

Friedrich-Alexander-Universität



## **Coalgebraic Infinitary Trace Semantics** of Nominal Büchi-Automata

**Florian Frank** 

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# Preliminaries I: Fundamentals of ${\bf Nom}$ and Monads





#### Definition ( Group )

A group  $G = (G, \cdot, e)$  consists of a set G, a binary operation  $\cdot$  on G, and an element e, such that:

(i) · is associative,





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(iii) every element has an inverse element.





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#### **Example: Permutation Group**

A *permutation*  $\pi: X \to X$  on a set X is a bijective map. It gives rise to the permutation group Sym X of X by

Sym  $X := (\{ \pi : X \to X \mid \pi \text{ is bijective } \}, \circ, \operatorname{id}_X).$ 





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A permutation is called *finite* if the set {  $x \in X | \pi x \neq x$  } is finite. With this we get the subgroup Perm  $X \leq \text{Sym } X$  of finite permutations of X.



#### Definition (Group Actions)

If X is a set and  $\mathbf{G} = (G, \cdot, e)$  is a group, then an *action* of G on X is a function

 $\triangleright : G \times X \to X, (g, x) \mapsto g \triangleright x,$ 

such that for all  $g, h \in G$  and  $x \in X$ :

(i) 
$$e \triangleright x = x$$

We call the set X together with its action a G-set.



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#### Definition ( Equivariant Functions )

Let  $(X, \triangleright_X)$  and  $(Y, \triangleright_Y)$  be *G*-Sets, then a function  $f \colon X \to Y$  is called *equivariant*, if

$$f(g \rhd_X x) = g \rhd_Y fx$$

holds for all  $g \in G$  and  $x \in X$ .



#### Definition ( Orbits )

Let  $(X, \triangleright)$  be a *G*-Set for a group *G* and  $x \in X$ . Then the *orbit of x with respect*  $to \triangleright$  is  $G \triangleright x := \{ g \triangleright x \mid g \in G \} \subseteq X$ . These orbits are the equivalence classes for the equivalence relation

$$x \sim_{\mathsf{G}} y : \iff \exists g \in G. \ y = g \triangleright x,$$

and we call a *G*-set *orbit-finite*, if  $\#(X/\sim_G) < \infty$ .



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#### Definition (Support)

Let  $(X, \triangleright)$  be a Perm  $\mathbb{A}$  set, then  $A \subseteq \mathbb{A}$  is a *support* for  $x \in X$  if for all  $\pi \in \text{Perm } \mathbb{A}$ 

$$(\forall a \in A. \pi a = a) \Rightarrow \pi \rhd x = x.$$

We then define *the support*  $\operatorname{supp}_X x$  of a finitely supported x as the least of all finite supports.





#### Definition ( Uniform Finite Support )

Let  $(X, \triangleright)$  be a finitely supported Perm  $\mathbb{A}$  set. A subset  $S \subseteq X$  is called *uniformly finitely supported (ufs)* if there exists a finite set  $A \subseteq \mathbb{A}$  that supports each  $x \in S$ .

#### Remark ( $U.F.S. \Rightarrow F.S.$ )

Every ufs subset  $S \subseteq X$  is finitely supported by the same subset  $A \subseteq A$ . One can also show that in those cases we have

$$A = \bigcup_{x \in S} \operatorname{supp} x.$$



#### Definition ( Category of Nominal Sets )

A nominal set X is a Perm  $\mathbb{A}$  set whose elements are all finitely supported. Together with equivariant functions, identiies and compositions as in Set, they form a category Nom.

#### Remark ( Nom is a Cartesian Closed Category )

Since Nom has finite products and exponentials for every pair X, Y of object of Nom, the category is cartesian closed.

Additionally, Nom admits arbitrary coproducts.



#### Example: Power Sets

With finitely and uniformly finitely supported subsets we get the following two functors:

 $\begin{array}{rcccc} \mathcal{P}_{\mathsf{ufs}} & : & \mathbf{Nom} & \to & \mathbf{Nom} \\ & & X & \mapsto & \{ \ S \subseteq X \mid S \text{ is ufs} \ \} \\ & & f \colon X \to Y & \mapsto & \mathcal{P}_{\mathsf{ufs}}f \colon \mathcal{P}_{\mathsf{ufs}}X \to \mathcal{P}_{\mathsf{ufs}}Y, \ S \mapsto f[S] \end{array}$ 



#### Definition (Freshness)









#### Definition ( Abstraction Set )

We call the quotient set of  $\mathbb{A} \times X$  with  $\approx_{\alpha}$  the *nominal set of name abstractions*  $[\mathbb{A}] X$  together with its action

 $\rhd \colon \operatorname{\mathsf{Perm}} \mathbb{A} \times [\mathbb{A}] \, X \to [\mathbb{A}] \, X, \ (\pi, \ \langle a \rangle \, x) \mapsto \langle \pi a \rangle \, (\pi \rhd_X \, x) \, .$ 

Furthermore, we have supp  $\langle a \rangle x = \operatorname{supp} x \setminus \{a\}$  for all  $a \in \mathbb{A}$  and  $x \in X$ .

#### Proposition (*Functoriality of* [A] - )

The object map  $X \mapsto [\mathbb{A}] X$  extends to the *abstraction functor* as follows:

$$[\mathbb{A}] -: \mathbf{Nom} \to \mathbf{Nom}, \left\{ \begin{array}{ccc} X & \mapsto & [\mathbb{A}] X \\ f & \mapsto & [\mathbb{A}] f \colon \langle a \rangle \, x \mapsto \langle a \rangle \, fx \end{array} \right.$$



Theorem ( Adjointness of the Abstraction Functor )

The abstraction functor  $[\mathbb{A}]\_$  is both a left and a right adjoint:

 $\_ * \mathbb{A} \dashv [\mathbb{A}] \_ \dashv R_{\_}$ 



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#### Proposition (Preservation of Exponentials)

The abstraction functor  $[\mathbb{A}]$  \_ preserves exponentials:

 $\left[\mathbb{A}\right](X \rightarrow_{\mathsf{fs}} Y) \cong \left[\mathbb{A}\right] X \rightarrow_{\mathsf{fs}} \left[\mathbb{A}\right] Y$ 



#### Corollary ( $\mathcal{P}_{ufs}$ distributes over $[\mathbb{A}] -$ )

The functor  $\mathcal{P}_{\mbox{\tiny ufs}}$  distributes over the abstraction functor by

$$\varphi_{X}: \begin{cases} [\mathbb{A}] \mathcal{P}_{ufs}(X) & \to \mathcal{P}_{ufs}([\mathbb{A}] X) \\ \langle a \rangle S & \mapsto \{ \langle a \rangle x \mid x \in S \} \end{cases},$$
  
$$\psi_{X}: \begin{cases} \mathcal{P}_{ufs}([\mathbb{A}] X) & \to [\mathbb{A}] \mathcal{P}_{ufs}(X) \\ S & \mapsto \langle a \rangle \{ x \mid \langle a \rangle x \in S \} \text{ with } a \# S \end{cases}$$

These morphisms are mutually inverse and natural in X.

