

# Names and Substitution

## Theme and Variations

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joint work with Henning and Stefan

Oberseminar 13.05.2025

This talk and the material it contains was created without aid of LLMs

What are variables?

$$(x \cdot y)^{-1} = y^{-1} \cdot x^{-1}$$

$$(abc)^{-1} = c^{-1} b^{-1} a^{-1}$$

$$\int_a^b x x y dy \neq \int_a^b x x y dy + \int_a^b y y y dy$$

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s}$$

$$S \rightarrow a A a$$
$$A \rightarrow$$

$$(x \cdot y)(a \cdot a) \rightarrow (a \cdot a)y \rightarrow yy$$

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$$S \rightarrow a A a$$

$$A \rightarrow$$

$$(\lambda x. xy)(\lambda a. aa) \rightarrow_p (\lambda a. aa)y \rightarrow_p yy$$

- Variables are "placeholders"

↳ variable name does not matter and renaming should be allowed  
 $\uparrow$   
(almost: avoid capture)

# What are variables?

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↓

$$(abc)^{-1} = c^{-1} b^{-1} a^{-1}$$

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$$S \rightarrow aAa$$

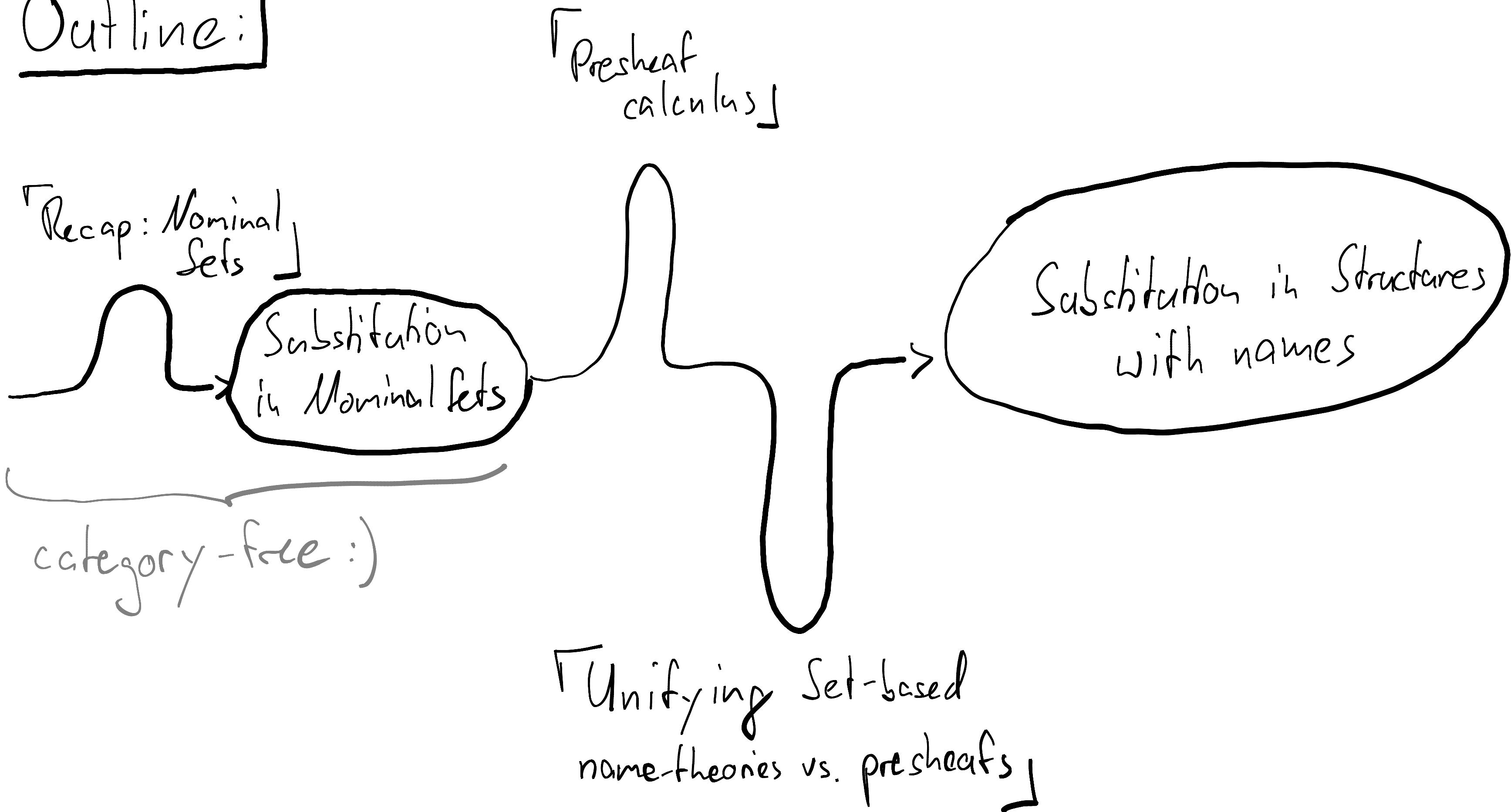
$$A \rightarrow$$

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- Variables are "placeholders"
  - ↳ variable name does <sup>not</sup> matter and renaming should be allowed
    - (almost: <sup>↑</sup> avoid capture)
- Algebra: substitute variables by complex terms
  - ↳ abstraction + substitution  $\hat{=}$  operational semantics of FP languages
    - ↳ again, be careful to avoid variable capture

# Mathematical Models of Variables and Substitution

## Outline:



Nominal Sets: Fix "infinite"  $A$  of "atoms"/"variables"/"names"/"urelements"

["Definition": Set  $X$  such that  
↳ every  $x \in X$  "depends" on finitely many names  
↳ closed under swapping  $\begin{pmatrix} a \mapsto b \\ b \mapsto a \end{pmatrix}$  of names.]

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Γ Examples:

✓  
 $A^2 = \{(a, b) \mid a \neq b\} : \{a, b, c\} \leftarrow$  supported set

$\lambda$ -terms,  
e.g.  $\lambda(a \in A). ab : A^N \leftarrow$  Perm  $A$ -set

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✓  $X$  :  $\{a, b, c\}$   $\leftarrow$  supported set  
 $A^{\neq 2} = \{(a, b) \mid a \neq b\}$  :  
 $\lambda$ -terms,  
e.g.  $\lambda(a \in A). ab$  :  $A^N \leftarrow$  Perm  $A$ -set

Γ Intuition: terms over  
unifary syntax + permutation symmetries  
↳ e.g.  $\frac{\{f(a, b, c) \mid a^{\neq} + b^{\neq} + c^{\neq}\}}{f(a, b, c) = f(c, b, a)}$   $\cup \{a+b \mid a \neq b\}$

## Nominal Sets:

- Morphisms: can forget names and compare for (in-)equality:

$$\checkmark \quad A \times A \rightarrow A + 1 \\ (a, b) \mapsto \begin{cases} 1 & a = b \\ b & \text{else} \end{cases}$$

$$X: (a, b) \mapsto \begin{cases} b & a = c \\ d & \text{else} \end{cases}$$

## Nominal Sets:

- Morphisms: can forget names and compare for (in-)equality:

↳ Category  $\text{Nom}$  with rich structure

function space  $Y^X$

powerset construction

abstraction  $[A]X$

for fresh b:  $\lambda a.x = \lambda b.x$

fresh/separated product:  $X * Y$

"independent" elements (no shared names)

$\rightarrow A * [A]X \rightarrow X$  "capture-avoiding evaluation"

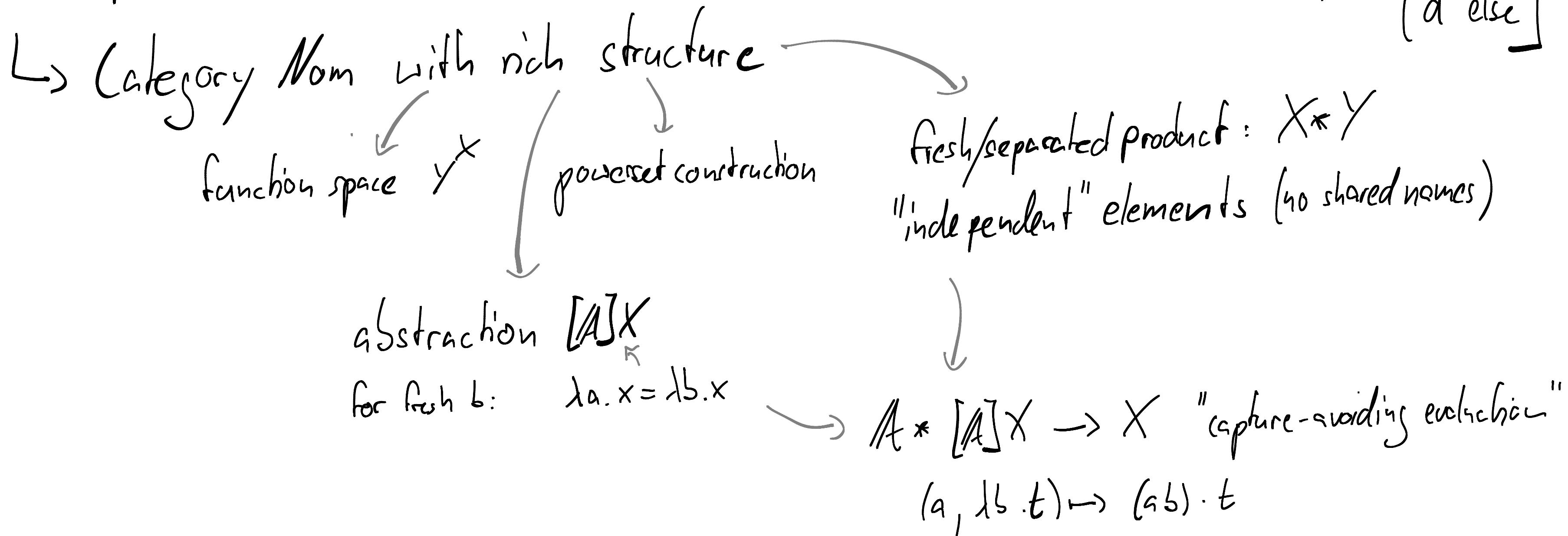
$$(a, \lambda b.t) \mapsto (a b) \cdot t$$

