{kur}} \wedge \psi_{\text{kur}} \\ (\Box\phi)_{\text{kur}} & := & \Box\neg\neg\phi_{\text{kur}}. \end{array}$$

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This is exactly as with  $\text{CQC} \Rightarrow \text{IQC}$ .

How about the "inner route"?

*plant  $\neg\neg$  inside and let it grow*

$$\Box(\neg\neg q \vee (\neg\neg r \rightarrow (\neg\neg p \wedge \neg\neg s))) \dashv\vdash_{i_{\Box K}} \Box(\neg\neg q \vee (\neg\neg r \rightarrow \neg\neg(\neg\neg p \wedge \neg\neg s)))$$

*plant  $\neg$  inside and let it grow*

$$\begin{aligned} \Box(\neg q \vee (\neg r \rightarrow (\neg p \wedge \neg s))) &\not\vdash_{i_{\Box}K} \Box(\neg q \vee (\neg r \rightarrow \neg(\neg p \wedge \neg s))) \\ &\not\vdash_{i_{\Box}K} \Box(\neg q \vee \neg(\neg r \rightarrow \neg(\neg p \wedge \neg s))) \end{aligned}$$

*plant  $\neg$  inside and let it grow*

$$\begin{aligned} \Box(\neg q \vee (\neg r \rightarrow (\neg p \wedge \neg s))) &\not\vdash_{i\Box K} \Box(\neg q \vee (\neg r \rightarrow \neg(\neg p \wedge \neg s))) \\ &\not\vdash_{i\Box K} \Box(\neg q \vee \neg(\neg r \rightarrow \neg(\neg p \wedge \neg s))) \end{aligned}$$

... now we need help

$$\text{e.g., } (\phi \vee \psi)^{\text{gn}} := \neg(\phi^{\text{gn}} \vee \psi^{\text{gn}})$$

# inner route: Gödel and Gentzen 1933

*plant  $\neg$  inside and let it grow*

$$\begin{aligned} \Box(\neg q \vee (\neg r \rightarrow (\neg p \wedge \neg s))) &\not\vdash_{i_{\Box}K} \Box(\neg q \vee (\neg r \rightarrow \neg(\neg p \wedge \neg s))) \\ &\not\vdash_{i_{\Box}K} \Box(\neg q \vee \neg(\neg r \rightarrow \neg(\neg p \wedge \neg s))) \\ \dots \text{now we need help} \\ \text{e.g., } (\phi \vee \psi)^{\text{ggn}} &:= \neg(\phi^{\text{ggn}} \vee \psi^{\text{ggn}}) \end{aligned}$$

... but **unlike the predicate case**  
still not enough!

$$\neg\Box\neg p \rightarrow \Box\neg p \notin i_{\Box}K$$

Noted by Božić and Došen'84, more recently by  
Holliday in his work on **possibility semantics**



Thus, saturated Gödel-Gentzen:

$$\begin{array}{lll} \perp^{\text{ggs}} & := & \perp \\ (\phi \vee \psi)^{\text{ggs}} & := & \neg(\phi^{\text{ggs}} \vee \psi^{\text{ggs}}) \end{array} \quad \begin{array}{ll} p^{\text{ggs}} & := \neg\neg p \\ (\phi \rightarrow \psi)^{\text{ggs}} & := \phi^{\text{ggs}} \rightarrow \psi^{\text{ggs}} \end{array} \quad \begin{array}{l} (\phi \wedge \psi)^{\text{ggs}} := \phi^{\text{ggs}} \wedge \psi^{\text{ggs}} \\ (\Box\phi)^{\text{ggs}} := \neg\neg\Box\phi^{\text{ggs}}. \end{array}$$

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A regular negative translation is a modular translation (Ferreira, Oliva) that uses at least as many  $\neg$  as either

- ▶ the Kuroda translation or
- ▶ the saturated Gödel-Gentzen translation,

but apart from possibly adding new occurrences of  $\neg$ , leaves everything else unchanged

e.g., no flipping polarities like Krivine(-Streicher-Reus)

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- ▶ The paper has a more formal definition in §3.1
- ▶ Regular translations are equivalent to Kolmogorov

## §4: failure of $\neg\neg$ -completeness

---

## brief recap of intuitionistic frames

- ▶ intuitionistic order  $\uparrow$  and modal relation  $\rightsquigarrow$
- ▶ valuations  $\uparrow$ -upward closed
- ▶ clause for Heyting implication: scan all  $\uparrow$ -successors
- ▶ clause for  $\Box$ : scan all  $\rightsquigarrow$ -successors
- ▶ interaction condition:
  - \* Minimal (Božić and Došen'84):  $\uparrow; \rightsquigarrow \subseteq \rightsquigarrow; \uparrow$



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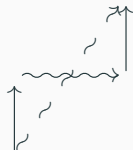
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$\mathcal{E}_{\text{den}}$ : a frame ...