#### Definition (Monads)

Let C be a category. A monad on C is a triple  $\langle T, \eta, \mu \rangle$ , where  $T : C \to C$  is an endofunctor,  $\eta : \operatorname{id}_{\mathcal{C}} \Rightarrow T$  and  $\mu : T^2 \Rightarrow T$  are natural transformations, and the following diagrams commute for every object X in C:





#### Definition ( Kleisli Category )

Let  $\langle T, \eta, \mu \rangle$  be a monad on a category C. The *Kleisli Category*  $\mathcal{K}\ell_T$  of T has the same objects as C, but arrows  $X \to Y$  in  $\mathcal{K}\ell_T$  are arrows  $X \to TY$  in C. The identity in  $\mathcal{K}\ell_T$  is given by the unit  $\eta_X \colon X \to TX$ , and the composition of two arrows  $f \colon X \to Y$  and  $g \colon Y \to Z$  in  $\mathcal{K}\ell_T$  is written as  $g \odot f$  and defined by

$$\begin{array}{c} g \odot f \\ \overbrace{f}{} TY \xrightarrow{g \odot} T^2 Z \xrightarrow{\mu_Z} TZ. \end{array}$$



#### Remark ( Canonical Adjunction )

We have a canonical adjunction



where J is defined by JX = X on objects and  $Jf = \eta_{\text{cod } f} \circ f$  on arrows, where cod f is the codomain of the arrow f. The functor U is defined by UX = TX on objects and  $Uf = \mu_{\text{cod } f} \circ Tf$  on arrows.

#### Definition ( Distributive Laws )



#### Proposition ( Correspondence between Extensions and $\mathcal{K}\ell$ -Laws )

Let  $\langle T, \eta, \mu \rangle$  be a monad and  $F : C \to C$  an endofunctor on a category C. Then there is a bijective correspondence between distributive laws  $\lambda : FT \Rightarrow TF$  and extensions of  $F : C \to C$  to a functor  $\overline{F} : \mathcal{K}\ell_T \to \mathcal{K}\ell_T$ , i.e. a functor that makes the diagram on the right commute. Herein, the arrow J is the canonical left adjoint from earlier.





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Given a distributive law  $\lambda: FT \Rightarrow TF$  one defines the functor  $\overline{F}$  by

$$\overline{F} \colon \mathcal{K}\ell_{\mathcal{T}} \to \mathcal{K}\ell_{\mathcal{T}}, \begin{cases} X & \mapsto & FX \\ X \xrightarrow{f} TY & \mapsto & FX \xrightarrow{Ff} FTY \xrightarrow{\lambda_{Y}} TFY \end{cases}$$



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In the other direction, given  $\overline{F}$  one obtains a distributive law by  $\lambda_X = \overline{F}(\operatorname{id}_{TX}): FTX \to TFX.$ 

### **Kleisli-Lattices**

 $\mathcal{K}\ell_{\mathcal{P}_{ufs}}$  admits Lattices



#### Proposition ( $\mathcal{K}\ell$ -Arrows are a Complete Lattice )

For any pair X, Y of objects in Nom the hom-set of the Kleisli category  $\mathcal{K}\ell_{\mathcal{P}_{ufs}}(X, Y)$  of the monad  $\mathcal{P}_{ufs}$  is a complete lattice, where joins and meets are built by taking the union or intersection, respectively:

#### Remark ( Top and Bottom Element )

The bottom element  $\perp_{X,Y}$  of the lattice  $\mathcal{K}\ell_{\mathcal{P}_{ufs}}(X, Y)$  and the top element  $\top_{X,Y}$  are defined by the equivariant functions

 $\bot_{X,Y} \colon X \to Y, \, x \mapsto \emptyset \qquad \text{and} \qquad \top_{X,Y} \colon X \to Y, \, x \mapsto \{ y \mid \text{supp } y \subseteq \text{supp } x \}.$ 



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# Preliminaries II: Büchi RNNA and Equational Systems

#### Bar Strings Definition



We fix a countable infinite set  $\mathbb{A}$  of names, and define an extended alphabet  $\overline{\mathbb{A}}$  by  $\overline{\mathbb{A}} := \mathbb{A} \cup \{ |a| | a \in \mathbb{A} \}.$ 

#### Definition ( Bar Strings )

- A finite bar string is a finite word over A
  , while an infinite bar string is an infinite word over A. We denote the sets of finite and infinite bar strings by A
  \* and A
  <sup>ω</sup>, respectively.
- Given a word  $w \in \overline{\mathbb{A}}^* \cup \overline{\mathbb{A}}^{\omega}$  the set of names in w is defined by

 $N(w) := \{ a \in \mathbb{A} \mid \text{the letter } a \text{ or } | a \text{ occurs in } w \}.$ 

An infinite bar string *w* is *finitely supported* if N(w) is finite; the set  $\overline{\mathbb{A}}_{fs}^{\omega} \subseteq \overline{\mathbb{A}}^{\omega}$  denotes the finitely supported infinite bar strings.

A name  $a \in \mathbb{A}$  occuring in a bar string  $w \in \overline{\mathbb{A}}^* \cup \overline{\mathbb{A}}^{\omega}$  is free if it occurs to the left of any occurance of |a|, and bound otherwise. We denote the set of free names in w by FN(w).