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## Nominal Sets:

- Morphisms: can forget names and compare for (in-)equality:



↳ We have syntax over variables, so what about

"algebra-like" term substitution as in

$$\mathcal{P}_f A \times (A \rightarrow \mathcal{P}_f A) \rightarrow \mathcal{P}_f \mathcal{P}_f A \rightarrow \mathcal{P}_f A$$

$$(A, \lambda a. B_a) \mapsto \{B_a | a \in A\} \mapsto \bigcup_{a \in A} B_a$$

$\checkmark \quad \begin{array}{l} A \times A \rightarrow A + 1 \\ (a, b) \mapsto \begin{cases} 1 & a = b \\ b & \text{else} \end{cases} \end{array}$ 
  
 $\times \quad \begin{array}{l} (a, b) \mapsto \begin{cases} b & a = c \\ d & \text{else} \end{cases} \end{array}$

# Nominal Sets: Substitution Structure

Def:  $X \otimes Y = \left\{ (x \in X, y: \text{supp } x \rightarrow Y) \mid \begin{array}{l} \forall a, b : y^a \# y^b \\ \text{no shared names} \end{array} \right\}$  /  $(\pi \cdot x, y) \sim (x, y^\pi)$  for  $\pi: A \cong A$

↳ equivalence classes  $x[y]$

↳ action:  $\pi \cdot x[y] = x[\lambda a. \pi \cdot y^{(a)}]$

$$\begin{array}{ccc} \text{supp } x & \xrightarrow{\pi} & \text{supp } (\pi \cdot x) \\ & & \downarrow y \\ & y^\pi & Y \end{array}$$

# Nominal Sets: Substitution Structure

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## Theorem:

- $X \oslash Y$  is a nominal set and  $\text{supp } x[y] = \text{supp } y = \bigcup_{a \in \text{supp } x} y^a$

- $\oslash$  is monoidal:  $X \oslash (Y \oslash Z) \cong (X \oslash Y) \oslash Z$ ,  $A \oslash Y \cong Y \cong Y \oslash A$ , (note:  $X \oslash Y \neq Y \oslash X$  <sup>almost always</sup>)

- $(X * X') \oslash Y \cong (X \oslash Y) * (X' \oslash Y)$ ,  $(X + X') \oslash Y \cong X \oslash Y + X' \oslash Y$

# Nominal Sets: Substitution Structure

Def:  $X \diamond Y = \left\{ (x \in X, y : \text{supp } x \rightarrow Y) \mid \begin{array}{l} \forall a, b : y^a \# y^b \\ \text{no shared names} \end{array} \right\} / \underbrace{((\pi \cdot x, y) \sim (x, y \pi))}_{\text{for } \pi : A \cong A}$

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- $(X * X') \diamond Y \cong (X \diamond Y) * (X' \diamond Y)$ ,  $(X + X') \diamond Y \cong X \diamond Y + X' \diamond Y$

Note: there also exists an "unfresh version", but it is not monoidal!

$$X \hat{\diamond} Y = \left\{ (x \in X, y : \text{supp } x \rightarrow Y) \right\} / \sim$$

# Nominal Sets: Substitution Structure

Def:  $X \otimes Y = \left\{ (x \in X, y : \text{supp } x \rightarrow Y) \mid \begin{array}{l} \forall a, b : y^a \# y^b \\ \text{no shared names} \end{array} \right\} / (\pi \cdot x, y) \sim (x, y \pi) \text{ for } \pi : A \cong A$

Def:  $Y \multimap Z = \left\{ f : Y^{*A} \rightarrow Z \mid \begin{array}{l} \text{f equivariant + almost constant} \\ \forall a, b : y^a \# y^b \end{array} \right\}$

$\hookrightarrow \text{Na : } f(\dots, y_a; \dots, y_a, y_{aj}) = f(\dots, y_a; \dots, y_{aj}, y_{ai})$

f uses only finitely many components:  
 $(\Rightarrow)$  factors through a finite projection:

$$\exists B \subseteq_f A : Y^{*A} \xrightarrow{f} Z$$

$\downarrow$

$Y^{*B}$

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$$\left( \text{for } \pi : A \cong A : f(\pi \cdot y_a)_{a \in A} = \pi \cdot f(y_a)_{a \in A} \right)$$

$$\hookrightarrow \text{Na: } f(\dots, y_a; \dots, y_a, y_{a_j}) = f(\dots, y_a; \dots, y_{a_i}, y_{a_j})$$

f uses only finitely many components:  
 $\Leftrightarrow$  factors through a finite projection:

$$f : Y \xrightarrow{*A} Z$$

$$f \circ \pi : Y \xrightarrow{*B} Z$$

## Theorem:

•  $Y \multimap Z$  is nominal for  $\pi \cdot f = \lambda(y_a)_a . f(y_{\pi a})_a \rightsquigarrow \text{supp } f = \{a \mid f \text{ not constant in } a\}$

•  $\wedge$  is right closed:

$$\frac{X \diamond Y \multimap Z}{X \rightarrow (Y \multimap Z)} \text{ in Nam.}$$

# Nominal Sets: $\lambda$ -Calculus: operational mode:

$\lambda$ -terms: initial algebra for  $\Delta X = A + X * X + [A]X$

affine: use var at most once,  
(not:  $\lambda a. aa$ )

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Substitution

$\hat{=}$   $\beta$ -reduction

$$\nu\Delta \circ \nu\Delta \rightarrow \nu\Delta$$

substitution by structural recursion

$$\text{base case: } A \circ \nu\Delta \hat{=} \nu\Delta$$

$$[A](\nu\Delta) * \nu\Delta \rightarrow \nu\Delta$$

def. s, t  $\mapsto s[t/a]$

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model theory:  Fiore, Turi, Plotkin [LICS'99]

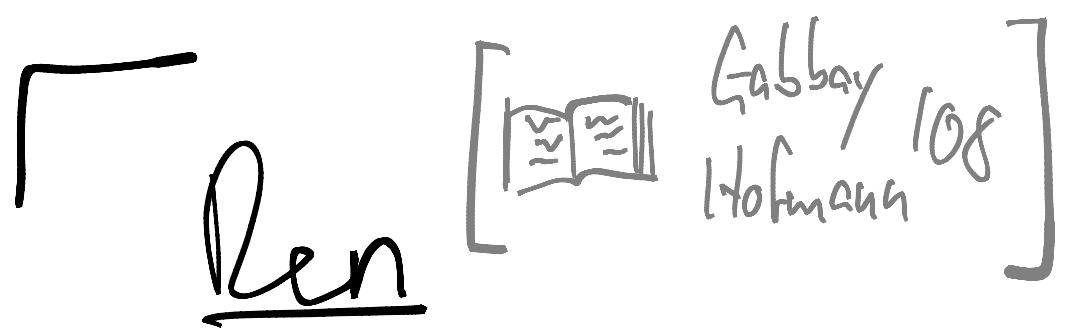
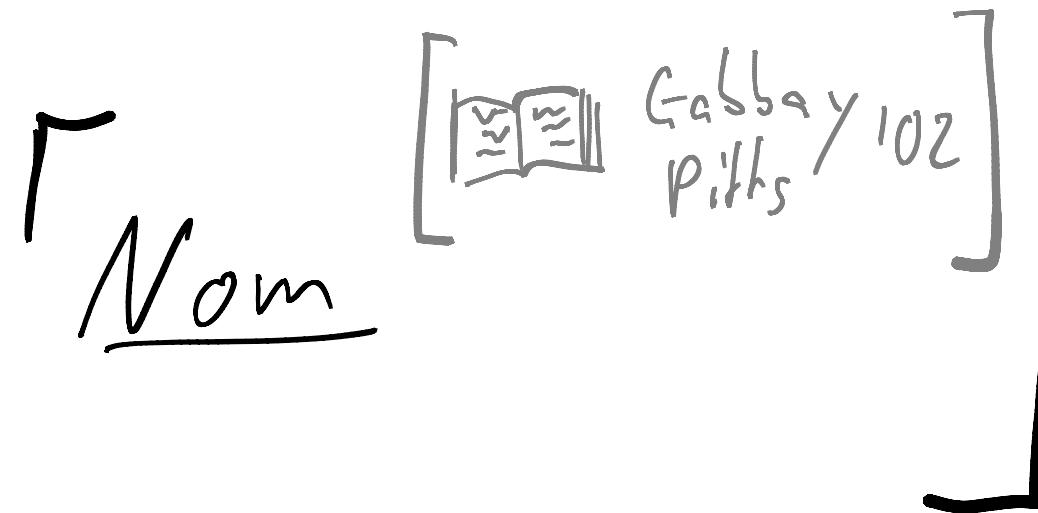
(and many more)

New here: Set-based models! (instead of Presheaf-based)

# Nominal Sets: Theme and Variations

$\Gamma_{\text{Nom}}$  [  Gabbay  
Pitts 102 ]

# Nominal Sets: Theme and Variations



- Ob: renaming facts
  - ↳ finite supports + closure under  $A \rightarrow A$  renaming

- Mor: equivalence

Rediscovered every  $n$  years:

Stabn '08, Gabbay '09, ...

