

Action Codes

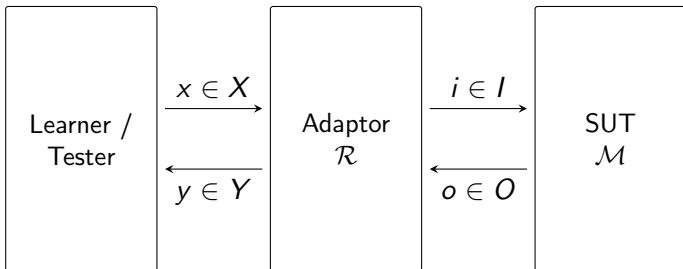
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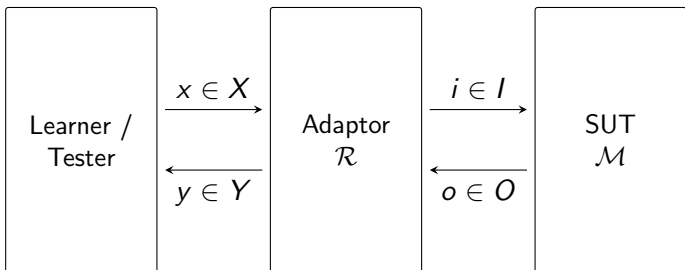
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Motivation: Adaptors used for Learning and Testing



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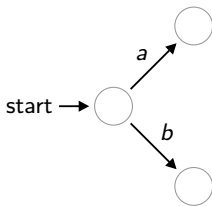


\Rightarrow Generalization to:

Labelled Transition Systems for $A := I \times O$ and $B := X \times Y$.

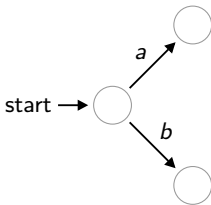
Labelled Transition Systems

Labeled transition systems (LTSs) constitute one of the most fundamental modeling mechanisms in Computer Science:



Labelled Transition Systems

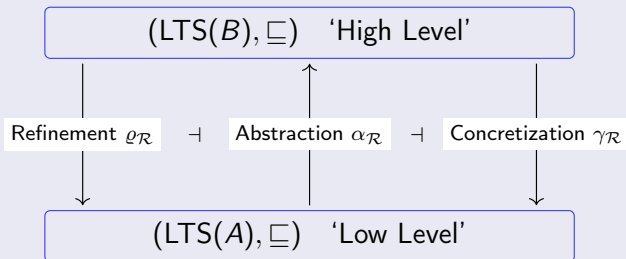
Labeled transition systems (LTSs) constitute one of the most fundamental modeling mechanisms in Computer Science:



But our understanding of how to relate actions at different levels of abstraction is limited!

Goal

Find a notion of *action code* \mathcal{R} from A to B , that translates between the LTSs living in different abstraction levels:



Definition

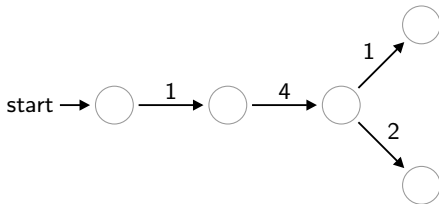
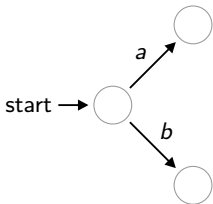
For $\mathcal{M}, \mathcal{N} \in \text{LTS}(A)$, a *simulation* from \mathcal{M} to \mathcal{N} is a relation $S \subseteq Q^{\mathcal{M}} \times Q^{\mathcal{N}}$ such that

- 1 $q_0^{\mathcal{M}} S q_0^{\mathcal{N}}$ and
- 2 if $q_1 S q_2$ and $q_1 \xrightarrow{a}_{\mathcal{M}} q'_1$ then there exists a state q'_2 such that $q_2 \xrightarrow{a}_{\mathcal{N}} q'_2$ and $q'_1 S q'_2$.

We write $\mathcal{M} \sqsubseteq \mathcal{N}$ if there exists a simulation from \mathcal{M} to \mathcal{N} .

