HAUSDORFF COALGEBRAS

Pedro Nora (collaboration with Dirk Hofmann and Renato Neves) August 11, 2020

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INTRODUCTION

Авоит ме

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A HIDDEN MOTIF

Switching context

- Lutz Schröder and Paul Wild. "Characteristic Logics for Behavioural Metrics via Fuzzy Lax Extensions". In: *CONCUR* (2020)
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Tholen:

(...) Fuzzy (...)? Perhaps they should work with \mathcal{V} -categories.

QUANTALE-ENRICHED CATEGORY THEORY

A (small) category **C** consists of:

- a set of objects;
- for each pair x,y of objects, a set of morphisms C(x, y);
- for each triple x,y,z of objects, a function $\circ_{x,y,z}$: $\mathbf{C}(x,y) \times \mathbf{C}(y,z) \to \mathbf{C}(x,z)$;
- for each object x, a function $1_X : 1 \rightarrow C(x, x)$;

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Definition

A functor $F: \mathbf{X} \to \mathbf{Y}$ consists of:

- a function from the objects of **X** to the objects of **Y**;
- for each pair of objects x, x' of **X** a function $F_{x,x'}$: $\mathbf{X}(x, x') \rightarrow \mathbf{Y}(Fx, Fx')$

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A quantale $\mathcal{V} = (\mathcal{V}, \otimes, k)$ is a complete lattice \mathcal{V} equipped with a commutative monoid structure \otimes , with identity k, such that, for each $u \in \mathcal{V}$,

 $u \otimes -: \mathcal{V} \longrightarrow \mathcal{V}$ has a right adjoint hom $(u, -): \mathcal{V} \longrightarrow \mathcal{V}$.

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 A preordered set (X, ≤) can be understood as a category where for each pair of objects x, y there is at most one morphism between x and y.

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Recall

- A preordered set (X, ≤) can be understood as a category where for each pair of objects x, y there is at most one morphism between x and y.
- A quantale is a symmetric monoidal closed category.

Category

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QUANTALE-ENRICHED CATEGORIES AND FUNCTORS

Definition

Let $\mathcal{V} = (\mathcal{V}, \otimes, k)$ be a quantale.

 A *V*-category is a pair (X, a) consisting of a set X and a map a: X × X → V satisfying

$$k \leq a(x,x)$$
 and $a(x,y) \otimes a(y,z) \leq a(x,z),$

for all $x, y, z \in X$.

• A \mathcal{V} -functor $f: (X, a) \longrightarrow (Y, b)$ between \mathcal{V} -categories is a map $f: X \longrightarrow Y$ such that

$$a(x,x') \leq b(f(x),f(x')),$$

for all $x, x' \in X$.

- $\mathcal V\text{-}categories$ and $\mathcal V\text{-}functors$ define the category $\mathcal V\text{-}\textbf{Cat}.$

CATEGORIES OF QUANTALE-ENRICHED CATEGORIES

Example

If ${\cal V}$ is the trivial quantale, then 1-Cat \sim Set.

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Example

Consider the quantales based on the extended real half line $[0, \infty]$ ordered by the "greater or equal" relation \ge and

- the tensor product given by addition +, denoted by $[\overline{0,\infty}]_+$;
- or with $\otimes = \max$, denoted as $[\overline{0, \infty}]_{\wedge}$.

Then $[0,\infty]_+$ -**Cat** ~ **Met** is the category of (generalised) metric spaces and non-expansive maps and $[0,\infty]_{\wedge}$ -**Cat** ~ **UMet** is the category of (generalised) ultrametric spaces and non-expansive maps.

Example

If \mathcal{V} is the quantale $[0,1]_{\oplus}$ given by the unit interval [0,1] with the "greater or equal" relation \geq and the tensor $u \oplus v = \min\{1, u + v\}$. Then $[0,1]_{\oplus}$ -**Cat** \sim **BMet** is the category of (generalised) bounded-by-one metric spaces and non-expansive maps.
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Alert

Do not forget to reverse the order!

Let $\mathcal{V} = (\mathcal{V}, \otimes, k)$ be a quantale, and X, Y and Z sets.

