# Conformance Games for Graded Semantics

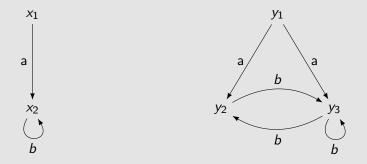


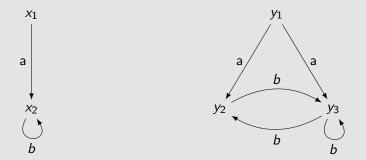
#### Jonas Forster Lutz Schröder Paul Wild

FAU Erlangen-Nürnberg Oberseminar, Chair for Theoretical Computer Science

19.11.2024





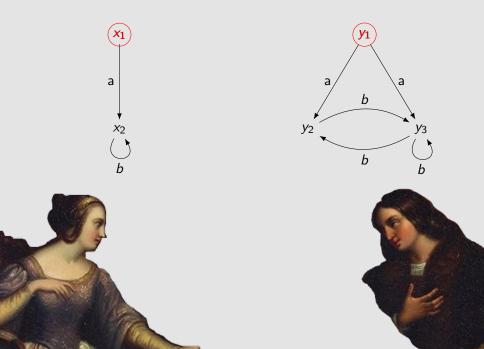


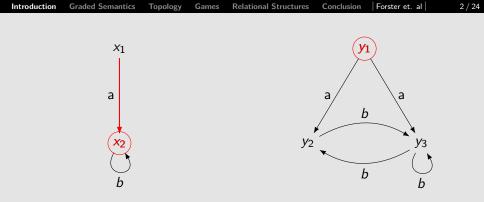
#### **Bisimilarity**

A bisimulation is a relation R such that for all xRy

- $x \xrightarrow{a} x'$  implies that there is y' with  $y \xrightarrow{a} y'$  and x'Ry'
- $y \xrightarrow{a} y'$  implies that there is x' with  $x \xrightarrow{a} x'$  and x'Ry'

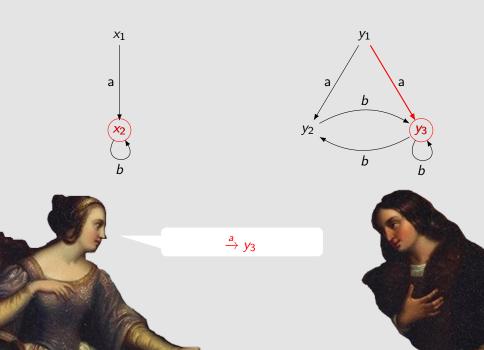
States x, y are bisimilar if there is a bisimulation with xRy