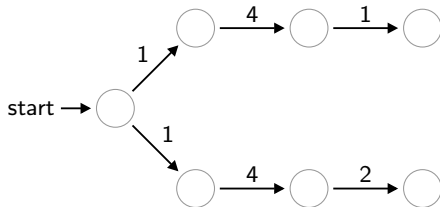
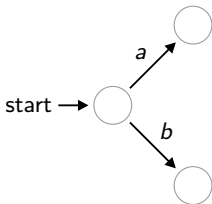
Changing the Level of Abstraction

Input a may be implemented by three consecutive inputs 1; 4; 1, and input b by 1; 4; 2 (ASCII encodings in octal format).

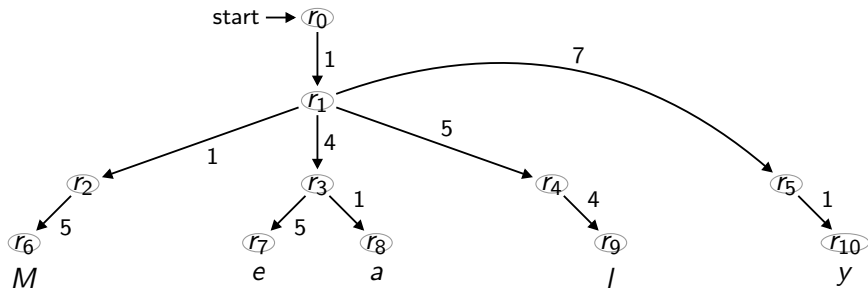


Action refinements?

An **action refinement** that replaces a by $1; 4; 1$ and b by $1; 4; 2$ leads to an incorrect refinement:



Our Solution: Action Codes



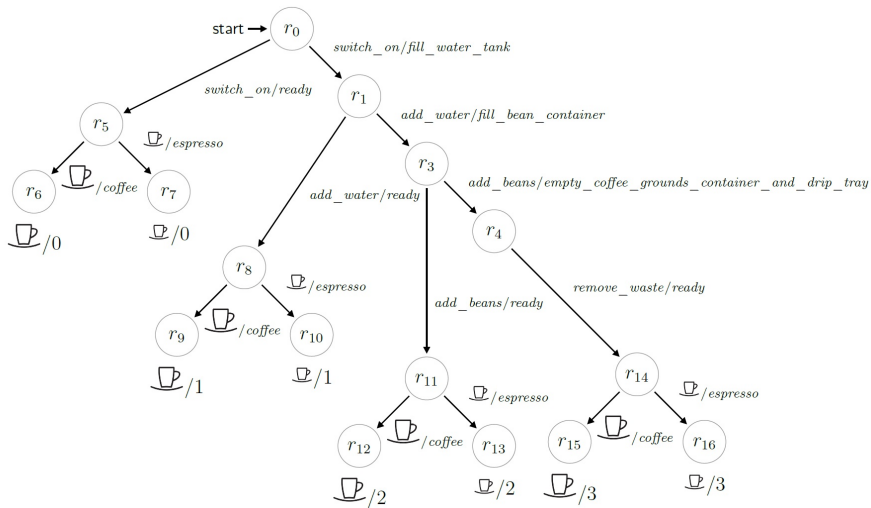
Definition (Action code)

For sets of action labels A and B , a **(tree-shaped) action code** \mathcal{R} from A to B is a pair $\mathcal{R} = \langle \mathcal{M}, \ell \rangle$, with

- 1 $\mathcal{M} = \langle R, r_0, \rightarrow \rangle \in \text{LTS}(A)$ a deterministic, tree-shaped LTS
- 2 with L being the set of non-root leaves $L \subseteq R \setminus \{r_0\}$, and
- 3 an injective function $\ell: L \rightarrow B$.

$\text{Code}(A, B) =$ all action codes from A to B .