#### Definition ( $\alpha$ -Equivalence on Bar Strings )

■ We define *α*-equivalence ≡<sub>*α*</sub> on finite bar strings as the equivalence generated by

$$w|av \equiv_{\alpha} w|bu$$
 iff  $\langle a \rangle v = \langle b \rangle u$  in  $[\mathbb{A}] \overline{\mathbb{A}}^*$ .

This then can be extended to an equivalence relation  $\equiv_{\alpha}$  on infinite bar strings by

$$v \equiv_{\alpha} w$$
 iff  $v[0:n) \equiv_{\alpha} w[0:n)$  for all  $n \in \omega$ .

■ We write  $[w]_{\alpha}$  for the  $\alpha$ -equivalence class of  $w \in \overline{\mathbb{A}}^* \cup \overline{\mathbb{A}}^{\omega}$ , and denote by  $\overline{\mathbb{A}}^*/\equiv_{\alpha}$  and  $\overline{\mathbb{A}}^{\omega}/\equiv_{\alpha}$  the sets of  $\alpha$ -equivalence classes of finite and infinite bar strings, respectively.

#### Remark (Right Cancellation Property)

For all  $v, w \in \overline{\mathbb{A}}^*$  and  $x \in \overline{\mathbb{A}}^* \cup \overline{\mathbb{A}}^{\omega}$ , we have that  $vx \equiv_{\alpha} wx$  implies  $v \equiv_{\alpha} w$ .





#### Definition ( Clean Bar Strings )

A finite or infinite bar string w is *clean* if for each  $a \in FN(w)$  the letter |a does not occur in w, and for each  $a \notin FN(w)$  the letter |a occurs at most once.

#### Lemma ( Canonical Form for Bar Strings )

Given a bar string  $w \in \overline{\mathbb{A}}^* \cup \overline{\mathbb{A}}_{\mathrm{fs}}^{\omega}$  and a set *S* with  $\mathrm{FN}(w) \subseteq S$ , there is an  $\alpha$ -equivalent clean bar string  $\mathrm{nf}(w)$  which is unique with respect to the ordering of the names  $\mathbb{A} \setminus S$ . Additionally, the mapping  $\mathrm{nf}$  is equivariant.





#### Notation (Prefixes)

Given two strings  $v \in \overline{\mathbb{A}}^n$  and  $w \in \overline{\mathbb{A}}^{n+1}$ , we write  $v \sqsubseteq w$  if v = w[0:n) and write  $v \sqsubseteq_{\alpha} w$  if  $v \equiv_{\alpha} w[0:n)$ .

#### Lemma ( $\alpha$ -Equivalent Prefixes)

Given two bar strings  $v \in \overline{\mathbb{A}}^n$  and  $w \in \overline{\mathbb{A}}^{n+1}$  and a finite set  $S \subseteq \mathbb{A}$ , such that  $FN(v), FN(w) \subseteq S$ , we have that  $v \sqsubseteq_{\alpha} w$  if and only if  $nf(v) \sqsubseteq nf(w)$ .

#### *Proof:* We show both implications singularily:

"⇒" The assumption implies, that  $u \equiv_{\alpha} w[0:n)$  and therefore  $nf(u) \equiv_{\alpha} u \equiv_{\alpha} w[0:n] \equiv_{\alpha} nf(w)[0:n]$ , where the last  $\alpha$ -equivalence holds because of the *right cancellation property*. However, because of the uniqueness with respect to the ordering of  $A \setminus S$ , we have that nf(u) = nf(w)[0:n].



"\equiv We now have 
$$nf(u) = nf(w)[0:n)$$
, i.e.  
 $u \equiv_{\alpha} nf(u) = nf(w)[0:n] \equiv_{\alpha} w[0:n)$ , where the last  
 $\alpha$ -equivalence holds because of the *right cancellation property*.



#### Definition (Regular Nondeterministic Nominal Automata)

A regular nondeterministic nominal automaton (RNNA) is a tuple  $A = (Q, \delta, s, Acc)$  consisting of

- an orbit-finite nominal set *Q* of states, with an *initial state s* ∈ *Q*;
- an equivariant subset  $\delta \subseteq Q \times \overline{\mathbb{A}} \times Q$ , the *transition relation*, where we write  $q \xrightarrow{\alpha} q'$  for  $(q, \alpha, q') \in \delta$ ; transitions of type  $q \xrightarrow{a} q'$  are called *free*, and those of type  $q \xrightarrow{la} q'$  bound;
- an equivariant subset Acc ⊆ *Q* of *final* states

such that the following conditions are satisfied:



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- an equivariant subset  $\delta \subseteq \mathbf{Q} \times \overline{\mathbb{A}} \times \mathbf{Q}$ , the *transition relation*;
- an equivariant subset  $Acc \subseteq Q$  of *final* states

such that the following conditions are satisfied:

- The relation  $\delta$  is  $\alpha$ -invariant, i.e. closed under  $\alpha$ -equivalence of transitions, where transitions  $q \xrightarrow{la} q'$  and  $p \xrightarrow{lb} p'$  are  $\alpha$ -equivalent if q = p and  $\langle a \rangle q' = \langle b \rangle p'$ .
- The relation  $\delta$  is *finitely branching up to*  $\alpha$ *-equivalence*, i.e. for each state q the sets

$$\left\{ \left. \left(a,\,q'\right) \;\middle|\; q \xrightarrow{a} q' \;\right\} \; ext{and} \; \left\{ \left. \left\langle a 
ight
angle \, q' \;\middle|\; q \xrightarrow{\mid a} q' \;\right\} 
ight.$$

are finite or equivalently ufs.





#### Remark (RNNAs as Coalgebras)

Coalgebraically, an RNNA is an orbit-finite coalgebra  $\gamma: Q \rightarrow FQ$  for the functor

$$F = \mathcal{P}_{ufs}(\mathbb{A} \times - + [\mathbb{A}] -),$$

together with an equivariant subset Acc  $\subseteq Q$  of final states and a map  $s \colon 1 \to Q$  in  $\mathcal{K}\ell_{\mathcal{P}_{ufs}}$  for initial states.