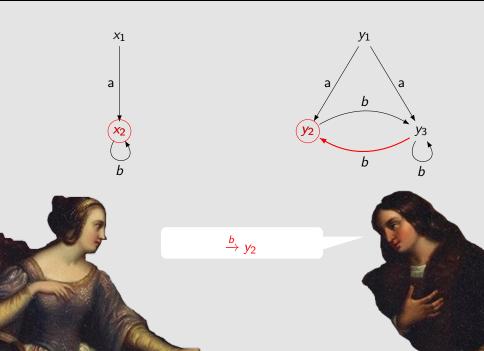




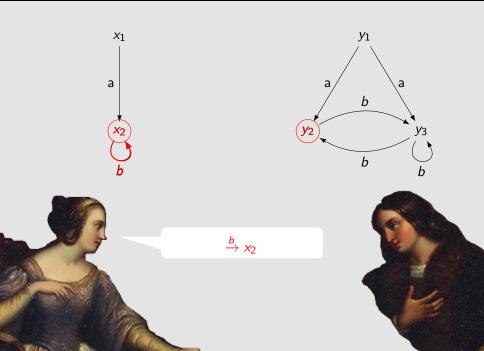




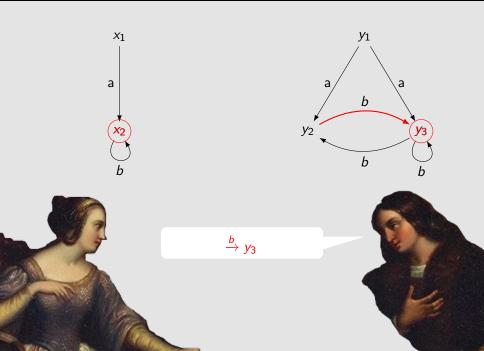




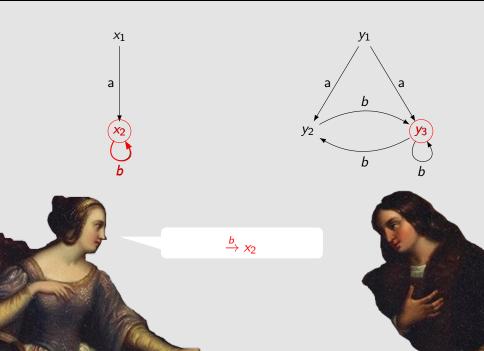










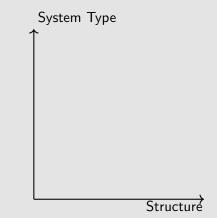


# Contributions

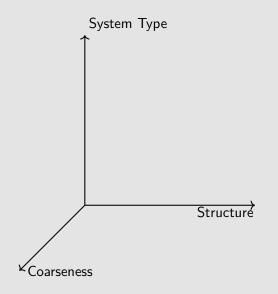




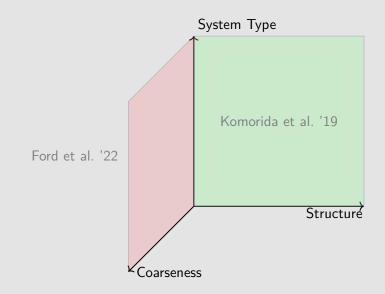




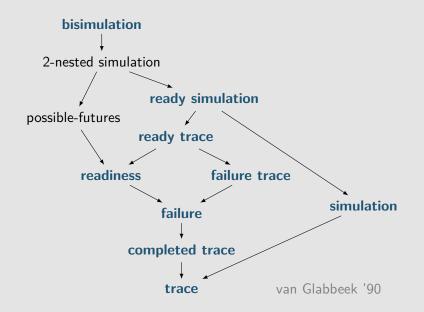












# Graded Monads

A graded monad  ${\mathbb M}$  consists of

- $M_n : \mathbf{C} \to \mathbf{C}$  for  $n \in \mathbb{N}$
- $\mu^{ij} \colon M_i M_j \Rightarrow M_{i+j}$
- $\eta: Id \Rightarrow M_0$

Subject to the usual monad laws (+ indices)

# Graded Monads

A graded monad  ${\mathbb M}$  consists of

- $M_n : \mathbf{C} \to \mathbf{C}$  for  $n \in \mathbb{N}$
- $\mu^{ij} \colon M_i M_j \Rightarrow M_{i+j}$
- $\eta: Id \Rightarrow M_0$

Subject to the usual monad laws (+ indices)

# Graded Algebras

A graded  $M_n$ -algebra A consists of

- **C**-objects  $A_k$  for  $k \leq n$
- $a^{ij} \colon M_i A_j \Rightarrow A_{i+j}$  for  $i+j \le n$

Subject to the usual algebra laws (+ indices)

# Graded Semantics

## Graded Semantics

A graded semantics for G-coalgebras consists of a graded monad  $\mathbb{M}$  and a natural transformation  $\alpha \colon G \Rightarrow M_1$ 

For  $\gamma \colon X \to GX$  define inductively  $\gamma^{(k)} \colon X \to M_k 1$ :

$$\gamma^{(0)} \colon X \xrightarrow{\eta} M_0 X \xrightarrow{M_0!} M_0 1$$
  
$$\gamma^{(k+1)} \colon X \xrightarrow{\alpha \cdot \gamma} M_1 X \xrightarrow{M_1 \gamma^{(k)}} M_1 M_k 1 \xrightarrow{\mu^{1k}} M_{k+1} 1$$

## Example: Probabilistic Traces

## PTS

Probabilistic Transition Systems are coalgebras  $\gamma \colon X \to \mathcal{D}(A \times X)$ 

