

Gödel-McKinsey-Tarski and Blok-Esakia for (sharp) Heyting-Lewis Calculus

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joint lecture with Albert Visser

course *Lewis meets Brouwer:*

Constructive strict implication

ESSLLI 2021, part II

What have we seen last time?

Axioms and rules of the minimal system HLC^b :

Those of IPC plus:

$$\text{Tra} \quad (\varphi \multimap \psi) \wedge (\psi \multimap \chi) \rightarrow (\varphi \multimap \chi)$$

“syntactic transitivity” of \multimap

$$\text{K}_a \quad (\varphi \multimap \psi) \wedge (\varphi \multimap \chi) \rightarrow (\varphi \multimap (\psi \wedge \chi))$$

normality=normality in the second coördinate

$$\text{N}_a \quad \frac{\varphi \rightarrow \psi}{\varphi \multimap \psi}.$$

binary generalization of necessitation

not only implies congruentiality, but also anti-monotonicity in the first coördinate

Axioms and rules of the full system HLC^\sharp :

All the axioms and rules of IPC and HLC^b and

$$\text{Di} \quad ((\varphi \multimap \chi) \wedge (\psi \multimap \chi)) \rightarrow ((\varphi \vee \psi) \multimap \chi).$$

should implication be anti-multiplicative in the first coördinate?

Running this axiom system via the AAL machinery yields:

Heyting algebras plus:

$$\text{CTra} \quad (\varphi \multimap \psi) \wedge (\psi \multimap \chi) \leq \varphi \multimap \chi$$

$$\text{CK}_a \quad (\varphi \multimap \psi) \wedge (\varphi \multimap \chi) = \varphi \multimap (\psi \wedge \chi)$$

$$\text{CId} \quad \varphi \multimap \varphi = \top$$

The class of **Heyting-Lewis algebras**:

all the equalities above plus

$$\text{CDi} \quad (\varphi \multimap \chi) \wedge (\psi \multimap \chi) = (\varphi \vee \psi) \multimap \chi.$$

The \multimap -free reduct: Heyting algebras

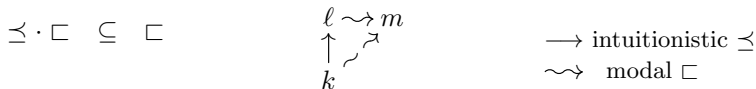
The \rightarrow -free reduct:

weak Heyting algebras of Celani and Jansana

fusion, **fibring** or **dovetailing** along the shared bounded lattice reduct

Kripke semantics of HLC[#]

- Nonempty set of worlds/states X
- Two relations:
 - Intuitionistic poset relation $\preceq \subseteq X \times X$, drawn as \rightarrow ;
 - Modal relation $\sqsubset \subseteq X \times X$, drawn as \rightsquigarrow .
 - These relations satisfy **precomposition/prefixing**:



- A valuation V sends propositional atoms to **\preceq -upward closed sets** $up(X, \preceq)$

Semantics of propositional connectives

- Semantics for \wedge , \vee , \top and \perp : Tarskian/boolean clauses locally at a given state
- Semantics for \rightarrow :

$X, V, w \Vdash \varphi \rightarrow \psi$ if for any $v \succeq w$, $v \Vdash \varphi$ implies $v \Vdash \psi$

- Semantics for \neg :

$X, V, w \Vdash \varphi \neg \psi$ if for any $v \sqsupseteq w$, $v \Vdash \varphi$ implies $v \not\Vdash \psi$

- **Global satisfaction** and **validity** defined as usual
- **Exercise** Show semantics for \Box :

$X, V, w \Vdash \Box\varphi$ if for any $v \sqsupseteq w$, $v \Vdash \varphi$

- **Exercise**: show that denotations of all connectives are upward closed

For \neg this is equivalent to the prefixing condition from the previous slide!