Popescu '22, Pitts '23

# Nominal Sets: Theme and Variations

$\Gamma_{\text{SupSet}}$  [book icon Wipmann '23]

- Ob: no renamings  
 $\hookrightarrow$  just supports:  $X \rightarrow \mathcal{P}^A$
- Mor:  $s(fx) \subseteq sx$

$\Gamma_{\text{Nom}}$

[book icon Gabbay/Pitts '02]



$\Gamma_{\text{Ren}}$  [book icon Gabbay/Hofmann '08]

- Ob: renaming facts  
 $\hookrightarrow$  finite supports + closure under  $A \rightarrow A$  renaming

Mor: equivalence

Rediscovered every n years:  
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# Nominal Sets: Theme and Variations

SuppSet [book icon] Wipmann '23

- Ob: no renamings  
↳ just supports:  $X \rightarrow \beta^A$
- Mor:  $s(fx) \subseteq sX$

Nom

Nom = "linear Nom" (here)  
 Ob:  $\text{Nom} \quad \text{supp}_{\text{f}}(fx) = \text{supp } x$   
 Mor: equiv. + supp-pres  
 $\text{no: } A \times A \rightarrow A$

no different limits

[book icon] Gabay/Pitts '02

RelRen (here)

- Ob: "relevant" naming sets  
 $\text{supp}(g \cdot x) = g \cdot \text{supp } x$

no:  $A * A$

no:  $A * A + 1$

- Mor: equivalent

[book icon] Gabay/Hofmann '08

Ren

- Ob: renaming sets  
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$\Gamma_{\text{SuppSet}} =$  (here)

- Ob: SuppSets
- Mor: supp-pres

$\Gamma_{\text{Nom}}$  [book icon] Gabby Pitts '02

$\Gamma_{\text{Nom}} =$  "linear Nom" (here)

- Ob: Nom
- $\supp(fx) = \supp X$
- Mor: equiv + supp-pres
- $\hookrightarrow$  no:  $A \times A \rightarrow A$

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$\Gamma_{\text{RelRen}} =$  (here)

- Ob: RelRen

- Mor: equiv + supp-pres

$\Gamma_{\text{RelRen}}$  (here)

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- $\Gamma_{\text{supp}(g \cdot x)} = g \cdot \supp X$

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$\Gamma_{\text{Ren}}$  [book icon] Gabby Hofmann '08

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# Nominal Sets: Theme and Variations

$\Gamma \vdash \text{SuppSet}$  [book icon] Wipmann '23

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$\Gamma \vdash \text{SuppSet}_\equiv$  (here)

- Ob: SuppSets
- Mor: supp-pres

For which does substitution exist?

$\Gamma \vdash \text{Nom}$

$\Gamma \vdash \text{Nom} = \text{"linear Nom"} \text{ (here)}$

- Ob: Nom
- $\supseteq$   $\supp(fx) = \supp X$
- Mor: equiv. + supp-pres
- $\hookrightarrow$   $\supp(A \times A) \rightarrow A$

no different limits

$\Gamma \vdash \text{RelRen}_\equiv$  (here)

- Ob: RelRen
- Mor: equiv + supp-pres

[book icon] Gabay/Pitts '02

$\Gamma \vdash \text{RelRen}$  (here)

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- $\Gamma \vdash \text{supp}(g \cdot x) = g \cdot \supp x$

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Substitution originally: on Presheafs  $\in \text{Set}^{\mathcal{F}}$  [Fiore, Turi, Plotkin LICS'99]

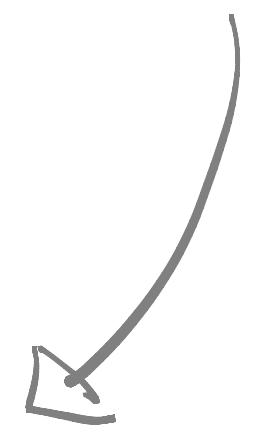
Idea: Instead of  $X \xrightarrow{\text{supp}} \mathbb{P}_f A$  (what names does  $X$  use?)  
Give  $\mathbb{P}_f A_{\text{sub}} \xrightarrow{\mathcal{F}} \text{Set}$  with  $\bar{A} = \{x \in X \mid x \text{ contains only names from } A \subseteq_f A\}$

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"OG" substitution

(operational model for  $\lambda$ -calc)



↳ versions + generalizations

↳ Tanaka '01: linear  $\lambda$ -calc

↳ Power '03: generalization

↳ Fiore 2001-2007

⇒ Relation to nominal approach?

We need to talk about presheaves...

This is the preparation for people interested in formulas

Def:  $\mathcal{C}$  category (small).  $\text{PSh}^{\mathcal{C}} = \text{Set}^{\mathcal{C}}$  is the category of (covariant) presheaves

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Intuitions

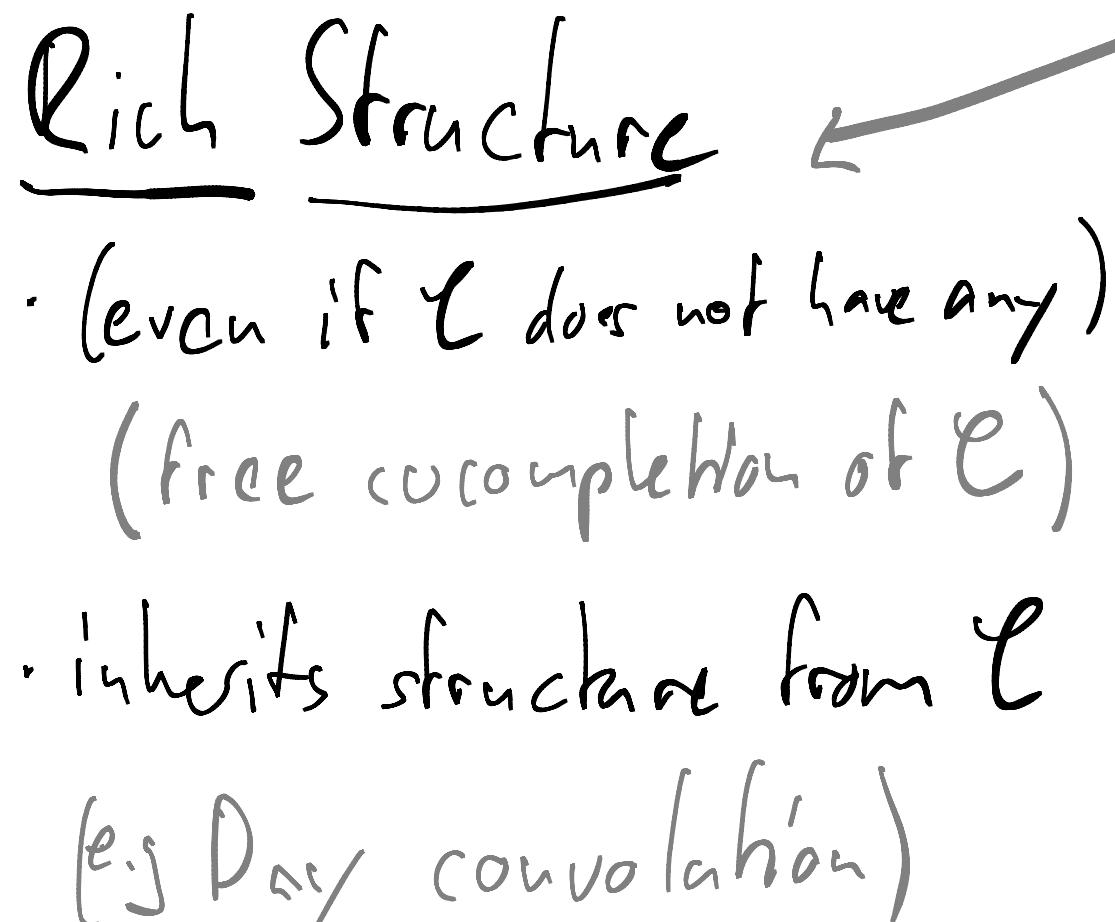
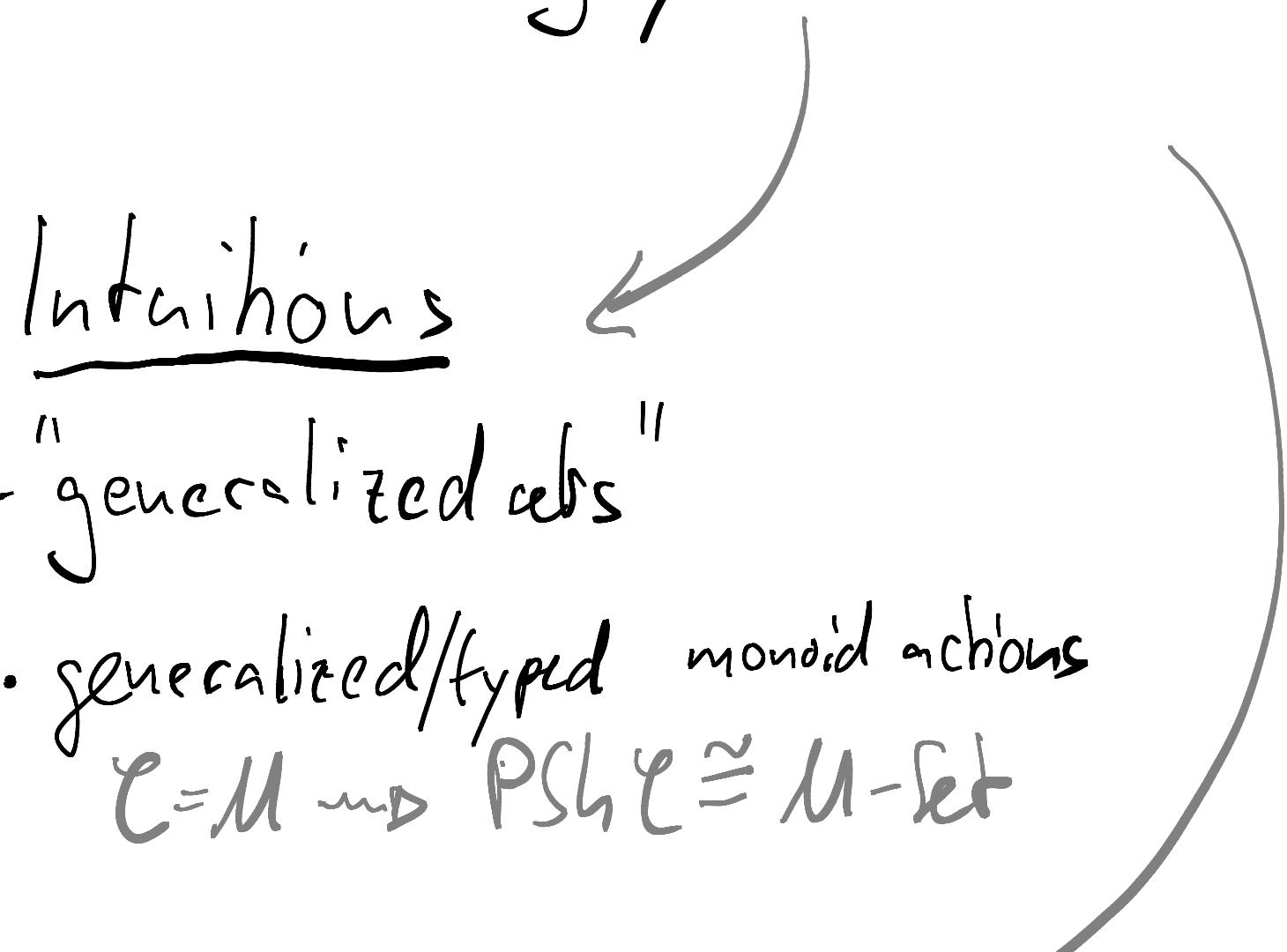
- "generalized sets"
- generalized/typed monoid actions