## Probabilistic Trace Monad

- $M_n = \mathcal{D}(A^n \times X)$
- Multiplication  $\mu_X^{i,j} : \mathcal{D}(A^i \times \mathcal{D}(A^j \times X)) \to \mathcal{D}(A^{i+j} \times X)$ multiplies (and adds) probabilities
- $\eta_X \colon X \to \mathcal{D}X$ , unit of  $\mathcal{D}$
- $\alpha = id$

Then  $\gamma^{(n)}(x) \in D(A^n)$  probabilities of traces of x after n steps

# Depth-1 Monads

## Depth-1 Graded Monads

# A graded monad is *depth-1* if the following diagram is a coequalizer:

$$M_1 M_0 M_0 \xrightarrow[\mu^{10} M_0]{} M_1 M_0 \xrightarrow{\mu^{10}} M_1$$

# Canonical $M_1$ Algebras

#### Canonical Algebra

An  $M_1$ -algebra A is canonical if it is free over its 0-part

$$A_0 \xrightarrow{f_0} B_0$$

$$\begin{array}{ccc} M_1A_0 & \xrightarrow{M_1f_0} & M_1B_0 \\ & & \downarrow_{a^{10}} & & \downarrow_{b^{10}} \\ & & A_1 & \cdots & B_1 \end{array}$$

#### Lemma

If  $\mathbb M$  is depth-1, then the  $M_1\text{-algebra}$   $(M_0X,M_1X,\mu^{0,0},\mu^{0,1},\mu^{1,0})$  is canonical

# (Pre)determinization

# $\bar{M}_1$

Let  $E: \operatorname{Alg}_0(\mathbb{M}) \to \operatorname{Alg}_1(\mathbb{M})$  be the functor extending  $M_0$ -algebras to their canonical  $M_1$ -algebra

$$ar{M}_1 \colon (\mathsf{Alg}_0(\mathbb{M}) \xrightarrow{E} \mathsf{Alg}_1(\mathbb{M}) \xrightarrow{(-)_1} \mathsf{Alg}_0(\mathbb{M}))$$

It is immediate that  $M_1 = U\bar{M}_1F$ 

$$\frac{X \xrightarrow{\alpha \cdot \gamma} M_1 X = U \bar{M}_1 F X}{F X \xrightarrow{\gamma^{\#}} \bar{M}_1 F X}$$

# Categories with Structure

# Topological Categories / $\textbf{CLat}_{\sqcap}\text{-}fibrations$

Categories that admit initial liftings

$$\begin{array}{ccc} \mathcal{E} & A \xrightarrow{f_i} B_i \\ \downarrow \upsilon & & \\ \mathbf{Set} & X \xrightarrow{f_i} UB_i \end{array}$$

#### Pullback

 $\mathcal{E}_X$ : Lattice of spaces above X For  $f: X \to Y$ , get functor  $f^{\bullet}: \mathcal{E}_Y \to \mathcal{E}_X$ 

# **Topological Categories**

Examples		
Category	Initial Lift	
Topological spaces	Initial topology	
Measurable spaces	(Basis of) Preimages of measurable sets	
Equivalence relation	Relation reflection	
Preorders	Order reflection	
Pseudometric spaces	"Map and measure"	



## Ingredients

- Topological functor  $U \colon \mathcal{E} \to \mathbf{Set}$
- Graded monad  $M_n$  on  $\mathcal{E}$
- Functor  $G: \mathcal{E} \to \mathcal{E}$  and depth-1 graded semantics  $\alpha: G \Rightarrow M_1$



## Ingredients

- Topological functor  $U \colon \mathcal{E} \to \mathbf{Set}$
- Graded monad  $M_n$  on  $\mathcal{E}$
- Functor  $G: \mathcal{E} \to \mathcal{E}$  and depth-1 graded semantics  $\alpha: G \Rightarrow M_1$

## Behavioural Conformance

Define  $P^{\omega}_{\alpha} := \prod_{i \in \mathbb{N}} (\gamma^{(i)})^{\bullet} M_i 1$ 

Define  $P_{\alpha}^{\infty}$  as the initial lift w.r.t.  $h \circ \eta$ , where h ranges over coalgebra homs with domain  $\gamma^{\#} \colon M_0 X \to \overline{M}_1 M_0 X$ 