Given an RNNA  $A = (Q, \delta, s, Acc)$ , its equivalent coalgebra is given by

$$\gamma_{G} \colon \left\{ \begin{array}{cc} \mathcal{Q} & \to & \mathcal{P}_{\mathsf{ufs}} \left( \mathbb{A} \times \mathcal{Q} + [\mathbb{A}] \, \mathcal{Q} \right) \\ q & \mapsto & \mathcal{S}_{q} \end{array} \right.$$

where  $(a, q') \in S_q$  iff  $q \xrightarrow{a} q'$ , and  $\langle a \rangle q' \in S_q$  iff  $q \xrightarrow{la} q'$ . The map of initial states is given by  $s : \mathbb{1} \to Q, * \mapsto \{s\}$ .



#### Definition ( Büchi RNNA )

A Büchi RNNA is an RNNA  $A = (Q, \delta, q_0, Acc)$ , where it accepts a run  $r \in Q^{\omega}$ , if  $\# \{ i \in \omega \mid r_i \in Acc \} = \omega$ . The state  $q \in Q$  accepts an infinite bar string  $w \in \overline{\mathbb{A}}^{\omega}$ , if there is a run for w starting with q. The automaton A accepts  $w \in \overline{\mathbb{A}}^{\omega}$ , if its initial state  $q_0$  accepts w. We then define by

$$L_{\alpha,\omega}(A) := \left\{ [w]_{\alpha} \mid w \in \overline{\mathbb{A}}^{\omega}, A \text{ accepts } w \right\}$$

the bar  $\omega$ -language accepted by A.



Definition ( Equational Systems with Two Variables )

For  $i \in \{1, 2\}$  let  $f_i: L_1 \times L_2 \rightarrow L_i$  be monotone functions where all  $L_i$ 's are posets. An *equational system* is then a sequence

 $\left[\begin{array}{c} \boldsymbol{u}_1 =_{\eta_1} f_1(\boldsymbol{u}_1, \boldsymbol{u}_2) \\ \boldsymbol{u}_2 =_{\eta_2} f_2(\boldsymbol{u}_1, \boldsymbol{u}_2) \end{array}\right],$ 

where the  $u_i$ 's are variables and  $\eta_i$  is either  $\nu$  or  $\mu$  for all  $i \in \{1, 2\}$ .

Given all necessary fixed points exist, we can define the *solution* of such a system by the element

$$(h_1, h_2) \in L_1 \times L_2,$$

obtained in the following way:

- 1) Compute the first 'interim' solution  $g_1(u_2) = \eta_1 x_1$ .  $f_1(u_1, u_2)$ .
- 2) Substitute this solution in the remaining equation, i.e.  $u_2 =_{\eta_2} f_2(g_1(u_2), u_2)$ , and solve this system to compute  $h_2$ , which is used for  $h_1 = g_1(h_2)$ .



#### Lemma (Solvability Criterion)

Such an equational system for two variables has a solution if each  $L_i$  is a complete lattice.



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### Coalgebraic Infinitary Trace Semantics of Büchi RNNA



**Original Assumptions** 

#### Assumption (*Coalgebraic Assumptions after Urabe et al.*)

In what follows a monad T and an endofunctor F, both on a category C, satisfy:

- (1)  $\, {\cal C}$  has a final object 1 and finite coproducts.
- (2) *F* has a final coalgebra  $\zeta : Z \to FZ$  in *C*.
- (3) There is a distributive law  $\lambda \colon FT \Rightarrow TF$ , hence  $F \colon C \to C$  is lifted to  $\overline{F} \colon \mathcal{K}\ell_T \to \mathcal{K}\ell_T$ .
- (4) For every pair X, Y of objects in  $\mathcal{K}\ell_T$ , the hom-set  $\mathcal{K}\ell_T(X, Y)$  carries an order  $\preccurlyeq_{X,Y}$  and is a complete lattice.
- (5) Kleisli composition  $\odot$  and cotupling [-, -] are monotone with respect to the order  $\preccurlyeq$ .

(6) The lifting  $\overline{F}$  is *locally monotone*, i.e. for  $f, g \in \mathcal{K}\ell_T(X, Y)$ ,  $f \preccurlyeq_{X,Y} g$  implies  $\overline{F}f \preccurlyeq_{\overline{F}X,\overline{F}Y} \overline{F}g$ .

Büchi RNNAs satisfy the Assumptions



#### **Büchi RNNAs**

The category  $\mathbf{Nom}$ , the ufs powerset monad  $\mathcal{P}_{\mathsf{ufs}}$  and the functor

$$F = \mathbb{A} \times - + [\mathbb{A}] -$$

satisfy the assumptions.

*Proof:* Since most of the points have already been proven, we will only look at the final coalgebra of *F*: We prove that the map

$$\zeta \colon \left(\overline{\mathbb{A}}^{\omega}/\equiv_{\alpha}\right)_{\mathsf{fs}} \to \mathcal{G}(\left(\overline{\mathbb{A}}^{\omega}/\equiv_{\alpha}\right)_{\mathsf{fs}}), \ [w]_{\alpha} \mapsto \begin{cases} (a, [w']_{\alpha}) & \text{if } [w]_{\alpha} = [aw']_{\alpha} \\ \langle a \rangle [w']_{\alpha} & \text{if } [w]_{\alpha} = [law']_{\alpha} \end{cases}$$
(1)

is the final coalgebra for the functor G by use of Adámek's Lemma for final coalgebras. (The  $\omega^{op}$ -limit of the chain  $G^n$ 1 carries the structure of a final coalgebra, if G preserves that limit)

Büchi RNNAs satisfy the Assumptions



We then prove that  $G^n \mathbb{1} \cong \overline{\mathbb{A}}^n / \equiv_{\alpha}$  holds by induction over  $n \in \omega$ :

Base Case (n = 0): Obviously this holds, since  $G^0 \mathbb{1} = \mathbb{1} \cong \{ [\varepsilon]_{\alpha} \} = \overline{\mathbb{A}}^0 / \equiv_{\alpha}.$ 

**Step Case (** $n \to n+1$ **):** Suppose now that  $G^n \mathbb{1} \cong \overline{\mathbb{A}}^n / \equiv_{\alpha}$  holds for *n*, then we have

$$\begin{aligned} G^{n+1} \mathbb{1} &= G(G^n \mathbb{1}) \stackrel{\text{I.H.}}{\cong} G(\overline{\mathbb{A}}^n / \equiv_{\alpha}) \\ &= \mathbb{A} \times \overline{\mathbb{A}}^n / \equiv_{\alpha} + [\mathbb{A}] \overline{\mathbb{A}}^n / \equiv_{\alpha} \stackrel{(*)}{\cong} \overline{\mathbb{A}}^{n+1} / \equiv_{\alpha}. \end{aligned}$$

The last isomorphism (\*) is given by

 $(a, [w]_{\alpha}) \mapsto [aw]_{\alpha}$  and  $\langle a \rangle [w]_{\alpha} \mapsto [|aw]_{\alpha}$ 

for  $a \in \mathbb{A}$  and  $[w]_{\alpha} \in \overline{\mathbb{A}}^n / \equiv_{\alpha}$ . It should be obvious to see that this mapping is an isomorphism.