- **Exercise**: show that all axioms of HLC^\sharp , in particular Di , are valid

$$\text{Tra} \quad (\varphi \multimap \psi) \wedge (\psi \multimap \chi) \rightarrow (\varphi \multimap \chi)$$

Assume that (a) $w \Vdash \varphi \multimap \psi$, (b) $w \Vdash \psi \multimap \chi$, (c) $v \sqsupset w$, and (d) $v \Vdash \varphi$. We have that

(a), (c) and (d) yield (e) $v \Vdash \psi$ and then (b), (c) and (e) yield $v \Vdash \chi$

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Assume that (a) $w \Vdash \varphi \multimap \psi$, (b) $w \Vdash \varphi \multimap \chi$, (c) $v \sqsupset w$, and (d) $v \Vdash \varphi$. Then (a), (c) and (d) yield (e) $v \Vdash \psi$ and (b), (c) and (d) yield (f) $v \Vdash \chi$. From (e) and (f), we

infer $v \Vdash \psi \wedge \chi$

$$\text{Na} \quad \frac{\varphi \rightarrow \psi}{\varphi \multimap \psi}$$

Assume that (a) $\varphi \rightarrow \psi$ is globally forced (b) $v \sqsupset w$, and (c) $v \Vdash \varphi$. But then just

(a) and (c) yield that $v \Vdash \psi$

$$\text{Di} \quad ((\varphi \multimap \chi) \wedge (\psi \multimap \chi)) \rightarrow ((\varphi \vee \psi) \multimap \chi).$$

Assume that (a) $w \Vdash \varphi \multimap \chi$, (b) $w \Vdash \psi \multimap \chi$, (c) $v \sqsupset w$, and (d) $v \Vdash \varphi \vee \psi$. By the satisfaction clause for \vee , this means that either $v \Vdash \varphi$ or $v \Vdash \psi$. Split cases and use

either (a) or (b).

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- If so, can we always make countermodel **finite**, i.e., do we have the **finite model property**?

Note that for a finitely axiomatizable logic, the finite model property implies **decidability**

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Note that for a finitely axiomatizable logic, the finite model property implies **decidability**
- And do we have **strong completeness**, i.e., completeness for **theories**?

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- Is there a systematic way of deriving such completeness and correspondence results for suitably large classes of axioms?

Ordinary modal logics over CPC

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- Several important classes of formulas for which the fmp holds (for Sahlqvist, does not hold automatically!)
- One such important syntactic class: **uniform formulas** (Fine)
every occurrence of every atom within the scope of the same number of boxes

Subfreeness for FMP

- An even more important class is defined semantically:
transitive subframe logics
- A modal logic Λ is **subframe** if whenever
 - $(X, \sqsubset) \Vdash \Lambda$ and
 - $S \subseteq X$then $(S, \sqsubset \upharpoonright_{S \times S}) \Vdash \Lambda$
- If the class of frames for Λ is defined by a FO formula φ , its subfreeness is equivalent to φ
- Fine: transitive subframe logics have the fmp

An overview of GMT, Blok-Esakia, and
Wolter-Zakharyashev in the unary case

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- The brutal Gödel-(McKinsey-Tarski) translation for \mathcal{L}_{\Box} :

$$t_{\Box}^{\text{bru}}(\Box \varphi) := \Box_i \Box_m (t_{\Box}^{\text{bru}} \varphi)$$

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 - **S4** for \square_i and

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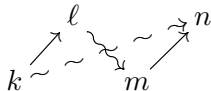
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 - **S4** for \Box_i and
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$$\text{mix} \quad \Box_m \varphi \rightarrow \Box_i \Box_m \Box_i \varphi$$

Recall mix/brilliancy :

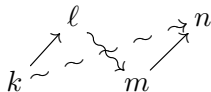


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- t_{\square}^{bru} embeds faithfully every intuitionistic normal logic over \mathcal{L}_{\square} into an interval of extensions of S4K
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- We can now refine the translation:

$$t_{\square}^{\text{mix}}(\square \varphi) := \square_m(t_{\square}^{\text{mix}} \varphi)$$

We can also optimize modulo S4:
dropping \square_i in front of \wedge , \vee , \top and \perp .