## Ingredients

- Topological functor  $U \colon \mathcal{E} \to \mathbf{Set}$
- Graded monad  $M_n$  on  $\mathcal{E}$
- Functor  $G: \mathcal{E} \to \mathcal{E}$  and depth-1 graded semantics  $\alpha: G \Rightarrow M_1$

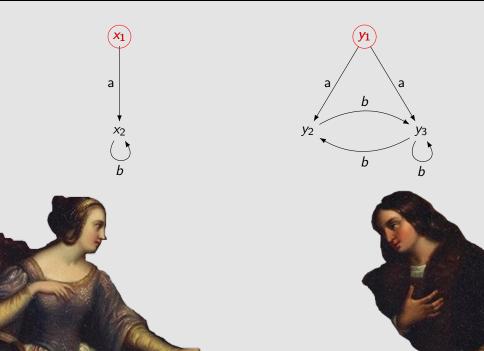
## Behavioural Conformance

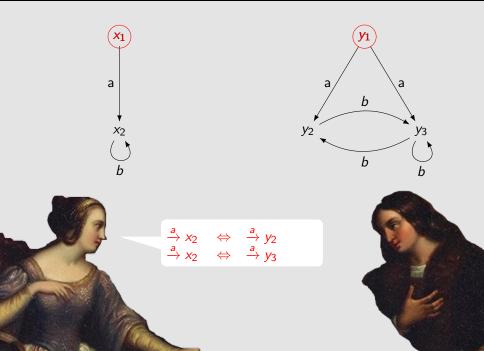
Define  $P^{\omega}_{\alpha} := \prod_{i \in \mathbb{N}} (\gamma^{(i)})^{\bullet} M_i 1$ 

Define  $P_{\alpha}^{\infty}$  as the initial lift w.r.t.  $h \circ \eta$ , where h ranges over coalgebra homs with domain  $\gamma^{\#} \colon M_0 X \to \overline{M}_1 M_0 X$ 

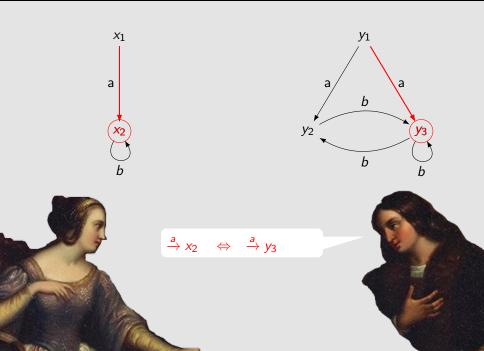
#### Example

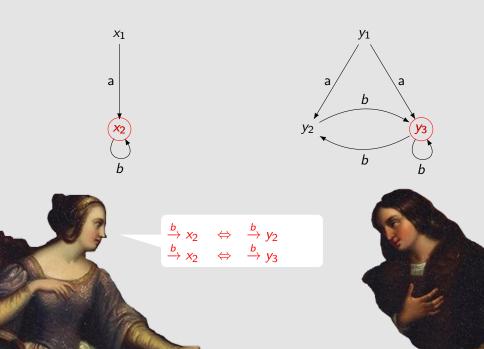
Equip  $M_n X = \mathcal{D}(A^n \times X)$  with Wasserstein/total var. distance