Büchi RNNAs satisfy the Assumptions



#### With this, we only have to prove that

 $((\overline{\mathbb{A}}^{\omega}/\equiv_{\alpha})_{fs}, \varphi_n: (\overline{\mathbb{A}}^{\omega}/\equiv_{\alpha})_{fs} \to \overline{\mathbb{A}}^n/\equiv_{\alpha}, w \mapsto w[0:n))$  is indeed the limit cone for the  $\omega^{op}$  chain. Indeed, our candidate is a cone since the following diagram obviously commutes:

So suppose  $(K, \psi_i \colon K \to \overline{\mathbb{A}}^i / \equiv_{\alpha})$  is another cone. This means that for each  $k \in K$  we have a finitely supported family  $\psi_i(k)$  of finitely supported bar strings that is compatible, i.e.  $\psi_i(k) \sqsubseteq_{\alpha} \psi_{i+1}(k)$  for all  $i \in \omega$ .





Since the family  $\{ \psi_i(k) \}_{i \in \omega}$  is finitely supported, the set  $S := \bigcup_{i \in \omega} FN(w_i)$  is finite. We therefore define

$$a_{K}: K \to \overline{\mathbb{A}}^{\omega} / \equiv_{\alpha}, \ k \mapsto \left[ w_{k}: \omega \to \overline{\mathbb{A}}, \ i \mapsto \operatorname{nf}(\psi_{i+1}(k))(i) \right]_{\alpha}$$

and prove below that it is the unique arrow between K and our limit candidate. It is well-defined in the sense that we have  $\operatorname{nf}(\psi_i(k)) \sqsubseteq \operatorname{nf}(\psi_{i+1}(k))$  for each  $i \in \omega$ . The mapping is also equivariant. Additionally, this  $a_K$  fulfills the limit equations, i.e. we have for every  $k \in K$  and  $n \in \omega$ , that  $\psi_n(k) = \varphi_n(a_K(k))$ .

Büchi RNNAs satisfy the Assumptions



We show that  $\varphi_n(a_k(k)) = nf(\psi_n(k))$  by induction over  $n \in \omega$ :

# **Base Case (**n = 0**):** Obviously this holds, since $nf(\psi_0(k)) = [\varepsilon]_{\alpha} = \varphi_0(a_{\kappa}(k)).$

**Step Case (** $n \rightarrow n+1$ **):** Suppose now that  $nf(\psi_n(k)) = \varphi_n(a_k(k))$  holds for *n*. Let  $w_k$  be the representant of  $a_k(k)$ , then we have

$$\begin{aligned} \varphi_{n+1}(w_k) &= \varphi_n(w_k) \left( \operatorname{nf}(\psi_{n+1}(k))(n) \right) \\ \stackrel{I.H.}{=} & \operatorname{nf}(\psi_n(k)) \left( \operatorname{nf}(\psi_{n+1}(k))(n) \right) \\ \stackrel{(\Delta)}{=} & \operatorname{nf}(\psi_{n+1}(k))[0:n) \left( \operatorname{nf}(\psi_{n+1}(k))(n) \right) \\ &= & \operatorname{nf}(\psi_{n+1}(k)), \end{aligned}$$

## where the step $\left(\Delta\right)$ holds because of the prefix properties of the canonical form.

Büchi RNNAs satisfy the Assumptions



The uniqueness of the mapping  $a_{\mathcal{K}}$  is easy to prove since the cone projections are jointly monic:

- Let  $g, h: X \to (\overline{\mathbb{A}}^{\omega} / \equiv_{\alpha})_{fs}$  be two maps with  $\varphi_i \circ g = \varphi_i \circ h$  for all  $i \in \omega$ .
- This means that for every  $x \in X$ , putting  $[w]_{\alpha} = g(x)$  and  $[w']_{\alpha} = h(x)$ , we have  $w[0:i) \equiv_{\alpha} w'[0:i)$  for every  $i \in \omega$ .
- But this means  $w \equiv_{\alpha} w'$  and therefore g(x) = h(x).

With this in mind, we see that for every other map f between K and  $(\overline{\mathbb{A}}^{\omega}/\equiv_{\alpha})_{fs}$  with  $\varphi_i \circ f = \psi_i$  we have  $\varphi_i \circ f = \varphi_i \circ a_K$  and thus  $f = a_K$ . Hereby, uniqueness is shown, and because

$$\mathbf{a}_{G} \colon G(\left(\overline{\mathbb{A}}^{\omega}/\equiv_{\alpha}\right)_{\mathsf{fs}}) \to \left(\overline{\mathbb{A}}^{\omega}/\equiv_{\alpha}\right)_{\mathsf{fs}}, \begin{cases} (\mathbf{a}, [\mathbf{w}]_{\alpha}) & \mapsto & [\mathbf{aw}]_{\alpha} \\ \langle \mathbf{a} \rangle [\mathbf{w}]_{\alpha} & \mapsto & [|\mathbf{aw}]_{\alpha} \end{cases}$$

is the unique limit mapping between  $G((\overline{\mathbb{A}}^{\omega}/\equiv_{\alpha})_{fs})$  and  $(\overline{\mathbb{A}}^{\omega}/\equiv_{\alpha})_{fs}$ , we see that its inverse must be the final coalgebra of G.