$$\mathcal{C} = \mathbf{M} \Rightarrow \text{PSh}^{\mathcal{C}} \cong \mathbf{M}\text{-Set}$$

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### Intuitions

- "generalized cells"
  - generalized/typed monoid actions
- $\mathcal{C} = \mathbf{M} \Rightarrow \text{Psh}^{\mathcal{C}} \cong \mathbf{M}\text{-Set}$

### "Roof" for different fields

- Set theory / topology  
(Grothendieck toposes: left exact refl. subcat)
- Algebra  
(Lfp-categories: refl. subcat w. filtered colim:fs)

### Rich Structure

- (even if  $\mathcal{C}$  does not have any)  
(free completion of  $\mathcal{C}$ )
- inherits structure from  $\mathcal{C}$   
(e.g. Day convolution)

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Notation: type inference

- For  $F \in \text{PSh}^{\mathcal{C}}$ ,  $f \in \mathcal{C}(c, d)$ ,  $x \in F_c$ :  $f.x := F(f)(x)$ 
  - ↳ also if  $\bar{F}: \mathcal{C}^{op} \rightarrow \text{Set}$ :  $g \in \mathcal{C}(d, c)$ ,  $x \in F_c$ :  $x.g = \bar{F}(g)(x)$
  - ↳  $\alpha: F \Rightarrow G$  natural,  $x \in FA$ :  $x(x) := \alpha_F(x)$
- $y := \mathcal{C}(-, -): \mathcal{C}^{op} \times \mathcal{C} \rightarrow \text{Set}$

## Some more category theory:

Co/Powers: Let  $\mathbf{S}\text{-Set}$

(generalizing " $S$  copies" and " $S$ -indexed family")

$$S \cdot c \stackrel{\sim}{=} \bigsqcup_c / S \pitchfork c \stackrel{\sim}{=} c^S \quad (\text{iso if } S \text{ cocomplete})$$

formally: adjoints to  $y_c = \mathcal{C}(c, -)$ ,  $y^{(c)}_c = \mathcal{C}(-, c)$

generalize co/limits

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generalize co/limits

Γ Co/Ends: Let  $H: \mathcal{C}^{\text{op}} \times \mathcal{C} \rightarrow \mathbf{Set}$

(generalizing co/limits)

↳ recall  $\lim(F: \mathcal{C} \rightarrow \mathbf{Set}) = \text{compatible } F\text{-families } \{(x_e)_{e \in F_c} \mid f \cdot x_c = x_d \text{ for } f: c \rightarrow d\}$

$\text{colim}(F: \mathcal{C} \rightarrow \mathbf{Set}) = F\text{-sum modulo } F\text{-orbits } (\bigsqcup_c F_c) /_{f \cdot x = x}$

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formally: adjoints to  $y_c = \mathcal{C}(c, -)$ ,  $y^{(-)}_c = \mathcal{C}(-, c)$

generalize co/limits

Γ Co/Ends: Let  $H: \mathcal{C}^{\text{op}} \times \mathcal{C} \rightarrow \mathbf{Set}$

(generalizing co/limits)

↳ recall  $\lim(F: \mathcal{C} \rightarrow \mathbf{Set})$  = compatible  $F$ -families  $\{(x_e \in F_c)_{c \in \mathcal{C}} \mid f \cdot x_c = x_d \text{ for } f: c \rightarrow d\}$

$\text{colim}(F: \mathcal{C} \rightarrow \mathbf{Set})$  =  $F$ -sum modulo  $F$ -orbits  $(\bigsqcup_c F_c) /_{f \cdot x = x} H(c, d)$

• End:  $\int_c H(c, c)$ : two-sided compatible  $H$ -families  $\{(x_c \in H(c, c))_{c \in \mathcal{C}} \mid f \cdot x_c = x_d \cdot f^\top, f: c \rightarrow d\}$

• Coend:  $\int^c H(c, c)$ :  $H$ -diagonal modulo  $H$ -relations  $(\bigsqcup_c H(c, c)) /_{\substack{r \cdot f = f \cdot r \\ r \in H(d, c)}} H(d, d) \quad H(c, c) \quad f: c \rightarrow d$

Some more category theory:

Presheaf calculus looks complicated, but is easy; [only one Theorem] (and it's a lemma)

Yoneda Lemma:

For  $F \in \text{PSh} \mathcal{C}$ ,  $c \in \mathcal{C}$ :

$$\int_c \text{Set}(y_c(d), F_d) \stackrel{(1)}{\cong} \text{Nat}(y_c, F) \stackrel{(2)}{\cong} F_c \stackrel{(3)}{\cong} \int^b y^{b(c)} \times F_b$$

[Categorical version of the fact that  $(\mathbf{U}, e)$  is the initial  $\mathbf{U}$ -subcategory]

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Presheaf calculus looks complicated, but is easy; only one Theorem

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$$\int_c \text{Set}(yc(d), Fd) \stackrel{(1)}{\cong} \text{Nat}(yc, F) \stackrel{(2)}{\cong} Fc \stackrel{(3)}{\cong} \int^b yc(c) \times Fb$$

Categorical version of the fact that  $(\mathbf{U}, e)$  is the initial  $\mathbf{U}$ -subcategory

Proof: (2) reorganizing of the functor structure:  $\forall c \forall d \forall f: c \rightarrow d \forall x \in Fc : f \cdot x \in Fd$

$$\hookrightarrow \forall c. \forall x \in Fc : [\underbrace{\forall d. \forall f: c \rightarrow d. f \cdot x \in Fd}_{\alpha_x: yc \Rightarrow F}]$$

(1) (more generally  $\text{Nat}(H, k) \cong \int_c D(Hc, kc)$  for  $H, k: \mathcal{C} \rightarrow D$ )

(3) evaluation:  $\mathcal{C}(b, c) \times Fb \rightarrow Fc$  and its properties  
 $f, x \mapsto f \cdot x$

# Some more category theory: Extending ...

Functors  
to Presheaves:

$$\begin{array}{ccc} \mathcal{C}^{\text{op}} & \xrightarrow{F} & \mathcal{E} \\ \downarrow Y & \nearrow Psh\mathcal{E} & \curvearrowleft \text{canonical solutions?} \end{array}$$

approximating "from the left" ( $\mathcal{E}$  cocomplete)       $\text{Lan } F: Psh\mathcal{C} \rightarrow \mathcal{E}$

$$\hookrightarrow \text{Lan } F \text{ restricts to } \mathcal{C}^{\text{op}}: \text{Lan } F(Y_c) \stackrel{\simeq}{\hookrightarrow} \overline{F}_c$$

Yoneda (z)

$$X \mapsto \int^c F_c \cdot X_c$$



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Yoneda (3)

Presheaves  
themselves:

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{G} & \text{Set} \\ & \downarrow & \curvearrowleft \text{?} \\ K & \xrightarrow{D} & \mathcal{D} \end{array}$$

approximating ? "from the left":  $\widehat{Fd} = \int^c D(k_c, d) \cdot G_c = \frac{[[f: k_c \rightarrow d, x \in G_c]]}{[f \cdot k_g \cdot x] = [f, g \cdot x]}$

... universal properties etc. included

## Some more category theory: Day convolution

Let  $M$  be a monoid

$\hookrightarrow \mathcal{P}M$  monoid s.t.