- A \mathcal{V} -relation from X to Y, X \leftrightarrow Y, is a map X \times Y \rightarrow \mathcal{V} .
- For $r: X \to Y$ and $s: Y \to Z$, the composite $s \cdot r: X \to Z$ is calculated pointwise by

$$(s \cdot r)(x,z) = \bigvee_{y \in Y} r(x,y) \otimes s(y,z),$$

for every $x \in X$ and $z \in Z$.

• Sets and $\mathcal V\text{-relations}$ define the category $\mathcal V\text{-}\textbf{Rel}.$

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Remark

The structure of a \mathcal{V} -category is a reflexive and transitive \mathcal{V} -relation. That is, for a \mathcal{V} -category (X, a), $1_X \leq a$ and $a \cdot a \leq a$.

COALGEBRAS OF STRICT LIFTINGS

STRICT FUNCTORIAL LIFTINGS

Problem

Given an endofunctor F on a category **A** and a faithful functor U: $X \to A$, study a "lifting" of F to an endofunctor \overline{F} on **X**. In a strict sense, by "lifting" we mean that the diagram



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commutes^a.

^aPaolo Baldan, Filippo Bonchi, Henning Kerstan, and Barbara König. "Coalgebraic Behavioral Metrics". In: *Logical Methods in Computer Science* **14**.(3) (2018), pp. 1860–5974.

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^aAdriana Balan, Alexander Kurz, and Jiří Velebil. "Extending set functors to generalised metric spaces". In: *Logical Methods in Computer Science* **15**.(1) (2019).

Lax extension

Consider first a lax extension $\widehat{F} \colon \mathcal{V}\text{-}\mathbf{Rel} \to \mathcal{V}\text{-}\mathbf{Rel}$ of the functor F:^a

- 1. $r \leq r' \implies \widehat{\mathsf{F}}r \leq \widehat{\mathsf{F}}r'$,
- 2. $\widehat{F}s \cdot \widehat{F}r \leq \widehat{F}(s \cdot r)$,
- 3. F $f \leq \widehat{\mathsf{F}}(f)$ and $(\mathsf{F} f)^{\circ} \leq \widehat{\mathsf{F}}(f^{\circ})$.

Then, the functor $F: \mathbf{Set} \to \mathbf{Set}$ admits a natural lifting to \mathcal{V} -**Cat**^b: the functor $\overline{F}: \mathcal{V}$ -**Cat** $\to \mathcal{V}$ -**Cat** sends a \mathcal{V} -category (X, a) to $(FX, \widehat{F}a)$.

^aGavin J. Seal. "Canonical and op-canonical lax algebras". In: *Theory and Applications of Categories* **14**.(10) (2005), pp. 221–243.

^bWalter Tholen. "Ordered topological structures". In: *Topology and its Applications* **156**.(12) (2009), pp. 2148–2157.

LAX EXTENSIONS

Remark

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 \overline{F} : \mathcal{V} -Cat $\rightarrow \mathcal{V}$ -Cat preserves initial \mathcal{V} -functors.

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Proposition

 $\overline{F}\colon \mathcal{V}\text{-}\textbf{Cat}\to \mathcal{V}\text{-}\textbf{Cat}$ preserves initial $\mathcal{V}\text{-}functors.$

Proof.

Let $f: (X, a) \to (Y, b)$ be a \mathcal{V} -functor with $a = f^{\circ} \cdot b \cdot f$. Then $\widehat{F}a = Ff^{\circ} \cdot \widehat{F}b \cdot Ff$.

The Wasserstein lifting

One possible way to construct lax extensions based on a (lax) \mathbb{T} -algebra structure $\xi \colon T\mathcal{V} \to \mathcal{V}$ is devised in^{*a*}: for every \mathcal{V} -relation $r \colon X \times Y \to \mathcal{V}$ and for all $\mathfrak{x} \in TX$ and $\mathfrak{y} \in TY$,

$$\widehat{\mathsf{T}}r(\mathfrak{x},\mathfrak{y}) = \bigvee \left\{ \xi \cdot \mathsf{T}r(\mathfrak{w}) \mid \mathfrak{w} \in \mathsf{T}(X \times Y), \mathsf{T}\pi_1(\mathfrak{w}) = \mathfrak{x}, \mathsf{T}\pi_2(\mathfrak{w}) = \mathfrak{y} \right\}.$$

^aDirk Hofmann. "Topological theories and closed objects". In: Advances in Mathematics **215**.(2) (2007), pp. 789–824.

