## Graded Monads and (someday) Fixpoints

Üsame Cengiz

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# Graded Monads and Graded Semantics

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## Monads

## A monad $(M, \eta, \mu)$ consists of an endofunctor M, a natural transformation $\eta : Id \to M$ , the unit, and a natural transformation $\mu : MM \to M$ , the multiplication. with the following diagrams commuting:



## Complicate things

A graded monad  $((M_n)_{n \in \mathbb{N}}, \eta, (\mu^{mk})_{m,k \in \mathbb{N}})$  consists of a family of endofunctors  $M_n, n \in \mathbb{N}$ , a natural transformation  $\eta : Id \to M_0$ , the unit, and a family of natural transformations  $\mu^{mk} : M_m M_k \to M_{m+k}, m, k \in \mathbb{N}$ , the multiplication. with the following diagrams commuting for all  $n, k, m \in \mathbb{N}$ :



## Simplify things

- Monads on a set X describe a term structure MX.
- $\eta$  ensures that every element of X can be made into a term.
- $\mu$  describes substitution, where terms of terms are again just terms.

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- Monads on a set X describe a term structure MX.
- $\eta$  ensures that every element of X can be made into a term.
- $\mu$  describes substitution, where terms of terms are again just terms.
- Then graded monads are like terms with depth.

## Graded semantics

A graded semantics  $(\alpha, \mathbb{M})$  for an endofunctor G consists of

- a graded monad  $\mathbb{M} = ((M_n)_{n \in \mathbb{N}}, \eta, (\mu^{mk})_{m,k \in \mathbb{N}})$ ,
- and a natural transformation  $\alpha : G \rightarrow M_1$ .

## Graded semantics

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- a graded monad  $\mathbb{M}=((M_n)_{n\in\mathbb{N}},\eta,(\mu^{mk})_{m,k\in\mathbb{N}})$ ,
- and a natural transformation  $\alpha : G \rightarrow M_1$ .

A G-coalgebra (X,  $\gamma$ ) then induces the following sequence of inductively defined maps  $\gamma^{(n)}: X \to M_n 1$ 

$$\gamma^{(0)} : X \xrightarrow{\eta_X} M_0 X \xrightarrow{M_0!} M_0 1$$
$$\gamma^{(n+1)} : X \xrightarrow{\alpha_X \circ \gamma} M_1 X \xrightarrow{M_1 \gamma^{(n)}} M_1 M_n 1 \xrightarrow{\mu_1^{1n}} M_{n+1} 1$$

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- a graded monad  $\mathbb{M}=((M_n)_{n\in\mathbb{N}},\eta,(\mu^{mk})_{m,k\in\mathbb{N}})$ ,
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 $\gamma^{(n)}(x) \in M_n 1$  is called the *n*-step  $(\alpha, \mathbb{M})$ -behaviour of  $x \in X$ .

## An LTS

For the functor  $GX = \mathcal{P}(A \times X)$  take the following coalgebra  $\gamma: X \to GX$ 



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The graded monad  $M_n = G^n$  (with  $\alpha$ ,  $\eta$  and  $\mu^{mk}$  as all the appropriate identities) corresponds to coalgebraic "step-*n* behaviour". So if the  $\gamma^{(k)}$  images of two states coincide for all  $k \leq n$ , they are *n*-step behaviourally equivalent.

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To obtain trace semantics, define  $\ensuremath{\mathbb{M}}$  as

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• 
$$M_n X := \mathcal{P}(A^n \times X)$$
,

• 
$$\eta(x) := \{(\epsilon, x)\},\$$

• 
$$\mu^{mk}(S) := \{(vw, W) \mid (v, V) \in S, (w, W) \in V\}$$

and  $\alpha$  as Id. Again consider the example:

$$\gamma^{(0)}(q_0) = \{\epsilon\}$$

$$\gamma^{(1)}(q_0) = \mu^{1,0}\{(a, \gamma^{(0)}(q_0)), (a, \gamma^{(0)}(q_1))\}$$

$$= \mu^{1,0}\{(a, \{\epsilon\}), (a, \{\epsilon\})\}$$

$$= \{a\}$$

$$\gamma^{(2)}(q_0) = \{aa\}$$

Note that  $\gamma^{(n+1)}(q_1) = \emptyset$ 

...