- $M \rightarrow \mathcal{P}M$  monoid hom
- $\mathcal{P}M \times \mathcal{P}M \xrightarrow{\cdot} \mathcal{P}M$   $\mathcal{P}$ -bilinear

$$\left(\bigcup_i A_i\right) \cdot \left(\bigcup_j B_j\right) = \bigcup_{ij} A_i \cdot B_j$$

$\Rightarrow$  determines • uniquely

$$A \cdot B = \{ab \mid a \in A, b \in B\}$$

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$\mathcal{D}M \times \mathcal{D}M \xrightarrow{\cdot} \mathcal{D}M$  bi-affine

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...

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- $\mathcal{D}M \times \mathcal{D}M \xrightarrow{*} \mathcal{D}M$  bifunctor

$$\left(\sum_i r_i m_i\right) \cdot \left(\sum_j s_j n_j\right) = \sum_{ij} r_i s_j m_i n_j$$

$\Rightarrow$  determines • uniquely

$\hookrightarrow \mathbb{R}^{(X)}$  monoid

...

$$e \otimes c \cong c \cong c \otimes e$$

Categorical version: Let  $(\mathcal{C}, \mathcal{C} \times \mathcal{C} \xrightarrow{\otimes} \mathcal{C}, \text{ee}\mathcal{C})$  be monoidal:  $(a \otimes b) \otimes c \cong a \otimes (b \otimes c)$

and  $\text{PSh } \mathcal{C}$  monoidal via  $(\text{PSh } \mathcal{C} \times \text{PSh } \mathcal{C} \xrightarrow{*} \text{PSh } \mathcal{C}, \gamma \in \text{PSh } \mathcal{C})$

- $y: \mathcal{C}^{\text{op}} \rightarrow \text{PSh } \mathcal{C}$  "homomorphism" (strong monoidal)

- \* is "PSh  $\mathcal{C}$ -bimorphism" (cocont. in both args)

$$F * G = \int_{c,d \in \mathcal{C}} (Fc \times Gd) \cdot y(c \otimes d)$$

## Presheaf Presentations:

well-known: Nom  $\simeq$  Schanuel

- $\mathcal{C} = \mathbb{I}$ : finite sets (or  $\mathcal{P}_f A$ )  
with injective maps (renamings)
- $\mathrm{Sh} \mathbb{I} \subseteq \mathrm{PSh} \mathbb{I}$ : intersection-preserving presheaves  
 $\hookrightarrow$  every "element" is contained in a  
smallest  $A \in \mathbb{I}$  w.r.t. inclusions wdsupport

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$$\left[ \begin{array}{c} \text{Nom} \simeq \text{Sh } \underline{\mathbb{I}} \subseteq \text{PSh } \underline{\mathbb{I}} \\ X \xrightarrow{I^*} I_x X : \underline{\mathbb{I}} \rightarrow \text{Set} \\ A \mapsto \{x \mid \text{supp } x \subseteq A\} \end{array} \right]$$

$$\begin{array}{ccc} \text{Nom} & \xleftarrow{I^*} & \text{PSh } \underline{\mathbb{I}} \\ A & \nearrow \star(-) & \searrow \text{II}^{\text{op}} \circ \gamma \\ & & \end{array}$$

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My opinion:  $\text{Nom}$  people know this but they don't use it

↳ So far: "dichotomy" is folklore + incomplete!

Shame: Concepts exist only in one of the two representations  
(some papers work out stuff that is trivial on the other side)

A little Dictionary for Nom

Nominal Sets

Presheaves  $\mathbb{I} \rightarrow \text{Set}$

# A little Dictionary for Nom

## Nominal Sets

- Disc:  $\text{Set} \rightarrow \text{Nom}$   
 $X \mapsto X_1 \pi \cdot X = X$

## Discretes

## Presheaves $\underline{\text{I} \rightarrow \text{Set}}$

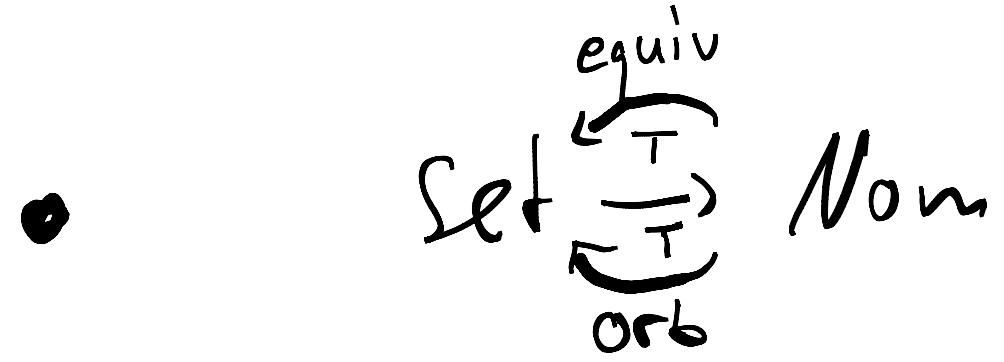
$\mathcal{A}: \text{Set} \rightarrow \text{PSh I}$   
 $X \mapsto (\lambda X. A) \text{ constant}$

# A little Dictionary for Nom

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(v. adjoints)

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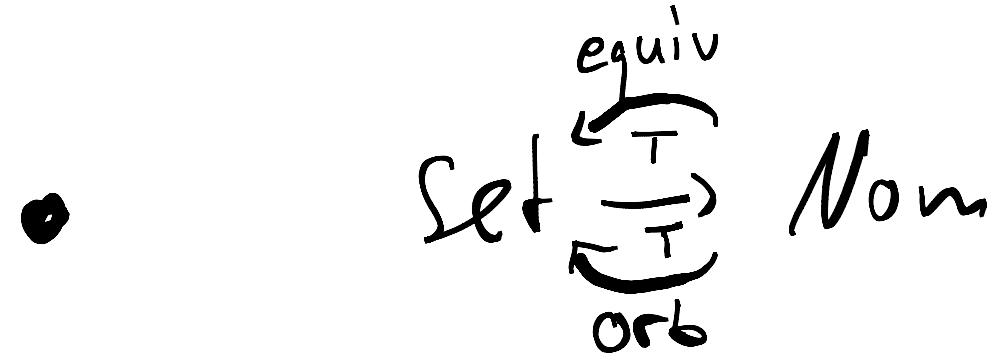
$$\text{Set} \begin{array}{c} \xleftarrow{T} \\[-1ex] \xrightarrow{E} \\[-1ex] \text{colim} \end{array} \text{PSh} \mathbb{I}$$

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$$\begin{array}{ccc} \text{Set} & \xrightleftharpoons[\text{orb}]{\substack{\text{equiv} \\ T \\ \sqcup \\ T}} & \text{Nom} \end{array}$$

$$\text{orbit finite: } X = \bigsqcup_{i=1}^n \{\pi \cdot x_i \mid \pi: A \cong A\}$$

## Discretes

(v. adjoints)

## Finite

## Presheaves $\underline{\mathbb{I} \rightarrow \text{Set}}$

$$A: \text{Set} \rightarrow \text{PSh} \mathbb{I}$$

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$$\begin{array}{ccc} \text{Set} & \xrightleftharpoons[\text{colim}]{\substack{T \\ \sqcup \\ T}} & \text{PSh} \mathbb{I} \end{array}$$

Superfinitary:  $\exists x_i \in FA_i, i \in n : \forall y : \exists f_i : y = f_i \cdot x$

# A little Dictionary for Nom

## Nominal Sets

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$$\text{orbit finite: } X = \bigsqcup_{i=1}^n \{\pi \cdot x_i \mid \pi: A \cong A\} \quad \underline{\text{Finite}}$$

$$\text{strongly transitive: } A^{*n}$$

## Representables

## Discretes

(v. adjoints)

## Presheaves $\underline{\mathbb{I} \rightarrow \text{Set}}$

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$$\begin{array}{ccc} \text{Set} & \xrightleftharpoons[\text{colim}]{{\pi}^T} & \text{PSh} \mathbb{I} \\ & \xrightleftharpoons{{\lim}^T} & \end{array}$$

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$$\gamma A \in \text{PSh} \mathbb{I}$$

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$$[A]X$$

$$[y]Z$$

## Discretes

(v. adjoints)

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## Representables

$$yA \in \text{PSh} \mathbb{I}$$

## Abstraction

$$F(1+ -) \quad \text{context extension}$$

## Generalized Abstraction

? (Conjecture)

# A little Dictionary for Nom

## Nominal Sets

- Disc: Set  $\rightarrow$  Nom  
 $X \mapsto X, \pi \cdot x = x$
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- strong, transitive:  $A^{*n}$
- $[A]X$
- $[Y]Z$
- fresh product  $X * Y$
- fresh uniform substitution

## Discrete

(u. adjoints)

## Finite

## Representable

## Abstraction

## Generalized Abstraction

## Convolution

## x-Convolution

## Presheaves $\underline{\mathbb{I} \rightarrow \text{Set}}$

$\mathcal{S} : \text{Set} \rightarrow \text{PSh} \mathbb{I}$   
 $X \mapsto (\lambda X. A) \text{ constant}$

Set  $\xrightarrow[\text{colim}]{\lim} \text{PSh} \mathbb{I}$

Superfinite:  $\exists x_i \in FA_i, i \in n : \forall y : \exists f_i : y = f_i \cdot x$

$\forall A \in \text{PSh} \mathbb{I}$

$\mathcal{F}(1+ -)$  context extension

?