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Remark

The lax extension \widehat{T} preserves the involution on \mathcal{V} -**Rel**, that is, $\widehat{T}(r^{\circ}) = (\widehat{T}r)^{\circ}$ for all \mathcal{V} -relations $r: X \to Y$.

A functor $U: \mathbf{X} \to \mathbf{A}$ is called topological whenever each cone $\mathcal{C} = (\mathbf{A} \to \mathbf{U}X_i)_{i \in I}$ in \mathbf{A} admits a U-initial lifting. In this case we say that X is topological over \mathbf{A} .

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Example

• The category **Top** is topological over **Set**.

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- The category **Top** is topological over **Set**.
- For every quantale $\mathcal V$, the categories $\mathcal V\text{-Cat}$ and $\mathcal V\text{-Cat}_{\rm sym}$ are topological over Set.

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Example

- The category **Top** is topological over **Set**.
- For every quantale $\mathcal V,$ the categories $\mathcal V\text{-}\textbf{Cat}$ and $\mathcal V\text{-}\textbf{Cat}_{\rm sym}$ are topological over Set.

Proposition

If **X** is a topological over a category **A**, then **X** has limits of shape **I** if and only if **A** has limits of shape **I**.

How to construct strict liftings?

Notation

For a functor $F: \mathbf{A} \to \mathbf{A}$ and \mathbf{A} -morphisms $\psi: \mathbf{A} \to \widetilde{\mathbf{A}}$ and $\sigma: F\widetilde{\mathbf{A}} \to \widetilde{\mathbf{A}}$, let $\psi^{\Diamond}: F\mathbf{A} \to \widetilde{\mathbf{A}}$ denote the composite $F\mathbf{A} \xrightarrow{F\psi} F\widetilde{\mathbf{A}} \xrightarrow{\sigma} \widetilde{\mathbf{A}}$.

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The Kantorovich lifting

Consider a category **X** equipped with a topological functor $U: \mathbf{X} \to \mathbf{A}$, and an **X**-object \widetilde{X} whose underlying set $U\widetilde{X}$ carries the structure $\sigma: FU\widetilde{X} \to U\widetilde{X}$ of a F-algebra. Then $(\psi^{\Diamond}: FUX \to U\widetilde{X})_{\psi \in \mathbf{X}(X,\widetilde{X})}$ is a U-structured cone, and we define $\overline{F}X$ to be the domain of the initial lift of this cone.

KANTOROVICH LIFTING

Theorem

- 1. The construction of the previous slide defines a strict lifting $\overline{F} : X \to X$ of the functor $F : A \to A$.
- 2. For every $\psi : X \to \widetilde{X}$ in X, ψ^{\Diamond} is an X-morphism $\psi^{\Diamond} : \overline{F}X \to \widetilde{X}$. In particular, $\sigma = \mathbf{1}_{\widetilde{X}}^{\Diamond}$ is an X-morphism $\sigma : \overline{F}\widetilde{X} \to \widetilde{X}$.
- 3. If \widetilde{X} is injective with respect to initial morphisms, then $\overline{F} : X \to X$ preserves initial morphism.
- 4. Let $\alpha \colon F \Rightarrow G$ be a natural transformation such that $\sigma_G \cdot \alpha_{\widetilde{X}} = \sigma_F$. Then α lifts to a natural transformation between the corresponding **X**-functors.
- 5. If F = T is part of a monad $\mathbb{T} = (T, m, e)$ on **A** and $\sigma : T|\widetilde{X}| \to |\widetilde{X}|$ is a \mathbb{T} -algebra, then \mathbb{T} lifts naturally to a monad $\overline{\mathbb{T}} = (\overline{T}, m, e)$ on **X**.

Consider the following commutative diagram of functors.



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 If F has a fix-point, then so has F. Hence, if F does not have a fix-point, then neither does F.

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- If F has a fix-point, then so has F. Hence, if F does not have a fix-point, then neither does F.
- 2. If $U: \mathbf{X} \to \mathbf{A}$ is topological, then so is $U: \operatorname{CoAlg}(\overline{F}) \to \operatorname{CoAlg}(F)$.