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If I recall, one-variable form due to Sobociński
- This version implies **reflexivity** and **transitivity**, i.e., S4
- But it also implies **(weak) Noetherianity**: the lack of (strictly) infinite ascending chains and proper clusters

- The translation reflects decidability, completeness, fmp.
Above **mix**, it also reflects canonicity
enough to find one **S4Mix**-counterpart with the desired property!
- To establish such results for extensions of **S4Mix**, one can
use classical modal metatheory
e.g., the Sahlqvist/SQEMA algorithm for canonicity and completeness
- W & Z showed this using a suitable notion of “descriptive
frames” (equivalent to an Esakia-style duality)
- As a by-product, they obtained a variant of the
Blok-Esakia theorem:

*the lattice of those extensions of S4Mix
 that include **the Grzegorzcyk axiom**
 is isomorphic to
 the lattice of all intuitionistic unimodal logics with a normal box*

Our job today

- Extending the Gödel-(McKinsey-Tarski) translation to \mathcal{L}_3

$$t_{-3}^{\text{bru}}(\varphi \rightarrow \psi) := \Box_i \Box_m (t_{-3}^{\text{bru}} \varphi \rightarrow t_{-3}^{\text{bru}} \psi)$$

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- Still better in the presence of strength

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- Each such interval has a maximal element, obtained with the help of the **Grzegorzcyk axiom** for \Box_i and

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Recall prefixing (persistence for $\neg 3$):

$$\begin{array}{ccc} \ell & \rightsquigarrow & m \\ \uparrow & \nearrow & \\ k & & \end{array}$$

Denote as S4HL the extension of S4K with mix

- We can now refine the translation:

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Three maps between classes of logics

- For every S4K-logic M , define

$$\rho M := \{\varphi \in \mathcal{L}_3 \mid t_3^{\text{bru}}(\varphi) \in M\}$$

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- For every -3 -logic $L = \text{HLC}^\# \oplus \Gamma$, define

$$\tau L := (\text{S4} \otimes \text{K}) \oplus t_{-3}^{\text{bru}}(\Gamma) \oplus \text{HL}$$

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Transfer of the fmp

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- W & Z provide some results based on the notion of (cofinal) subframe logic when R_m is transitive
- In the absence of R_i -clusters, this is ensured by

$$P \quad (\varphi \rightarrow \exists \psi) \rightarrow \Box(\varphi \rightarrow \exists \psi)$$

Theorem

Suppose \mathbf{M} is a canonical extension of $\mathbf{S4} \otimes \mathbf{K4}$ containing \mathbf{HL} that is closed under forming (R_m -cofinal) subframes. Then:

1. \mathbf{M} has the finite model property.
2. If moreover \mathbf{M} contains the classical strength axiom

$$S_c \quad \Box_i p \rightarrow \Box_m p.$$

then for any (R_m -cofinal) subframe logic $\Gamma \subseteq \mathcal{L}_m$, the logic $\mathbf{M} \oplus \Gamma$ has the finite model property.

Corollary

Let L be a \neg -logic extending P .

- 1. If its S4HL-counterparts include a canonical logic preserved by forming (cofinal) subframes, L has the fmp.*
- 2. Furthermore, if L extends S_a and its S4HL-counterparts include a logic obtained by extending a canonical (cofinal) subframe logic with a collection of \mathcal{L}_m -axioms preserved by R_m -subframes, L has the fmp.*

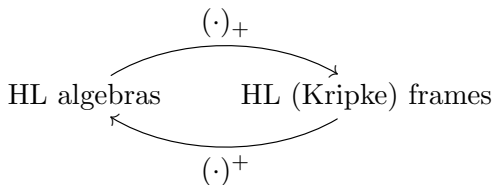
In either case, L is decidable whenever finitely axiomatizable.