Day Convolution  $\mathcal{F} \star \mathcal{G}$

"Dirichlet"/"Bochner-Vogt" tensor product

# A little Dictionary for Nom

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- Substitution product

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(u. adjoints)

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Superfinite:  $\exists x_i \in FA_i, i \in \mathbb{N}: \forall y: \exists f_i: y = f_i \cdot x$

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$\mathcal{F}(1+ -)$  context extension

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"Dirichlet"/"Bochner-Vogt" tensor product

Substitution product

# An Atlas of the Nominal World:

All index categories  $\mathcal{C}$  have  $\text{Ob } \mathcal{C} = \mathcal{P}_f A$  and

$\mathcal{C}$	$\text{Id}$	$\subseteq$	$\text{IB}$	$\text{II}$	$\$$	$\text{IF}$
$\text{Mor } \mathcal{C}$	identities	inclusions	bijections	injections	surjections	all
$\text{Sh } \mathcal{C}$	$\text{SuppSet}_\equiv$	$\text{SuppSet}$	$\text{Nom}_\equiv$	$\text{Nom}$	$\text{RelRan}_\equiv$	$\text{Ren}$

$\hookrightarrow$  Not a sheaf topos

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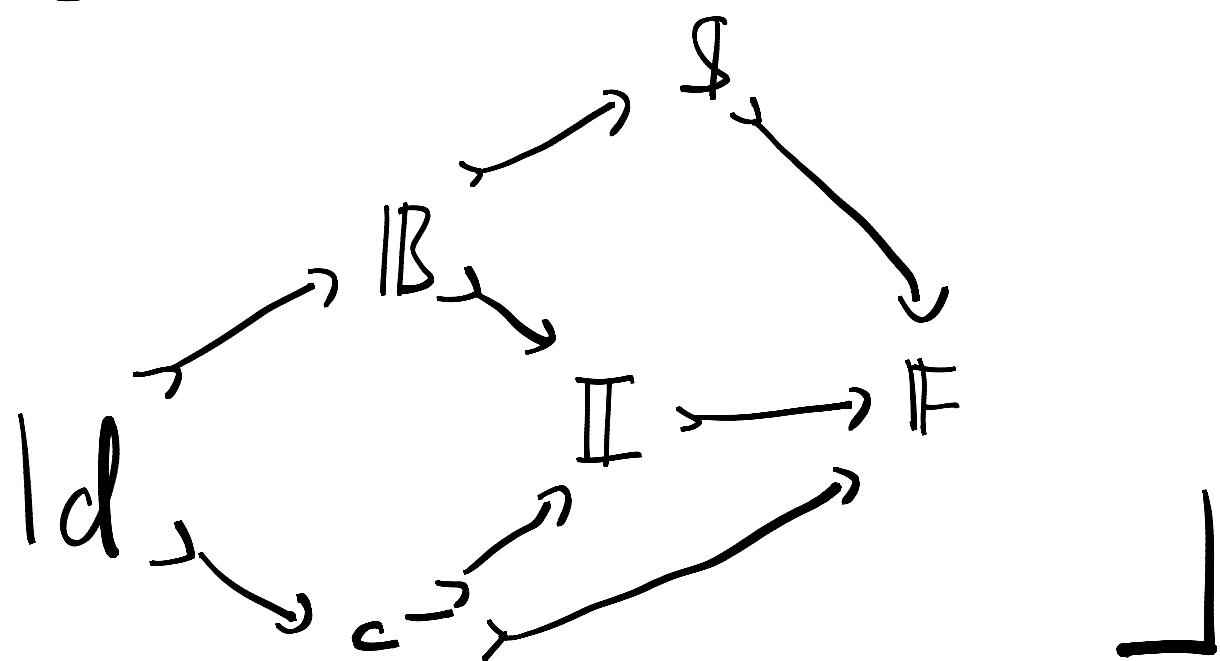
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$\Leftarrow$  Not a sheaf topos

If  $\mathcal{C} \hookrightarrow \mathcal{D}$  monadic adjunction

$\text{PSh}(\mathcal{C}) : \text{PSh}(\mathcal{C}) \dashv \text{PSh}(\mathcal{D}) : \mathcal{D}^*$

- ↳ adj. restricts to sheaves (not monadic)
- ↳  $\text{PSh}(\mathcal{C})$  preserves Day convolution
- ↳ cocontinuous right adj.



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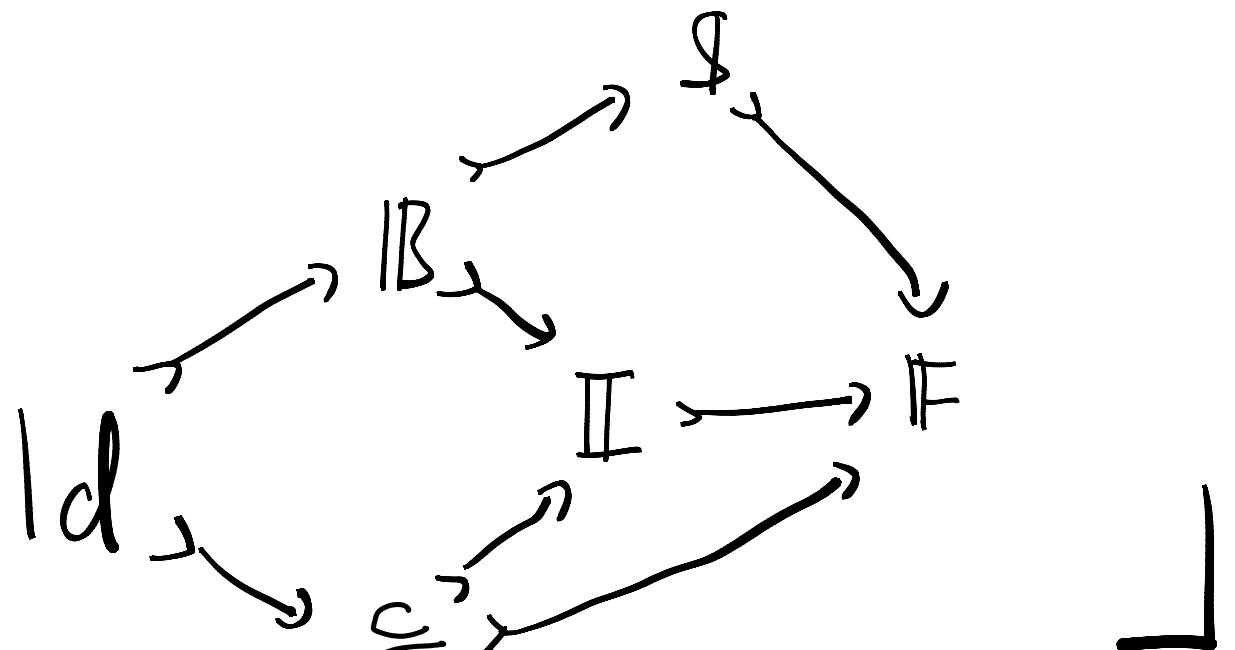
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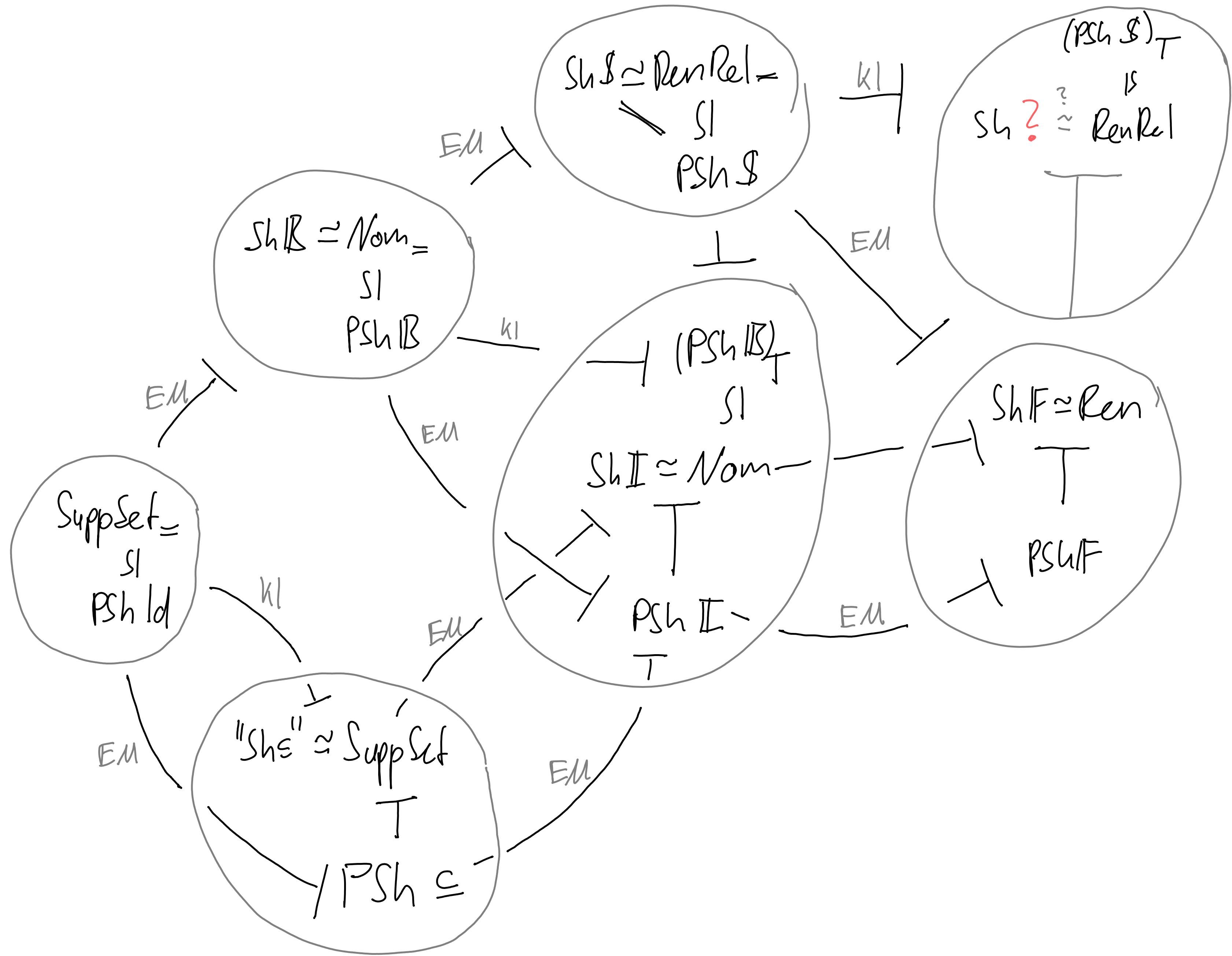
$\Gamma : \text{Nom}_\equiv \dashv \text{Nom}$