Consider the following commutative diagram of functors.



- If F has a fix-point, then so has F. Hence, if F does not have a fix-point, then neither does F.
- If U: X → A is topological, then so is U: CoAlg(F) → CoAlg(F).
 In particular, the category CoAlg(F) has limits of shape I if and only if CoAlg(F) has limits of shape I.

HAUSDORFF COALGEBRAS

Previous work

Dirk Hofmann, Renato Neves, and Pedro Nora. "Limits in categories of Vietoris coalgebras". In: *Mathematical Structures in Computer Science* **29**.(4) (2019), pp. 552–587

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Definition

We call a functor Kripke polynomial whenever it belongs to the smallest class of endofunctors on **Set** that contains the identity functor, all constant functors and is closed under composition with a powerset functor, products and sums of functors.

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Remark

The class of Kripke polynomial functors is well-behaved in regard to the existence of limits in their respective categories of coalgebras — if the powerset functor is submitted to certain cardinality restrictions.

Recall

- The terminal coalgebra for F: $\mathbf{C}
ightarrow \mathbf{C}$ is a fix-point of F. a

^aJoachim Lambek. "A fixpoint theorem for complete categories". In: *Mathematische Zeitschrift* **103**.(2) (1968), pp. 151–161.

- The terminal coalgebra for $F\colon \boldsymbol{C}\to \boldsymbol{C}$ is a fix-point of F.
- The power-set functor P: Set \rightarrow Set does not have a fix-point; hence P does not admit a terminal coalgebra. ^{*a*}

^aGeorg Cantor. "Über eine elementare Frage der Mannigfaltigkeitslehre". In: Jahresbericht der Deutschen Mathematiker-Vereinigung 1 (1891), pp. 75–78.

- The terminal coalgebra for $F\colon \boldsymbol{C}\to \boldsymbol{C}$ is a fix-point of F.
- The power-set functor $\mathsf{P}\colon \textbf{Set}\to \textbf{Set}$ does not have a fix-point; hence P does not admit a terminal coalgebra.
- The finite power-set functor $\mathsf{P}_{_{\mathrm{fin}}}\colon \textbf{Set}\to \textbf{Set}$ admits a terminal coalgebra (for instance, because $\mathsf{P}_{_{\mathrm{fin}}}$ is finitary). a

^aMichael Barr. "Terminal coalgebras in well-founded set theory". In: *Theoretical Computer Science* **114**.(2) (1993), pp. 299–315.

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- Somehow more general: the Vietoris functor V: CompHaus \rightarrow CompHaus admits a terminal coalgebra^a

^aHere: V preserves codirected limits. This result appears as an exercise in Ryszard Engelking. *General topology.* 2nd ed. Vol. 6. Sigma Series in Pure Mathematics. Berlin: Heldermann Verlag, 1989. Viii + 529.

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 $V\colon \textbf{PosComp} \to \textbf{PosComp} \textbf{)}.^{ab}$

^aLeopoldo Nachbin. *Topologia e Ordem*. University of Chicago Press, 1950.

^bDirk Hofmann, Renato Neves, and Pedro Nora. "Limits in categories of Vietoris coalgebras". In: Mathematical Structures in Computer Science **20** (1) (2010), pp. 552-587.

- The terminal coalgebra for $F\colon \boldsymbol{C}\to \boldsymbol{C}$ is a fix-point of F.
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- The finite power-set functor $\mathsf{P}_{_{\mathrm{fin}}}\colon \textbf{Set}\to \textbf{Set}$ admits a terminal coalgebra (for instance, because $\mathsf{P}_{_{\mathrm{fin}}}$ is finitary).
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A natural candidate: the Hausdorff monad on $\mathcal V\text{-}\text{Cat}$

Definition

Let $f \colon (X, a) \to (Y, b)$ be a \mathcal{V} -functor.

1. For every $A \subseteq X$, put $\uparrow^a A = \{y \in X \mid k \leq \bigvee_{x \in A} a(x, y)\}$.
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- 2. We call a subset $A \subseteq X$ of (X, a) increasing whenever $A = \uparrow^a A$.
- 3. We consider the \mathcal{V} -category $HX = \{A \subseteq X \mid A \text{ is increasing}\}$, equipped with $Ha(A, B) = \bigwedge_{y \in B} \bigvee_{x \in A} a(x, y)$, for all $A, B \in HX$.