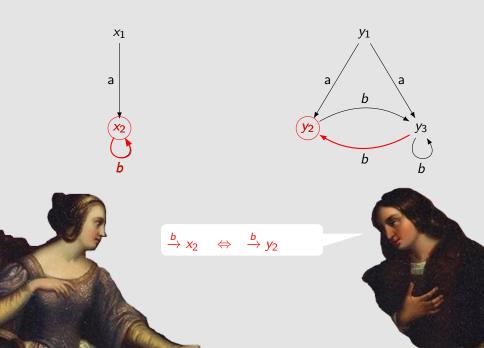




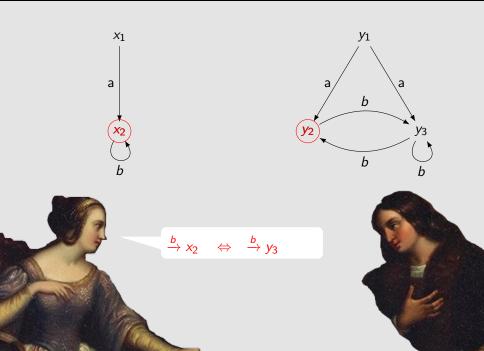


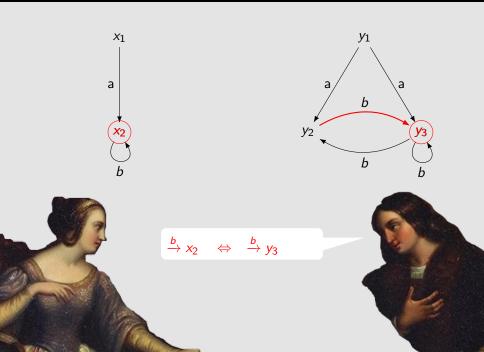












### Local bisimulation

A local bisimulation at (x, y) is a relation  $R \subseteq X \times X$  such that

- $x \xrightarrow{a} x'$  implies that there is y' with  $y \xrightarrow{a} y'$  and x'Ry'
- $y \xrightarrow{a} y'$  implies that there is x' with  $x \xrightarrow{a} x'$  and x'Ry'

#### Game variant

To prove bisimilarity of (x, y)

- 1 Duplicator plays a local bisimulation R at (x, y)
- **2** Spoiler picks an element  $(x', y') \in R$  as a new position.
- 3 Goto step 1.

A player that can not move loses, infinite plays are won by Duplicator.

# Setting up the Game

How to Play Basis B of  $\mathcal{E}_{M_0X}$ 

Duplicator wants to show that conformance *P* holds in the behaviour of  $(M_0X, \gamma^{\#})$ .

2	Position	
Duplicator	$P \in B$	$Z \subseteq B \text{ s.t. } P \sqsubseteq (\overline{M}_1 \iota \cdot \gamma^{\#})^{\bullet} \overline{M}_1 C(\bigsqcup Z)$
Spoiler	$Z \subseteq B$	$P \in Z$

# Setting up the Game

How to Play Basis B of  $\mathcal{E}_{M_0X}$ 

Duplicator wants to show that conformance *P* holds in the behaviour of  $(M_0X, \gamma^{\#})$ .

	Position	
Duplicator	$P \in B$	$Z \subseteq B \text{ s.t. } P \sqsubseteq (\overline{M}_1 \iota \cdot \gamma^{\#})^{\bullet} \overline{M}_1 C(\bigsqcup Z)$
Spoiler	$Z \subseteq B$	$P \in Z$

#### Additional Condition

Let P be the position after n rounds. Duplicator wins the n-round game if

$$P \sqsubseteq (M_0!_X)^{\bullet} M_0 1$$

## Assumptions

- Depth-1 graded semantics,  $\bar{M}_1$  preserves initial arrows.
- $M_0$  is a lifting of a set functor.

## Theorem

Let  $P \in \mathcal{E}_{UX}$ . Duplicator wins in all positions  $P' \in B$  with  $P' \sqsubseteq \eta_{\bullet} P$ 

- in the graded *n*-round conformance game iff  $P \sqsubseteq (\gamma^{(n)})^{\bullet} M_n 1$ .
- in the graded infinite conformance game iff  $P \sqsubseteq P_{\alpha}^{\infty}$ .

## Assumptions

- Depth-1 graded semantics,  $\bar{M}_1$  preserves initial arrows.
- $M_0$  is a lifting of a set functor.

## Theorem

Let  $P \in \mathcal{E}_{UX}$ . Duplicator wins in all positions  $P' \in B$  with  $P' \sqsubseteq \eta_{\bullet} P$ 

- in the graded *n*-round conformance game iff  $P \sqsubseteq (\gamma^{(n)})^{\bullet} M_n 1$ .
- in the graded infinite conformance game iff  $P \sqsubseteq P_{\alpha}^{\infty}$ .