Action Code for Coffee Machine



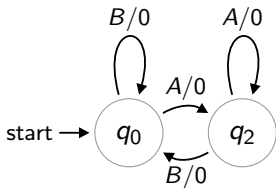
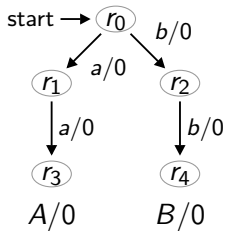
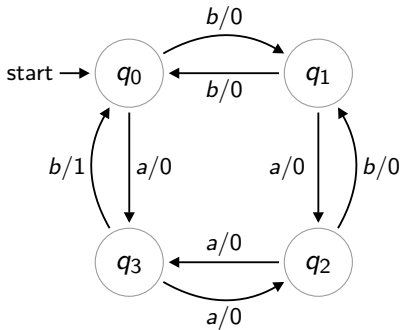
Definition

A (**map-based**) **action code** from A to B is a partial map $f: B \rightarrow A^+$ which is *prefix-free*, by which we mean that for all $b, b' \in \text{dom}(f)$,

$$f(b) \leq f(b') \quad \text{implies} \quad b = b'. \quad (1)$$

\leq is the 'prefix of' relation on words

Example of Contraction



Definition

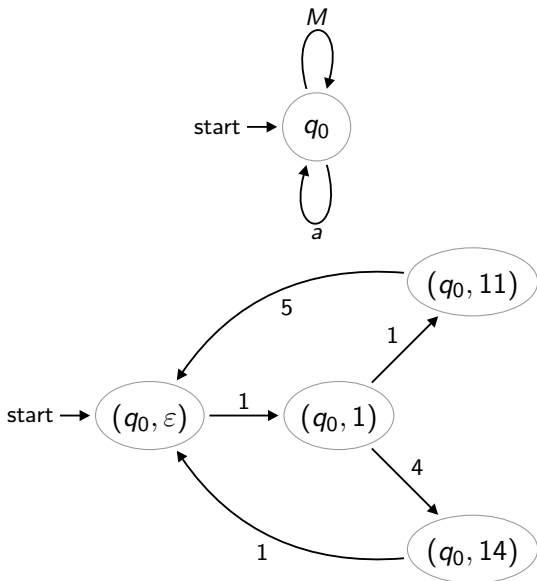
Suppose $\mathcal{M} = \langle Q, q_0, \rightarrow \rangle \in \text{LTS}(A)$ and an action code $\mathcal{R}: A \rightarrow B$. Then the **contraction** $\alpha_{\mathcal{R}}(\mathcal{M})$ is the LTS with states $Q^{\alpha(\mathcal{M})} \subseteq Q^{\mathcal{M}}$ defined inductively by the next two rules, for all $q, q' \in Q^{\mathcal{M}}, b \in B$:

$$\frac{}{q_0 \in Q^{\alpha(\mathcal{M})}} \quad (1_{\alpha})$$

$$\frac{q \in Q^{\alpha(\mathcal{M})}, \quad b \in \text{dom}(\mathcal{R}), \quad q \xrightarrow{\mathcal{R}(b)}_{\mathcal{M}} q'}{q \xrightarrow{b}_{\alpha(\mathcal{M})} q', \quad q' \in Q^{\alpha(\mathcal{M})}} \quad (2_{\alpha})$$

The initial state $q_0^{\alpha(\mathcal{M})} := q_0^{\mathcal{M}}$ is the same as in \mathcal{M} .

Example of Refinement



Definition

Let $\mathcal{R}: B \rightarrow A^+$ be an action code $\mathcal{R} \in \text{Code}(A, B)$, we define the *refinement* operator $\varrho_{\mathcal{R}}: \text{LTS}(B) \rightarrow \text{LTS}(A)$ as follows: For $\mathcal{M} \in \text{LTS}(B)$, the system $\varrho_{\mathcal{R}}(\mathcal{M}) \in \text{LTS}(A)$ has a set of states

$$Q^{\varrho(\mathcal{M})} := \{(q, w) \in Q^{\mathcal{M}} \times A^* \mid w = \varepsilon \text{ or } \exists q \xrightarrow{b}_{\mathcal{M}} : w \not\leq \mathcal{R}(b)\}$$