This covers the P axiom itself, the strength axiom, a strong variant of the Löb axiom, the axiom of monads $\text{App}_a \dots$

However, some creativity is needed \dots

Descriptive frames or Esakia/Priestley dualities

Begin with frames w/o topology/no admissible sets



- For the Heyting reduct, proceed as usual
- For $\mathfrak{F} = (X, \preceq, \sqsubset)$, \mathfrak{F}^+ has $up(X, \preceq)$ as its carrier

$$a \underline{\exists} b = \{x \in X \mid \text{if } x \sqsubset y \text{ and } y \in a \text{ then } y \in b\}$$

- The carrier of \mathcal{A}_+ is just $pf \mathcal{A}$: its prime filters

$$\mathfrak{p} \sqsubset \mathfrak{q} \quad \text{iff} \quad \forall a, b \in A (a \underline{\exists} b \in \mathfrak{p} \text{ and } a \in \mathfrak{q} \text{ implies } b \in \mathfrak{q}).$$

- Showing that $((\cdot)_+)^+$ is a HL-embedding yields **Kripke completeness**

For the base system, and a few other ones, has been proved previously in a finitary manner (Iemhoff).

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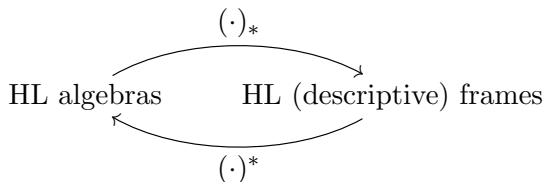
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 - ... and then one also needs to use all non-CDi axioms.

Upgrading to dual equivalence (descriptive-style)



- Limit valuations to **admissible upsets**: a general frame is $(X, \preceq, \sqsubset, P)$ with $P \subseteq \text{up}(X, \preceq)$ closed under $\cap, \cup, \rightarrow, \exists$.
- It is called **descriptive** if additionally it is
 - **compact**: For every $A \subseteq P$ and $B \subseteq \{X \setminus a \mid a \in P\}$, if $A \cup B$ has the f.i.p. then $\bigcap(A \cup B) \neq \emptyset$;
 - **\preceq -refined**: For all $x, y \in X$, if $x \not\preceq y$ then there exists $a \in P$ such that $x \in a$ and $y \notin a$;
 - **\exists -refined**: For all $x, y \in X$, if $x \not\sqsubset y$ then there exist $a, b \in P$ such that $x \in a \sqsupseteq b$ and $y \in a$ and $y \notin b$.

Morphisms: bounded wrt \sqsubset and \preceq + inverse images of admissibles
admissible

Upgrading to dual equivalence (Esakia- or Priestley-style)

- A **strict implication space** is a tuple $(X, \preceq, \sqsubset, \tau)$ s.t.
 - (X, \preceq, τ) is an **Esakia space**;
 - $x \preceq y \sqsubset z$ implies $x \sqsubset z$ for all $x, y, z \in X$;
 - $\downarrow_{\sqsubset} a = \{x \in X \mid x \sqsubset y \text{ for some } y \in a\}$ is **clopen** for every **clopen** $a \subseteq X$;
 - $\uparrow_{\sqsubset} x = \{y \in X \mid x \sqsubset y\}$ is **closed** in (X, τ) for all $x \in X$.
- Morphisms are **continuous** functions bounded wrt \sqsubset and \preceq .
- Extending the Heyting case: SIS is an isomorphic category to descriptive \neg -frames.
- But also limiting Celani and Jansana:
“Bounded distributive lattices with strict implication”, *Mathematical Logic Quarterly*, vol. 51, pp. 219–246, 2005.
- SIS are (isomorphic to) a subcategory of their WH-spaces
- Priestley-style rather than Esakia-style

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- And every extension of **S4K** is complete wrt such frames
More demanding proofs than in the unimodal case