## Syntactic Perspective

 $\Rightarrow$  Categories of Relational Structures



Relational Signature

# Category $Str(\Pi)$

Objects are pairs (X, E), where X is a set and E consists of tuples  $\pi(x_1, \ldots, x_{ar(\pi)})$  with  $\pi \in \Pi$  and  $x_i \in X$ 

Morphisms  $g: (X, E) \to (Y, E')$  are maps  $g: X \to Y$  such that  $\pi(x_1, \ldots, x_n) \in E$  implies  $\pi(g(x_1), \ldots, g(x_n)) \in E'$ 

# Horn Structures

# Horn Axioms Let $\mathcal{A}$ be a set of axioms of the form

 $\Phi \Rightarrow \psi$ 

where  $\psi$  is a  $\Pi$ -edge in Var and  $\Phi$  is a set of  $\Pi$ -edges in Var

Category **Str**( $\Pi$ , A): Subcategory of **Str**( $\Pi$ ), closed under A.

# Examples of Horn Theories

Preorders Signature  $\Pi = \{\leq\}$ , Axioms

$$x \le x$$
  $\{x \le y, y \le z\} \Rightarrow x \le z$ 

Pseudometric Spaces Signature  $\Pi = \{=_{\epsilon} | \epsilon \in [0, 1] \cap \mathbb{Q}\}$ , Axioms  $x =_0 x$   $x =_{\epsilon} y \Rightarrow y =_{\epsilon} x$  $\{x =_{\epsilon} y, y =_{\epsilon'} z\} \Rightarrow x =_{\epsilon + \epsilon'} z$  $x =_{\epsilon} y \Rightarrow x =_{\epsilon + \epsilon'} y$  $\{x =_{\epsilon'} y \mid [0,1] \cap \mathbb{Q} \ni \epsilon' > \epsilon\} \Rightarrow x =_{\epsilon} y$ 

# **Relational Theories**

## Relations in Context

Set  $\Sigma$  of symbols  $\sigma$ , each with arity  $ar(\sigma) \in \mathbb{N}$  and depth  $d(\sigma) \in \mathbb{N}$ .

Relational theories  $(\Sigma, \mathcal{E})$  are parametric over a set of Axioms  $\mathcal{E}$  of the form

$$X \vdash_k \pi(t_1,\ldots,t_n)$$

where

- *t<sub>i</sub>* are uniform depth *k* terms over Var
- $\pi \in \Pi \cup \{=\}$
- X is a Π-structure over Var

## Varieties of $\Sigma$ -Algebras

#### Calculus

Judgments of the form  $X \vdash_k \pi(t_1, \ldots, t_n)$ over some context  $X \in \mathbf{Str}(\mathcal{H})$  Monad  $\mathbb{M}$ , where  $M_n X$  are depth-n terms in  $\Sigma$  modulo =, with provable edges.

Example: Probabilistic Trace Distance  $\Sigma = \{+_q \mid q \in [0,1]\} \cup \{a \mid a \in A\}$   $\vdash_0 x +_1 y = x \qquad \vdash_0 x +_\epsilon x = x$   $\vdash_0 x +_q y = y +_{1-q} x \qquad \vdash_0 (x +_q y) +_v z = x +_{qv} (y +_{\frac{q-qv}{1-qv}} z)$   $\vdash_1 a(x +_q y) = a(x) +_q a(y)$   $\{x =_\epsilon y, x' =_{\epsilon'} y'\} \vdash_0 x +_q x' =_\delta y +_q y'$ 

with  $\delta = \epsilon q + \epsilon'(1-q)$ 

## Advantages of Relational Structures

Basis for free! Basis *B* consists of individual edges on  $M_0X$ 

Admissibility  $\cong$  Syntactic Proof

A set of edges Z is admissible at  $\pi(x_1, \ldots, x_n)$  if  $Z \vdash_1 \pi(\gamma^{\#}(x_1), \ldots, \gamma^{\#}(x_n))$ 

### Monad Conditions

 $(\Sigma, \mathcal{E})$  depth-1  $\Rightarrow \mathbb{M}$  depth-1  $M_0$  lifting if all depth-0 axioms for = have empty context

# Conclusion

## What we did

- Generalized graded games to topological categories
- Provided Syntactic Underpinnings

## Future Work

- Relation to codensity games?
- Syntax for arbitrary topological categories?
- Strategies ⇔ Formulae?