Büchi (T, F)-Systems

#### Definition ( *Büchi* (*T*, *F*)-*System* )

A Büchi(T, F)-System is given by a triple  $\mathcal{X} = ((X_1, X_2), c: X \to \overline{F}X, s: 1 \to X)$ , where X is defined as the coproduct  $X_1 + X_2$  in C, the state objects with their priorities, meaning that  $X_1$  encodes the non-final, and  $X_2$  the final states of the Büchi automaton. Additionally,  $c: X \to \overline{F}X$  is an arrow in  $\mathcal{K}\ell_T$ , the dynamics, and  $s: 1 \to X$  an arrow in  $\mathcal{K}\ell_T$  providing *initial states*. We define for each i = 1, 2 the arrow  $c_i: X_i \to \overline{F}X$  to be the restriction  $c \circ \kappa_i: X_i \to \overline{F}X$  along the coproduct injections  $\kappa_i: X_i \to X$ .

Trace Semantics of Büchi-Systems



#### Definition (Trace Semantics of Büchi (T, F)-Systems)

Let  $\mathcal{X} = ((X_1, X_2), c \colon X \to \overline{F}X, s \colon \mathbb{1} \to X)$  be a Büchi (T, F)-System. It induces the following equational system  $E_{\mathcal{X}}$ , where  $\zeta \colon Z \to FZ$  is the final coalgebra of F in  $\mathcal{C}$ . Herein, the variable  $u_i$  ranges over the poset  $\mathcal{K}\ell_T(X_i, Z)$ :

$$\mathsf{E}_{\mathcal{X}} := \left[ \begin{array}{cc} u_1 & =_{\mu} & (J\zeta)^{-1} \circledcirc \overline{\mathsf{F}}[u_1, u_2] \circledcirc c_1 \\ u_2 & =_{\nu} & (J\zeta)^{-1} \circledcirc \overline{\mathsf{F}}[u_1, u_2] \circledcirc c_2 \end{array} \right]$$

(T, F) consitutes a *Büchi trace situation*, if  $E_{\mathcal{X}}$  has a solution for any Büchi (T, F)-System  $\mathcal{X}$ , denoted by trace<sup>b</sup><sub>i</sub> $(\mathcal{X})$ :  $X_i \rightarrow Z$  for  $i \in \{1, 2\}$ . The composite

$$\mathsf{trace}^{\mathsf{b}}(\mathcal{X}) := \left( \mathbb{1} \xrightarrow{s} X_1 + X_2 \xrightarrow{[\mathsf{trace}^{\mathsf{b}}_1(\mathcal{X}),\mathsf{trace}^{\mathsf{b}}_2(\mathcal{X})]}{+} Z \right)$$

is called the *trace semantics* of the Büchi (T, F)-System  $\mathcal{X}$ .

Coincidence Result for Büchi RNNA



#### Theorem ( Coincidence with RNNAs )

Every Büchi RNNA System  $\mathcal{A} = ((Q \setminus Acc, Acc), c_{\mathcal{A}} : Q \rightarrow FQ, s : 1 \rightarrow Q)$  consitutes a Büchi trace situation, where the trace mappings are given by:

$$\operatorname{trace}_{1}^{\mathrm{b}}(\mathcal{A}) \colon Q \setminus \operatorname{Acc} o \overline{\mathbb{A}}^{\omega} / \equiv_{\alpha}, q \mapsto L_{\alpha,\omega}(q) \quad \text{ and} \\ \operatorname{trace}_{2}^{\mathrm{b}}(\mathcal{A}) \colon \operatorname{Acc} o \overline{\mathbb{A}}^{\omega} / \equiv_{\alpha}, q \mapsto L_{\alpha,\omega}(q)$$

Additionally its trace semantics is given by

$$\mathsf{trace}^{\mathsf{b}}(\mathcal{A}) \colon \mathbb{1} \to \overline{\mathbb{A}}^{\omega} / \equiv_{\alpha}, * \mapsto \mathit{L}_{\alpha,\omega}(\mathcal{A}).$$

Proof of Coincidence Result



*Proof:* Since every Kleisli hom-set  $\mathcal{K}\ell_{\mathcal{P}_{ufs}}(Y, Z)$  is a complete lattice, it is obvious that every Büchi RNNA System consitutes a Büchi trace situation. For this prove, we will calculate the solution of the following equational system:

$$E_{\mathcal{A}} := \begin{bmatrix} u_1 & =_{\mu} & (J\zeta)^{-1} \odot \overline{F}[u_1, u_2] \odot c_1 \\ u_2 & =_{\nu} & (J\zeta)^{-1} \odot \overline{F}[u_1, u_2] \odot c_2 \end{bmatrix}$$

Herein, the state set Q is divided into  $Q_1 := Q \setminus Acc$  and  $Q_2 := Acc$ , the mapping  $c_i : Q_i \rightarrow FQ$  is the restriction of the coalgebra along the coproduct injections, the functor  $F = \mathbb{A} \times - + [\mathbb{A}] - is$  the Büchi RNNA functor, while

$$\zeta \colon \left(\overline{\mathbb{A}}^{\omega} / \equiv_{\alpha}\right)_{\mathsf{fs}} \to F(\left(\overline{\mathbb{A}}^{\omega} / \equiv_{\alpha}\right)_{\mathsf{fs}}), \ [w]_{\alpha} \mapsto \begin{cases} (a, [w']_{\alpha}) & \text{if } [w]_{\alpha} = [aw']_{\alpha} \\ \langle a \rangle [w']_{\alpha} & \text{if } [w]_{\alpha} = [law']_{\alpha} \end{cases}$$

is the final coalgebra for F.

Proof of Coincidence Result



#### Notation (Paths in Büchi RNNAs)

Given some  $q, q' \in Q$  and  $v \in \overline{\mathbb{A}}^* / \equiv_{\alpha}$ , we write  $q \xrightarrow{v} q'$  if there is a *v*-labeled path from  $q \to q'$ , and  $q \xrightarrow{v} q'$  if, additionally, all intermediate states on the path are from  $Q_1$ . Note, that q and q' may still be elements of  $Q_2$ .