## The ugly truth

To obtain trace semantics, define  $\ensuremath{\mathbb{M}}$  as

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and  $\alpha$  as Id. Again consider the example:

$$\gamma^{(0)}(q_0) = \{(\epsilon, *)\}$$

$$\gamma^{(1)}(q_0) = \mu^{1,0}\{(a, \gamma^{(0)}(q_0)), (a, \gamma^{(0)}(q_1))\}$$

$$= \mu^{1,0}\{(a, \{(\epsilon, *)\}), (a, \{(\epsilon, *)\})\}$$

$$= \{(a\epsilon, *)\}$$

$$\gamma^{(2)}(q_0) = \{(aa\epsilon, *)\}$$

Note that  $\gamma^{(n+1)}(q_1) = \emptyset$ 

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To obtain completed trace semantics, define  $\ensuremath{\mathbb{M}}$  as

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$$M_n X := \mathcal{P}(A^n \times X + A^{< n})$$
 (especially  $M_1 := \mathcal{P}(A^n \times X + \mathbf{1}))$ ,

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•  $\eta(x) := \{(\epsilon, x)\}$ ,  
•  $\mu^{mk}(S) := \{(vw, W) \mid (v, V) \in S, (w, W) \in V\}$   
 $\cup \{vw \star \mid (v, V) \in S, w\star \in V\}$   
 $\cup \{v\star \in S\}$ 

To obtain completed trace semantics, define  ${\mathbb M}$  as

and  $lpha: {\sf G} 
ightarrow {\sf M}_1$  (so  ${\cal P}({\sf A} imes {\sf X}) 
ightarrow {\cal P}({\sf A} imes {\sf X}+1))$  as

$$\alpha(\emptyset) := \{\star\}, \qquad (\star \in \mathbf{1})$$

$$\alpha(S) := S \subseteq \mathcal{P}(A \times X + 1) \qquad (S \neq \emptyset)$$

#### Verbose and ugly



Just verbose

 $\gamma^{(1)}(q_1) = \alpha(\emptyset) = \{\star\}$  $\gamma^{(1)}(a_0) = \{a\}$  $\gamma^{(2)}: q_0 \stackrel{\gamma}{\mapsto} \{(a, q_0), (a, q_1)\}$  $\stackrel{\alpha}{\mapsto} \{(a, q_0), (a, q_1)\}$ а  $\xrightarrow{M_1\gamma^{(1)}} \{(a,\gamma^{(1)}(q_0)), (a,\gamma^{(1)}(q_1))\}$ а  $= \{(a, \{a\}\}), (a, \{\star\})\}$  $q_0$  $q_1$  $\stackrel{\mu}{\mapsto} \{aa, a\star\}$ (2) 

$$\gamma^{(3)}(q_0) = \{aaa, aa\star, a\star\}$$
  
 $\gamma^{(4)}(q_0) = ...$ 

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## $\gamma^{(0)}(q_0) \qquad \{\epsilon\} \qquad \{\epsilon\}$



 $\gamma^{(0)}(q_0) \{\epsilon\} \{\epsilon\} \{\epsilon\}$  $\gamma^{(1)}(q_0) \{a\} \{a\}$ 

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Image: A matrix

# Graded Monads and Graded Theories

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## Algebraic Theories

• A signature is a set  $\Sigma$  of operations f with finite arity ar(f).

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- A signature is a set  $\Sigma$  of operations f with finite arity ar(f).
- For a set X of variables, the set of Σ-terms T<sub>Σ</sub>(X) is defined inductively as:

$$\begin{aligned} & x \in \mathcal{T}_{\Sigma}(X) & (x \in X) \\ & f(t_1, ..., t_{\mathsf{ar}(f)}) \in \mathcal{T}_{\Sigma}(X) & (f \in \Sigma, t_1, ..., t_{\mathsf{ar}(f)} \in \mathcal{T}_{\Sigma}(X)) \end{aligned}$$

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• A  $\Sigma$ -theory E is a set of equations s = t, such that  $s, t \in T_{\Sigma}(X)$ .

#### Monads and Theories

- Monads correspond to algeraic theories, i.e. the quotient  $T_{\Sigma}(X)/\sim$  of  $T_{\Sigma}$  modulo the congruence  $\sim$  generated by *E* is in bijection to *MX*.
- Monads can thus be induced by an algebraic theory (and vice versa).

## An Example for Monads

Take  $MX := \mathcal{P}(\{a, b\}^* \times X)$ .

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$$\Sigma := \{ \pm/0, \forall/2, a/1, b/1 \}$$

$$E := \begin{cases} x \lor x = x, \\ x \lor (y \lor z) = (x \lor y) \lor z, \\ x \lor y = y \lor x, \\ x \lor \bot = x, \\ c(x \lor y) = c(x) \lor c(y), \quad (c \in \{a, b\}) \\ c(\bot) = \bot \qquad (c \in \{a, b\}) \end{cases}$$

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Like this:

$$\begin{aligned} \mathsf{a}(\mathsf{b}(x)) \lor \mathsf{b}(x) \lor \mathsf{b}(x) \lor y &= \mathsf{a}(\mathsf{b}(x)) \lor y \lor \mathsf{b}(x) \\ & \widehat{=} \{(\mathsf{ab}, x), (\mathsf{b}, x), (\epsilon, y)\} \end{aligned}$$