Fiores 2001

•  $\text{SuppSet}_\equiv \dashv \text{SuppSet}$  are Kleisli

•  $\text{RelRen}_\equiv \dashv \text{RelRen}$





Goal: Bring everything together!

Q: When does substitution in  $\text{PSh}(\mathcal{C})/\text{sh}\mathcal{C}$  exist

Q: What is the relation between substitution in  $\text{PSh}(\mathcal{C})$  and  $\text{PSh}(\mathcal{D})$ ?

For now: only subcategories of  $\mathcal{F}$ .

## Reindexing Categories:

Recall: family  $\underline{X} = (X_b)_{b \in \mathbb{B}}$  over  $\mathbb{B}$

"pulled back" along  $f: A \rightarrow \mathbb{B}$ :

to  $A$ -family  $f^*\underline{X} = (X_{fa})_{a \in A}$

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$$\hookrightarrow p_f: \prod \underline{X} = \prod_b X_b \rightarrow \prod_a X_{fa} = \prod f^* \underline{X}$$

$\pi_{fa} \searrow \quad \downarrow \pi_a$

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$\pi_{fa} \swarrow \quad \downarrow \pi_a$

$$\hookrightarrow r_f: \bigsqcup f^*\underline{X} = \bigsqcup_a X_{fa} \rightarrow \bigsqcup_b X_b = \bigsqcup \underline{X}$$

$\uparrow \iota_{fa} \quad \nearrow \nu_{fa}$

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$\pi_b \downarrow$

$\pi_a \downarrow$

$\pi_{fa} \downarrow$

$$\hookrightarrow r_f: \coprod f^*\underline{X} = \coprod_a X_{fa} \rightarrow \coprod_b X_b = \coprod \underline{X}$$

$\iota_{fa} \uparrow$

$\iota_b \uparrow$

Def: A reindexing category  $\mathcal{C}$  is a subcategory  $\mathcal{C} \hookrightarrow \mathbf{F}$  s.t.

- $\mathcal{C}$  is  $+/\times$ -monoidal and  $1 \in \mathcal{C}$   
+ closed under isos?
- $\mathcal{C}$  has reindexing:  
if  $\mathbb{B} \in \mathcal{C}$ ,  $\underline{B} = (B_b)_{b \in \mathbb{B}} \in \mathcal{C}^{\mathbb{B}}$ ,  $f \in \mathcal{C}(A, \mathbb{B})$   
then  $(r_f: \coprod f^*\underline{B} \rightarrow \coprod \underline{B}) \in \mathcal{C}$

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Recall: family  $\underline{X} = (X_b)_{b \in \mathbb{B}}$  over  $\mathbb{B}$

"pulled back" along  $f: A \rightarrow \mathbb{B}$ :

to  $A$ -family  $f^*\underline{X} = (X_{fa})_{a \in A}$

$$\hookrightarrow p_f: \prod \underline{X} = \prod_b X_b \rightarrow \prod_a X_{fa} = \prod \overline{f^*X}$$

$\pi_b \downarrow$

$\pi_{fa} \downarrow$

$$\hookrightarrow r_f: \coprod f^*\underline{X} = \coprod_a X_{fa} \rightarrow \coprod_b X_b = \coprod \underline{X}$$

$\iota_{fa} \uparrow$

$\nu_{fa} \uparrow$

Def: A reindexing category  $\mathcal{C}$  is a subcategory  $\mathcal{C} \hookrightarrow \mathcal{F}$  s.t.

- $\mathcal{C}$  is + -monoidal and  $1 \in \mathcal{C}$  + closed under isos?
- $\mathcal{C}$  has reindexing:  
if  $\mathbb{B} \in \mathcal{C}$ ,  $\underline{\mathbb{B}} = (\mathbb{B}_b)_b \in \mathcal{C}^{\mathbb{B}}$ ,  $f \in \mathcal{C}(A, \mathbb{B})$   
then  $(r_f: \coprod f^*\underline{\mathbb{B}} \rightarrow \coprod \mathbb{B}) \in \mathcal{C}$

Observation: For +-ideals ( $f+g \in \mathcal{C}$  iff  $f, g \in \mathcal{C}$ )  $\mathcal{C} \subseteq \mathcal{F}$ :

$\mathcal{C}$  reindexing  $\Leftrightarrow \mathcal{C}$  is  $\times$ -monoidal

Day Power:

Def: For  $X \in \text{PSh}(C)$  and  $A \in C$ . Define

$$X^{*A} := \int_{\substack{A_a \in C, a \in A \\ a}} \prod_a X A_a \cdot \gamma(\bigsqcup_a A_a)$$

## Day Power:

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Theorem: If  $\mathcal{C}$  is a reindexing category then

- $(-)^{*(-)} : (\mathcal{C}^{\text{op}}, \text{PSh } \mathcal{C}) \rightarrow (\text{PSh } \mathcal{C}, \text{funcoal})$
  - $X^{*-} : ((\mathcal{C}^{\text{op}}, +, 0) \rightarrow (\text{PSh } \mathcal{C}, *, 1, \gamma^0))$  is strict monoidal
  - $(-)^{*(-)} : ((\mathcal{C}^{\text{op}}, \times, 1) \times \text{PSh } \mathcal{C}) \rightarrow \text{PSh } \mathcal{C}$  is a graded monad on  $\text{PSh } \mathcal{C}$
  - for  $\iota : \mathcal{C} \rightarrow \mathcal{D}$  we have  $\text{PSh}(\iota)(X^{*A}) \cong (\text{PSh}(\iota)X)^{*\iota A}$
- $\text{PSh}(\iota) = \text{Lan}_{\iota} \iota_{*} : \text{PSh } \mathcal{C} \rightarrow \text{PSh } \mathcal{D}$

## Substitution Product:

Def:  $X \triangleright Y = \int^A X_A \cdot Y^{*A}, \quad Y \multimap Z = Nat(Y^{*-}, Z)$

↑ replace by  $\int^A X_A \cdot A \triangleright X + Nat(- \multimap Y, Z)$  for  $C^{op} \times PSh(C) \xrightarrow{PSh(C)}$   
satisfying ?

## Substitution Product:

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## Theorem:

- (1)  $(-)\diamond Y$  preserves Day convolution
- (2)  $\diamond$  is monoidal with unit  $\gamma 1$
- (3)  $\diamond$  is right-closed with internal hom  $\multimap$
- (4)  $\diamond$  is preserved by the canonical functors  $\text{PSh}(\mathcal{C}) \rightarrow \text{PSh}(\mathcal{D})$  for  $L: \mathcal{C} \rightarrow \mathcal{D}$
- (5) If  $\mathcal{T}$  is a subcanonical topology on  $\mathcal{C}$  then  $\diamond$  restricts to  $\text{Sh}(\mathcal{C})$   
 $\diamond$  restricts to the nominal structures we saw (not: Support!)
- (6)  $\text{Monoid}(\text{PSh}(\mathcal{C}), \diamond, \gamma 1) \simeq \text{Monad}^{\star\text{-pres}, \text{cocont}}(\text{PSh}(\mathcal{C}))$

# Substitution Product: Instances

<u>Instances:</u>	$\mathcal{C}$	Id	$\text{IR}$	II	\$	F
Mor $\mathcal{C}$	identifies	bijections	injections	surjections	all	
PSL $\mathcal{C}$	linear+strict	linear	affine	relevant+strict	classical	
SL $\mathcal{C}$	Supp=	Nom=	Nam	RelRen=	Ren	

No Instance: SuppSet, RelRen (I think it does, but not worked out yet)