We will then solve this system just like it was mentioned earlier:

Proof of Coincidence Result



Step 1 For every fixed  $u_2: Q_2 \rightarrow (\overline{\mathbb{A}}^{\omega} / \equiv_{\alpha})_{fs'}$  define the interim solution  $I_1^{(1)}$  by

$$I_1^{(1)}(\mathbf{U}_2) := \mu \,\mathfrak{n}_1. \, (\mathbf{J}\zeta)^{-1} \odot \overline{\mathbf{F}}[\mathfrak{n}_1, \mathbf{U}_2] \odot \mathbf{C}_1$$

and solve this by using Kleene. To make the notation less convoluted, we define the 'helper function'  $f_1$  to be

$$f_{1} \colon \begin{cases} \mathcal{K}\ell_{\mathcal{P}_{\mathsf{ufs}}}\left(\mathbf{Q}_{1}, \left(\overline{\mathbb{A}}^{\omega}/\equiv_{\alpha}\right)_{\mathsf{fs}}\right) \to \mathcal{K}\ell_{\mathcal{P}_{\mathsf{ufs}}}\left(\mathbf{Q}_{1}, \left(\overline{\mathbb{A}}^{\omega}/\equiv_{\alpha}\right)_{\mathsf{fs}}\right), \\ \mathfrak{n}_{1} \mapsto (\mathbf{J}\zeta)^{-1} \odot \overline{\mathbf{F}}[\mathfrak{n}_{1}, \mathbf{U}_{2}] \odot \mathbf{c}_{1}. \end{cases}$$

We claim, that for all  $k \in \omega$  and  $q \in Q_1$ , we have

$$f^{k}(\bot)(\boldsymbol{q}) = \left\{ \begin{bmatrix} \boldsymbol{v}\boldsymbol{w} \end{bmatrix}_{\alpha} \middle| \begin{array}{c} \boldsymbol{v} \in \overline{\mathbb{A}}^{\leqslant k}, \, \boldsymbol{w} \in \overline{\mathbb{A}}^{\omega} \\ \exists \boldsymbol{q}' \in \boldsymbol{Q}_{2}. \, \boldsymbol{q} \stackrel{\boldsymbol{v}}{\Rightarrow}^{*} \boldsymbol{q}' \wedge [\boldsymbol{w}]_{\alpha} \in \boldsymbol{u}_{2}(\boldsymbol{q}'). \end{array} \right\}.$$

Herein,  $\mathfrak{f}_1^k$  denotes the *k*-fold application of  $\mathfrak{f}_1$ . We prove this claim per induction over  $k \in \omega$ :

Proof of Coincidence Result



**Base Case (**k = 0**):** For k = 0 the claim obviously holds: Since  $f_1^0(\bot)(q) = \bot(q) = \emptyset$  by definition and  $q \in Q_1$ , we do not have  $q \xrightarrow{\varepsilon} q'$  for any  $q' \in Q_2$ .

**Step Case (** $k \to k + 1$ **):** Suppose now that the claim holds for some  $k \in \omega$ . Let, furthermore,  $[u]_{\alpha} = [aw]_{\alpha} \in (\overline{\mathbb{A}}^{\omega} / \equiv_{\alpha})_{fs}$ , where  $a \in \overline{\mathbb{A}}$  and  $w \in \overline{\mathbb{A}}^{\omega}$ . Then, the following statements are equivalent:

- (i)  $[u]_{\alpha} \in \mathfrak{f}_1(\mathfrak{f}_1^k(\perp))(q).$
- (ii) There is a  $q_1 \in Q_1$ , such that  $q \xrightarrow{a} q_1$  and  $[w]_{\alpha} \in \mathfrak{f}_1^k(\bot)(q_1)$ , or a  $q_2 \in Q_2$ , such that  $q \xrightarrow{a} q_2$  and  $[w]_{\alpha} \in u_2(q_2)$ .
- (iii) There is a  $q_1 \in Q_1, q_2 \in Q_2, v \in \overline{\mathbb{A}}^{\leq k}$ , and  $w' \in \left(\overline{\mathbb{A}}^{\omega} / \equiv_{\alpha}\right)_{\mathrm{fs}}$ , such that

$$[w]_{\alpha} = [vw']_{\alpha}, q \xrightarrow{a} q_1 \xrightarrow{v} q_2, \text{ and } [w']_{\alpha} \in u_2(q_2),$$

or a  $q_2 \in Q_2$ , such that  $q \stackrel{a}{\to} q_2$  and  $[w]_{\alpha} \in u_2(q_2)$ . (iv) There is a  $q_2 \in Q_2$ ,  $v \in \overline{\mathbb{A}}^{\leq k+1}$ , and  $w' \in (\overline{\mathbb{A}}^{\omega}/\equiv_{\alpha})_{\mathrm{fs}}$ , such that

$$[u]_{\alpha} = [vw']_{\alpha}, \ q \stackrel{v}{\Longrightarrow}^* q_2, \text{ and } [w']_{\alpha} \in u_2(q_2).$$



Because the function  $f_1$  is clearly  $\omega$ -continuous, the interim solution  $l_1^{(1)}(u_2)$  is obtained by taking the supremum of the Kleene chain. Therfore, we get the explicit description

$$\mathcal{J}_1^{(1)}(\pmb{u}_2)(\pmb{q}) = \left\{ \left[ \pmb{v}\pmb{w} 
ight]_lpha \; \middle| \; \pmb{v} \in \overline{\mathbb{A}}^+, \; \pmb{w} \in \overline{\mathbb{A}}^\omega, \exists \pmb{q}' \in \pmb{Q}_2.\pmb{q} \stackrel{\pmb{v}}{\Rightarrow} ^* \pmb{q}' \wedge [\pmb{w}]_lpha \in \pmb{u}_2(\pmb{q}') 
ight\}$$

of our interim solution.