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# Graded Algebraic Theories

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- For a set X of variables, the sets of Σ-terms T<sub>Σ,n</sub>(X) of uniform depth n for n ∈ N are inductively defined as:

 $\begin{aligned} & x \in T_{\Sigma,0}(X) & (x \in X) \\ & f(t_1, ..., t_{\mathsf{ar}(f)}) \in T_{\Sigma,n+k}(X) & (f \in \Sigma, \mathsf{d}(f) = n, t_1, ..., t_{\mathsf{ar}(f)} \in T_{\Sigma,k}(X)) \end{aligned}$ 

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# Graded (Monads and Theories)

- Graded monads correspond to graded algeraic theories, i.e. the quotient  $T_{\Sigma(X),n}/\sim$  of  $T_{\Sigma,n}$  modulo the congruence  $\sim$  generated by E is in bijection to  $M_n X$  for every  $n \in \mathbb{N}$ .
- Graded monads can thus be induced by graded algebraic theories (and vice versa).

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$$\Sigma := \{ \pm/0, \forall/2, a/1, b/1 \},\ d(\lor) = d(\pm) = 0,\ d(a) = d(b) = 1,\ x \lor x = x,\ x \lor (y \lor z) = (x \lor y) \lor z,\ x \lor y = y \lor x,\ x \lor \pm = x,\ c(x \lor y) = c(x) \lor c(y),\ (c \in \{a, b\})\ c(\pm) = \pm \ (c \in \{a, b\}) \}$$

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Corresponds to  $M_n X = \mathcal{P}(\{a, b\}^n \times X)$ .

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$$\Sigma := \{ \pm/0, \forall/2, a/1, b/1, \star/0 \},\ d(\forall) = d(\pm) = 0,\ d(a) = d(b) = d(\star) = 1,\ x \lor x = x,\ x \lor (y \lor z) = (x \lor y) \lor z,\ x \lor y = y \lor x,\ x \lor \pm = x,\ c(x \lor y) = c(x) \lor c(y), \quad (c \in \{a, b\})\ c(\pm) = \pm \qquad (c \in \{a, b\})$$

Corresponds to  $M_n X = \mathcal{P}(\{a, b\}^n \times X + 1).$ 

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## Abstract Foreshadowing

- When a graded algebraic theory only consists of operations and equations up to depth 1, we call it a depth-1 theory.
- If a graded monad is induced by a depth-1 theory, it is also called depth-1.

# Graded Monads and Graded Algebras

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• Recall that the behaviour of a coalgebra is represented as sequence in  $(M_n 1)_{n \in \mathbb{N}}$ 

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- The motivation is to define the semantics of formulas of depth *n* for a graded logic as maps  $M_n 1 \rightarrow \{\perp, \top\}$ .

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- A Hennessy-Milner logic formula like φ := □◊⊤ will be interpreted as a map [[φ]] : M<sub>2</sub>1 → {⊥, ⊤}.
- What about  $\Diamond \varphi$ ?
- The semantics for a modality like ◊ will be defined by a map *M*<sub>1</sub>{⊥, ⊤} → {⊥, ⊤}, the semantics [[◊φ]] : *M*<sub>3</sub>1 → {⊥, ⊤} shall be derivable from that.

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For a monad M, an Eilenberg-Moore algebra (A, a) consists of a carrier set A and a structure map  $a : MA \to A$  such that the following diagrams commute:



# Giving Graded Terms Value

For a graded monad  $\mathbb{M}$ , a graded  $M_n$ -algebra  $((A_k)_{k \leq n}, (a^{mk})_{m+k \leq n})$  consists of a carrier sets  $A_k$  and structure maps  $a^{mk} : M_m A_k \to A_{m+k}$  such that the following diagrams commute for all r, m, k with  $r + m + k \leq n$ :



# $M_0$ and $M_1$

•  $M_0$ -algebras are just Eilenberg-Moore algebras for  $(M_0, \eta, \mu^{00})$ .

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# $M_0$ and $M_1$

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- E.g.  $(M_nX, \mu^{0n})$  is an  $M_0$ -algebra, as  $\mu_X^{0n}: M_0M_nX \to M_nX$ .
- $M_1$ -algebras are a 5-tuple  $(A_0, A_1, a^{00}, a^{01}, a^{10})$ , where  $(A_0, a^{00})$  and  $(A_1, a^{01})$  are both  $M_0$ -algebras, and the structure map  $a^{10}$  satisfies:



#### Canonical M<sub>1</sub>-Algebra

$$M_1 M_0 A_0 \xrightarrow[\mu^{10}]{\mu^{10}_{A_0}} M_1 A_0 \xrightarrow[\mu^{10}]{a^{10}} A_1$$

• If  $(A_1, a^{10})$  is the coequalizer in the category of  $M_0$ -algebras, then  $A := (A_0, A_1, a^{00}, a^{01}, a^{10})$  is called a canonical  $M_1$ -algebra.