and the initial state $(q_0^{\mathcal{M}}, \varepsilon)$. The transition relation $\rightarrow_{\varrho(\mathcal{M})}$ is defined by the following rules:

$$\frac{(q, wa) \in Q^{\varrho(\mathcal{M})}}{(q, w) \xrightarrow{a}_{\varrho(\mathcal{M})} (q, wa)} \quad (1_{\varrho})$$

$$\frac{q \xrightarrow{b}_{\mathcal{M}} q' \quad wa = \mathcal{R}(b)}{(q, w) \xrightarrow{a}_{\varrho(\mathcal{M})} (q', \varepsilon)} \quad (2_{\varrho})$$

Theorem (Galois connection)

Consider an action code $\mathcal{R} \in \text{Code}(A, B)$ and LTSs $\mathcal{N} \in \text{LTS}(A)$ and $\mathcal{M} \in \text{LTS}(B)$:

- 1 If $\text{dom}(\mathcal{R}) = B$, then $\varrho_{\mathcal{R}}(\mathcal{N}) \sqsubseteq \mathcal{M}$ implies $\mathcal{N} \sqsubseteq \alpha_{\mathcal{R}}(\mathcal{M})$.
- 2 If \mathcal{M} is deterministic, then $\mathcal{N} \sqsubseteq \alpha_{\mathcal{R}}(\mathcal{M})$ implies $\varrho_{\mathcal{R}}(\mathcal{N}) \sqsubseteq \mathcal{M}$.

Definition

For codes $\mathcal{R} \in B \rightarrow A^+$ and $\mathcal{S} \in C \rightarrow B^+$:

$(\mathcal{R} * \mathcal{S}): C \rightarrow A^+$ as the Kleisli composition

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Theorem

- 1 contraction (α) commutes with code composition
- 2 refinemenet (ϱ) commutes with code composition if
 $im(\mathcal{S}) \subseteq dom(\mathcal{R})^+$

concretization γ does not commute with $*$ in general.

Definition

For a non-empty sets of inputs I and outputs O , a (non-deterministic) **Mealy machine** $\mathcal{M} \in \text{LTS}(I \times O)$ is an LTS where the labels are pairs of an input and an output.

Definition (Winning)

Let $\mathcal{R} \in \text{Code}(I \times O, X \times Y)$ be an action code and let $x \in X$.
Then

- 1 A leaf $r \in R$ is **winning for** x if $l(r) = (x, y)$, for some $y \in Y$.
- 2 An internal state $r \in R$ is **winning for x with input** $i \in I$ if $r \xrightarrow{i}$ and, for each transition of the form $r \xrightarrow{i/o} r'$, r' is winning for x .
- 3 An internal state $r \in R$ is **winning for** $x \in X$ if it is winning for x with some input $i \in I$.
- 4 \mathcal{R} has a **winning strategy** if r^0 is winning for all leaf labels.

From a Winning Action Code to an Adaptor

```
1: function Adaptor( $\mathcal{R}$ )
2:   while true
3:      $x \leftarrow$  Receive-from-learner()
4:      $r \leftarrow r^0$ 
5:     while  $r$  is internal       $\triangleright$  We maintain loop invariant that  $r$  is
   winning for  $x$ 
6:        $i \leftarrow$  input such that  $r$  is winning for  $x$  with  $i$ 
7:       Send-to-SUT( $i$ )
8:        $o \leftarrow$  Receive-from-SUT()
9:        $r \leftarrow$  state reached by  $i/o$ -transition from  $r$ 
10:    end while
11:     $(x, y) \leftarrow l(r)$ 
12:    Send-to-learner( $y$ )
13:  end while
14: end function
```

- 1 A notion of code that relates abstract actions related to low-level models in which these actions are refined by sequences of concrete actions.
- 2 This may help with the systematic design of adaptors during learning and testing, and the subsequent interpretation of obtained results.
- 3 Almost all results, examples, and counter-examples formalized in Coq (except Adaptor-Pseudocode)
- 4 Paper will also present **concretization operator** and corresponding Galois connection.