Proof of Coincidence Result



Step 2 Define the iterim solution  $I_2^{(2)}$  by

$$I_2^{(2)} := \nu \, \mathfrak{n}_2. \, (J\zeta)^{-1} \circledcirc \overline{\mathcal{F}} \left[ I_1^{(1)}(\mathfrak{n}_2), \mathfrak{n}_2 \right] \circledcirc \mathbf{C}_2.$$

# Again, to make the notation less convoluted, we define the 'helper function' $\mathfrak{f}_2$ to be

$$\mathfrak{f}_2\colon \mathfrak{n}_2\mapsto (\boldsymbol{J}\zeta)^{-1}\odot \overline{\boldsymbol{F}}\left[\boldsymbol{I}_1^{(1)}(\mathfrak{n}_2),\mathfrak{n}_2\right]\odot \boldsymbol{c}_2.$$

Similar to Step 1,  $f_2$  is given by

$$\mathfrak{f}_2(\boldsymbol{\textit{u}}_2)(\boldsymbol{\textit{q}}) = \Big\{ \left[ \boldsymbol{\textit{v}} \boldsymbol{\textit{w}} \right]_\alpha \ \Big| \ \boldsymbol{\textit{v}} \in \overline{\mathbb{A}}^+, \ \boldsymbol{\textit{w}} \in \overline{\mathbb{A}}^\omega, \exists \boldsymbol{\textit{q}}' \in \boldsymbol{\textit{Q}}_2.\boldsymbol{\textit{q}} \stackrel{\boldsymbol{\textit{v}}}{\Rightarrow} \boldsymbol{^{*}} \boldsymbol{\textit{q}}' \wedge [\boldsymbol{\textit{w}}]_\alpha \in \boldsymbol{\textit{u}}_2(\boldsymbol{\textit{q}}) \Big\}$$

We then claim that  $I_2^{(2)}(q) = L^2_{\alpha,\omega}(q)$ . Here,  $L^2_{\alpha,\omega}$  is the restriction of the language mapping  $L_{\alpha,\omega}$  to  $Q_2$ . Since  $L^2_{\alpha,\omega}$  is obviously a fixed

Proof of Coincidence Result



point of  $f_2$ , we have  $L^2_{\alpha,\omega}(q) \subseteq I^{(2)}_2(q)$ . It remains to prove  $I_2^{(2)}(q) \subseteq L_{\alpha,\omega}^2(q)$ . Let  $[w]_{\alpha} \in I_2^{(2)}(q) = \mathfrak{f}_2(I_2^{(2)})(q)$  and  $w \in \overline{\mathbb{A}}^{\omega}$  be a representant of  $[w]_{\alpha}$ . We shall construct infinite sequences of states  $q_0, q_1, \dots \in Q_2$  and non-empty words  $v_1, v_2, \dots \in \overline{\mathbb{A}}^+$ , such that (i)  $q = q_0$  and  $q_i \xrightarrow{v_{i+1}} q_{i+1}$  holds for all  $i \in \omega$ ; (ii) for each  $k \in \omega$  the word  $v_1 \cdots v_k$  is a prefix of  $w_i$ , i.e.  $w = v_1 \cdots v_k w'$  for some  $w' \in \overline{\mathbb{A}}^{\omega}$  and the equivalence class  $[w']_{\alpha}$  of the suffix w' lies in  $I_2^{(2)}(q_k)$ . Given this, (ii) implies that  $w = v_1 v_2 \cdots$ , while (i) implies that w has an accepting run from q. Therefore, we can conclude that  $[w]_{\alpha} \in L^2_{\alpha}$ , (q). We construct this sequence recursively. Obviously, we fix  $q_0 = q$ . Moreover, fix  $k \in \omega$  and suppose that we already defined  $q_0, \ldots, q_k$  and  $v_1, \ldots, v_k$ , such that (i')  $q = q_0 \xrightarrow{\nu_1} q_1 \xrightarrow{\nu_2} \cdots \xrightarrow{\nu_k} q_k$ 

Proof of Coincidence Result



(ii') the word  $v_1 \cdots v_k$  is a prefix of w, i.e.  $w = v_1 \cdots v_k w'$  for some  $w' \in \overline{\mathbb{A}}^{\omega}$  and the equivalence class  $[w']_{\alpha}$  of the suffix w' lies in  $I_2^{(2)}(q_k)$ .

Because of (ii'), we have that  $w' \in l_2^{(2)}(q_k) = f_2(l_2^{(2)})(q_k)$ . Therefore, there are  $v' \in \overline{\mathbb{A}}^+$ ,  $w'' \in \overline{\mathbb{A}}^{\omega}$ , and  $q' \in Q_2$ , such that  $w' \equiv_{\alpha} v' w''$ ,  $q_k \stackrel{v'}{\Longrightarrow}^* q'$  and  $[w'']_{\alpha} \in l_2^{(2)}(q')$ . Thus,  $v_{k+1} = v'$  and  $q_{k+1} = q'$  fulfill all desired properties.

Step 3 Lastly, we calculate the trace mappings. Obviously, trace<sub>2</sub><sup>b</sup>( $\mathcal{A}$ ): Acc  $\rightarrow (\overline{\mathbb{A}}^{\omega}/\equiv_{\alpha})_{\mathsf{fs}}, q \mapsto L_{\alpha,\omega}(q)$  holds, since trace<sub>2</sub><sup>b</sup>( $\mathcal{A}$ ) =  $I_2^{(2)}$ . Moreover, we get the trace map for  $Q_1$  by  $I_1^{(1)}(I_2^{(2)})$ . Thus, for any  $q \in Q_1$ , we have

 $I_1^{(1)}(\mathcal{L}^2_{\alpha,\omega})(\boldsymbol{q}) = \Big\{ \left[ \boldsymbol{v} \boldsymbol{w} \right]_\alpha \ \Big| \ \boldsymbol{v} \in \overline{\mathbb{A}}^+, \ \boldsymbol{w} \in \overline{\mathbb{A}}^\omega, \text{ there is a } \boldsymbol{q}' \in \mathbf{Q}_2, \text{ s.t. } \boldsymbol{q} \xrightarrow{\boldsymbol{v}} \boldsymbol{q}', \text{ and } [\boldsymbol{w}]_\alpha \in \mathcal{L}^2_{\alpha,\omega}(\boldsymbol{q}') \Big\}.$ 

This is clearly equal to  $L^1_{\alpha,\omega}$ , the restriction of  $L_{\alpha,\omega}$  to  $Q_1$ .

Proof of Coincidence Result



This concludes the proof that the trace mappings are given by the language mappings. It is obvious, that the composite  $\left[\operatorname{trace}_{1}^{\mathsf{b}}(\mathcal{A}), \operatorname{trace}_{2}^{\mathsf{b}}(\mathcal{A})\right] \odot s$  maps the singular element  $* \in \mathbb{1}$  to the accepted bar  $\omega$ -language by the Büchi RNNA  $\mathcal{A}$ .



Friedrich-Alexander-Universität Technische Fakultät



### Thank you for your attention!