- ▶ validating C4 :  $\Box\Box p \rightarrow \Box p$



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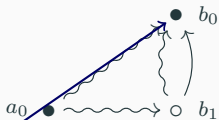


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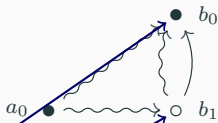


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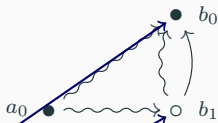


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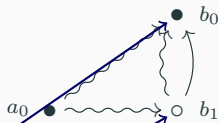


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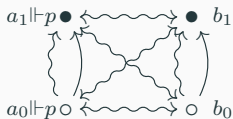


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- ▶  $\bar{V}(\Box\neg\Box\neg\neg p)$  is the whole thing
- ▶  $a_0 \not\models \neg\Box\neg\Box\neg p \rightarrow \neg\Box\neg p$

similarly,  $\mathfrak{C}_{\text{tr}2}$  is a frame ...

- ▶ validating  $b4 := \Box\Box p \rightarrow \Box\Box\Box p$
- ▶ refuting  $\neg\Box\neg\Box\neg p \rightarrow \neg\Box\neg\Box\neg\Box\neg p$



▶

$$\begin{array}{ll}
 a_1 \uparrow = \overline{W}(\Box\neg\Box\neg p), & b_1 \uparrow = \overline{W}(\Box\neg p) = \overline{W}(\Box\neg\Box\neg\Box\neg p), \\
 a_0 \uparrow = W(p) = \overline{W}(\neg\neg p) = \overline{W}(\neg\Box\neg\Box\neg p), & b_0 \uparrow = \overline{W}(\neg\Box\neg p) = \overline{W}(\neg\Box\neg\Box\neg\Box\neg p).
 \end{array}$$

- ▶ See Example 13 in the paper



- ▶ These counterexamples were well-behaved in at least one sense

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given  $\zeta \in \mathcal{L}_\square$ , is there **any**  $\phi$  s.t.

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- ▶ In both cases, we just took  $\phi = \zeta$
- ▶ Can it happen that  $\zeta$  wouldn't yield a counterexample, but some other  $\phi$  would?
- ▶ **No**—and this is what the first of our main results (Regular Adequacy) is saying!

§5: what axioms are  $\neg\neg$ -complete?

---

## enveloped implications

$s_{\neg\neg}(\beta)$ : a substitution replacing all  $p$  occurring  
in  $\beta$  by their double negations

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$$\neg\neg s_{\neg\neg}(\beta) \vdash_{i \square Z} \beta^{\text{kol}}$$

a  $(\neg\neg-)$  pre-envelope  
(in  $i \square Z$  )

or env-consequent



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$$\beta^{\text{kol}} \vdash_{i_{\square} \mathcal{Z}} \neg\neg s_{\neg}(\beta)$$

a  $(\neg\neg)$  pre-envelope  
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$$\beta^{\text{kol}} \vdash_{i_{\square} \mathcal{Z}} \neg\neg s_{\neg}(\beta)$$

a  $(\neg\neg)$  post-envelope  
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$$\beta^{\text{kol}} \vdash_{i_{\square}\mathcal{Z}} \neg\neg s_{\neg\neg}(\beta)$$

$$\neg\neg s_{\neg\neg}(\beta) \Vdash_{i_{\square}\mathcal{Z}} \beta^{\text{kol}}$$

$\beta \rightarrow \gamma$ , where:

$\beta$  a **post-envelope**

$\gamma$  a **pre-envelope**

a **( $\neg\neg$ -) pre-envelope**  
(in  $i_{\square}\mathcal{Z}$ )

or **env-consequent**

a **( $\neg\neg$ -) post-envelope**  
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or **env-antecedent**

an  **$\neg\neg$ -envelope**  
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an **enveloped implication**

## lemma 17 & theorem 18

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**Any logic axiomatized by enveloped implications is  $\neg\neg$ -complete**

▶



# applications (corollary 19)

$\neg\neg$ -completeness holds for logics axiomatized over  $i_{\Box}\mathbf{K}$  by combinations of the following axioms

(cf. Sotirov'84, Th. 10 and Litak'14, Tab. 2)

R	$p \rightarrow \Box p,$	CB	$\Box p \rightarrow (q \rightarrow p) \vee q,$	bem	$\Box p \vee \Box \neg p,$
4	$\Box p \rightarrow \Box \Box p,$	NV	$\neg \Box \perp,$	emb	$\Box p \vee \neg \Box p,$
T	$\Box p \rightarrow p,$	NNV	$\neg\neg \Box \perp,$	T $\neg\neg$	$\Box p \rightarrow \neg\neg p,$
coK	$(\Box p \rightarrow \Box q) \rightarrow \Box(p \rightarrow q),$	bR	$p \rightarrow \Box \Box p,$	T $\neg$	$\Box \neg p \rightarrow \neg p,$
bLin	$\Box(p \rightarrow q) \vee \Box(q \rightarrow p),$	Linb	$(\Box p \rightarrow q) \vee (\Box q \rightarrow p),$	wemb $\neg$	$\neg \Box p \vee \neg \Box \neg p,$

or any superintuitionistic axiom, i.e., a formula in modality-free  $\mathcal{L}$

An example of a standard logic obtained by a such combination:

$$i_{\Box}\mathbf{S4} = i_{\Box}\mathbf{4} + \mathbf{T}$$

§6: Glivenko for strength and purity

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- ▶ The modal Double Negation Shift/Kuroda axiom

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The derivation has a rather CPS-like flavour ...



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- ▶ Extend, reuse the Coq framework and possibly merge with other developments

```
git clone git://git8.cs.fau.de/dnegmod
```

```
https://cal8.cs.fau.de/redmine/projects/dnegmod
```