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- For canonical  $M_1$ -algebra A, we get for any  $M_1$ -algebra B that any  $M_0$ -algebra homomorphism  $A_0 \rightarrow B_0$  extends (freely) to a unique  $M_1$ -algebra homomorphism  $A \rightarrow B$ , i.e. a map  $A_1 \rightarrow B_1$  joins in.

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- In short, if the left algebra is canonical, we get this:

$$\begin{array}{c} M_1 M_n 2 \xrightarrow{M_1 \llbracket \varphi \rrbracket} M_1 2 \\ \mu_2^{1n} \downarrow & \qquad \qquad \downarrow \llbracket \Diamond \rrbracket \\ M_{n+1} 2 \xrightarrow{- \underset{\llbracket \Diamond \varphi \rrbracket}{- \underset{\blacksquare}{\circ} \varphi \rrbracket} \rightarrow 2 \end{array}$$

#### Depth-1ness Returns

• For that to hold, all pairs of  $M_0$ -algebras  $(M_n, \mu^{0n})$  and  $(M_{n+1}, \mu^{0,n+1})$  have to form a canonical  $M_1$ -algebra with  $\mu^{1n}$ .

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- E.g. so that we can have this:

$$\begin{array}{cccc} M_1 M_0 1 & \xrightarrow{M_1[\top]} & M_1 \{\bot, \top\} & & M_1 M_1 1 & \xrightarrow{M_1[[\Diamond \top]]} & M_1 \{\bot, \top\} \\ \mu_1^{10} & & & \downarrow [[\Diamond]] & & & \mu_1^{11} & & \downarrow [[\Box]] \\ M_1 1 & - & & \hline \\ & & & M_2 1 & - & \hline \\ & & & & & M_2 1 & - & \hline \\ & & & & & & I \\ \end{array}$$

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Luckily, depth-1 monads are characterised by

$$M_1 M_0 M_n \xrightarrow{M_1 \mu^{10}} M_1 M_n \xrightarrow{\mu^{1n}} M_{n+1}$$

being a coequalizer diagram in  $M_0$ -algebras.

Fix an  $M_0$ -algebra  $(\Omega, o)$  of truth values.

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Image: A matrix

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- *k*-ary propositional operators  $p \in \mathcal{O}$ , which are understood as  $M_0$ -morphisms  $[\![p]\!] : \Omega^k \to \Omega$

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- Modal operators L ∈ Λ, which are understood as M<sub>1</sub>-algebras (with (Ω, o) as both M<sub>0</sub>-algebras and [[L]] : M<sub>1</sub>Ω → Ω as the structure morphism between them).

Fix an  $M_0$ -algebra  $(\Omega, o)$  of truth values. Graded logics are comprised of

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- Modal operators L ∈ Λ, which are understood as M<sub>1</sub>-algebras (with (Ω, o) as both M<sub>0</sub>-algebras and [[L]] : M<sub>1</sub>Ω → Ω as the structure morphism between them).

The semantics of  $\varphi$  is an  $M_0$ -morphism  $\llbracket \varphi \rrbracket : M_n 1 \to \Omega$  recursively defined by:

$$\begin{bmatrix} c \end{bmatrix} : M_0 1 \xrightarrow{M_0 \hat{c}} M_0 \Omega \xrightarrow{o} \Omega, \\ \llbracket p(\varphi_1, ..., \varphi_k) \rrbracket = \llbracket p \rrbracket (\llbracket \varphi_1 \rrbracket, ..., \llbracket \varphi_k \rrbracket), \\ \llbracket L \varphi \rrbracket = \llbracket L \rrbracket (\llbracket \varphi \rrbracket)$$

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# Graded Monads and Fixpoints

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$$\prod_{n\in\mathbb{N}}M_n1$$

 $\bullet$  So the semantics of a formula  $\varphi$  is supposed to be a map:

$$\llbracket \varphi \rrbracket : (\prod_{n \in \mathbb{N}} M_n 1) \to \{\bot, \top\}$$

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• The *M*<sub>1</sub>-part of the canonical *M*<sub>1</sub>-algebra is the codomain of the coequalizer

$$M_{1}M_{0}\Pi_{n}M_{n} \xrightarrow{\mu^{10}} M_{1}\rho_{0} \xrightarrow{M_{1}\rho_{0}} M_{1}\Pi_{n}M_{n} \xrightarrow{c} C$$

$$M_{1}\langle M_{0}\pi_{n}\rangle \xrightarrow{M_{1}} M_{1}\Pi_{n}M_{0}M_{n}$$
## Conjecture



December 20, 2022

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## Thank you!

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