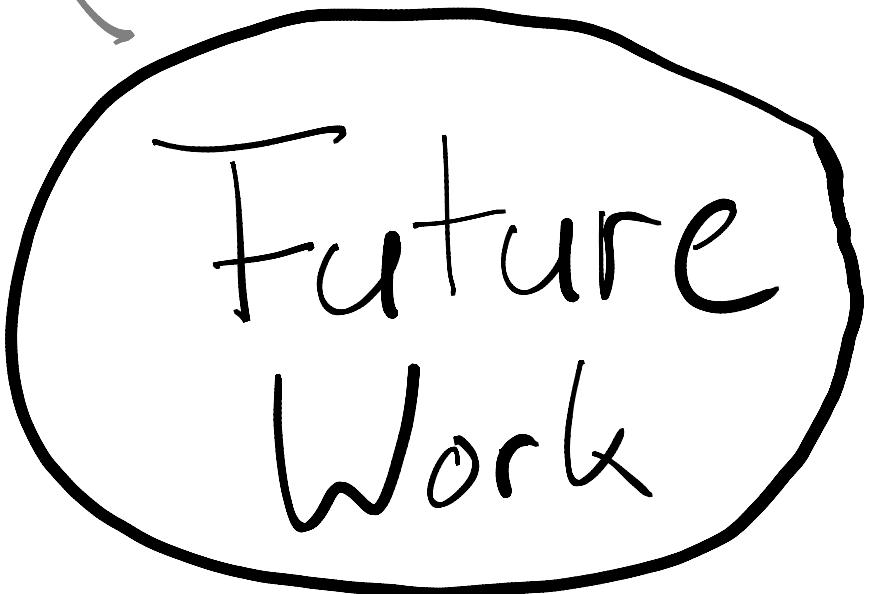
## Index categories beyond $\mathbb{C} \leftrightarrow \mathbb{F}$ :

↳ e.g. "probabilistic nominal sets":

$\mathcal{C} \subseteq$  Enhanced Measurable Spaces

[ Li et.al. LICS'24]

↳ different symmetries on  $\mathbb{A}$   
(order, typed, ...)



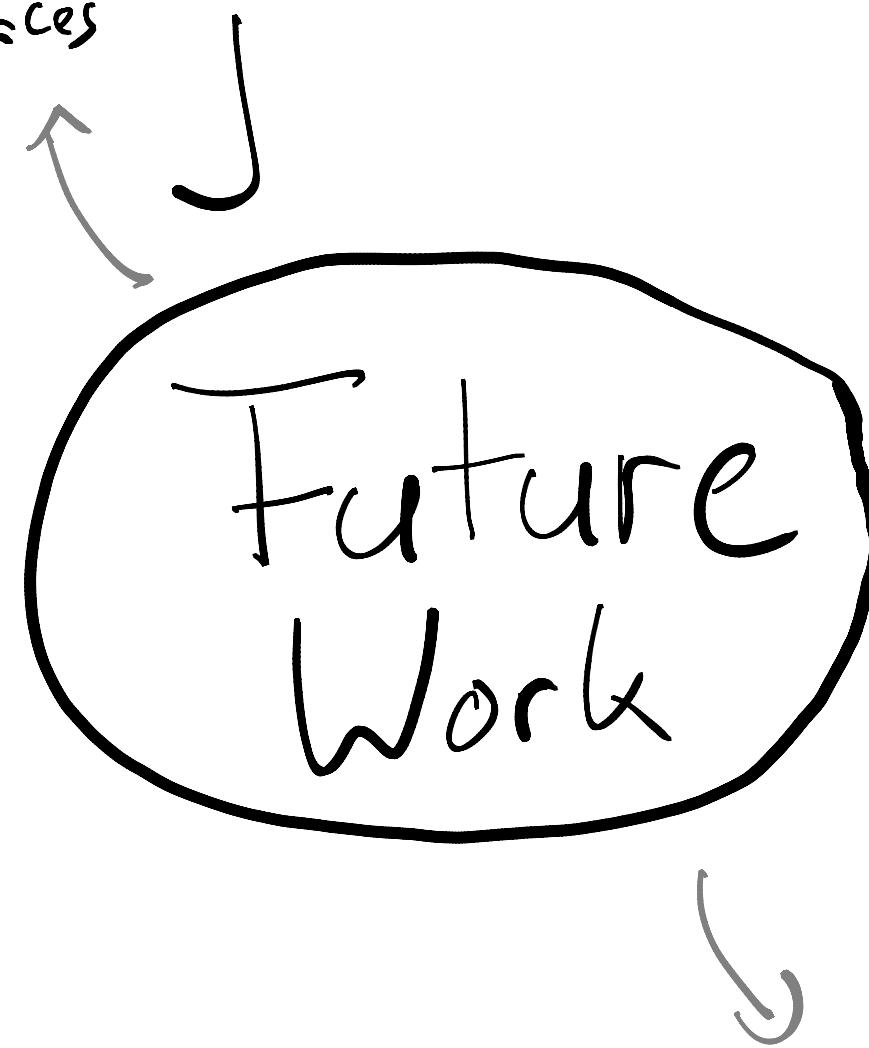
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Complete the dictionary

↳ Generalized abstraction for presheaves?

↳ Interpret Dirichlet product in Nom

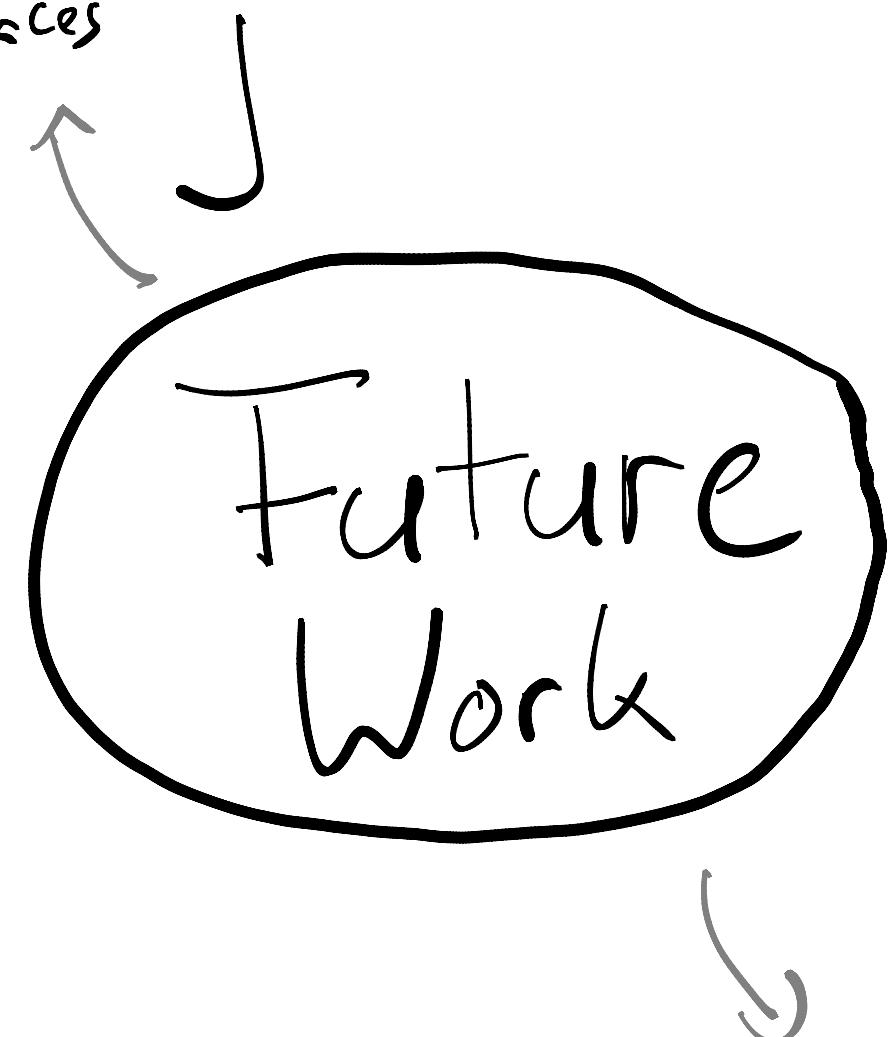
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## Relation to other generalizations

(by Powers/Fiori):

take two 2-monads on  $\text{CAT}$  +

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pseudo-distr. law

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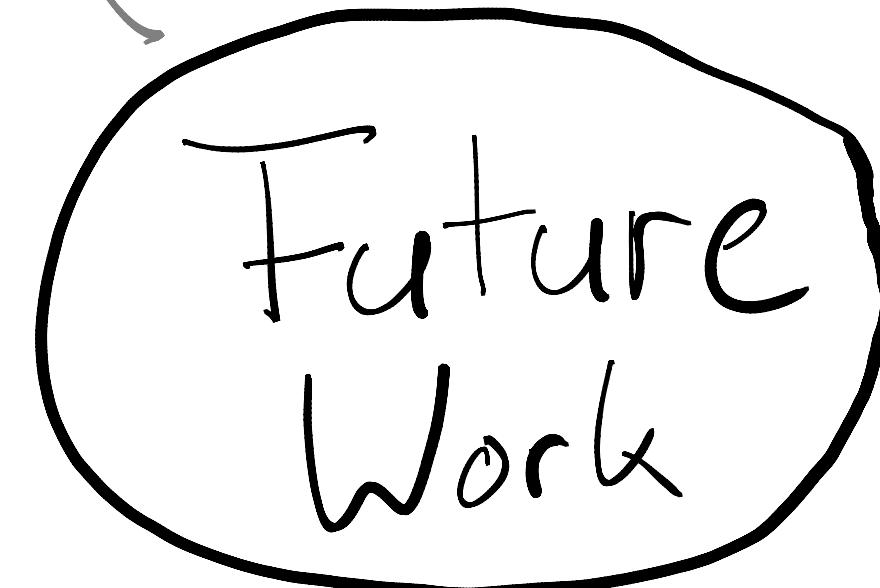
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Your Ad ideas

could be here !

Complete the dictionary

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↳ Interplay Dirichlet product in Nom