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- 4. The map $Hf: H(X, a) \longrightarrow H(Y, b)$ sends an increasing subset $A \subseteq X$ to $\uparrow^b f(A)$.
- 5. The functor H is part of a Kock–Zöberlein monad $\mathbb{H} = (H, w, h)$ on \mathcal{V} -**Cat**.

$$\begin{split} & \widetilde{u}_X \colon X \longrightarrow \mathsf{H}X, & w_X \colon \mathsf{H}\mathsf{H}X \longrightarrow \mathsf{H}X. \\ & x \longmapsto \uparrow x & \mathcal{A} \longmapsto \bigcup \mathcal{A} \end{split}$$

Let X be a partially ordered set. Then there is no embedding $\varphi \colon {\sf Up}(X) o X$. ^a

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Remark

The category CoAlg(Up) has equalisers.

Let \mathcal{V} be a non-trivial quantale and (X, a) be a \mathcal{V} -category. There is no embedding of type $H(X, a) \to (X, a)$.

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Let \mathcal{V} be a non-trivial quantale. The Hausdorff functor $H: \mathcal{V}$ -**Cat** $\rightarrow \mathcal{V}$ -**Cat** does not admit a terminal coalgebra, neither does any possible restriction to a full subcategory of \mathcal{V} -**Cat**.

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Remark

In particular, the (non-symmetric) Hausdorff functor on **Met** does not admit a terminal coalgebra, and the same applies to its restriction to the full subcategory of compact metric spaces.

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In particular, the (non-symmetric) Hausdorff functor on **Met** does not admit a terminal coalgebra, and the same applies to its restriction to the full subcategory of compact metric spaces. Passing to the symmetric version of the Hausdorff functor does not remedy the situation.

ADDING TOPOLOGY

We assume that $\ensuremath{\mathcal{V}}$ is a completely distributive quantale, then

$$\xi \colon \mathsf{U}\mathcal{V} \longrightarrow \mathcal{V}, \quad \mathfrak{v} \longmapsto \bigwedge_{\mathsf{A} \in \mathfrak{v}} \bigvee \mathsf{A}$$

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Here:

$$Ua(\mathfrak{x},\mathfrak{y}) = \bigwedge_{A,B} \bigvee_{x,y} a(x,y), \qquad (X,a) \longmapsto (UX,Ua).$$

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Its algebras are \mathcal{V} -categories equipped with a *compatible* compact Hausdorff topology ^{*ab*}; we call them \mathcal{V} -categorical compact Hausdorff spaces, and denote the corresponding Eilenberg–Moore category by \mathcal{V} -**CatCH**.

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Theorem

For an ordered set (X, \leq) and a \mathbb{U} -algebra (X, α) , the following are equivalent. (i) $\alpha : (UX, U \leq) \rightarrow (X, \leq)$ is monotone.

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(ii) $G_{\leq} \subseteq X \times X$ is closed.

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Theorem

For a V-category (X, a) and a \mathbb{U} -algebra (X, α), the following are equivalent.

(i) $\alpha : U(X, a) \rightarrow (X, a)$ is a \mathcal{V} -functor.

(ii) $a: (X, \alpha) \times (X, \alpha) \rightarrow (\mathcal{V}, \xi_{\leq})$ is continuous.

TOWARDS "URYSOHN"

Lemma

Let (X, a, α) be a \mathcal{V} -categorical compact Hausdorff space and $A, B \subseteq X$ so that $A \cap B = \emptyset$, A is increasing and compact in $(X, \alpha_{\leq})^{\mathrm{op}}$ and B is compact in (X, α_{\leq}) . Then there exists some $u \ll k$ so that, for all $x \in A$ and $y \in B$, $u \not\leq a(x, y)$.

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Corollary

For every compact subset $A \subseteq X$ of $(X, \alpha_{\leq})^{\text{op}}$, $\uparrow^{a} A = \uparrow^{\leq} A$. In particular, for every closed subset $A \subseteq X$ of (X, α) , $\uparrow^{a} A = \uparrow^{\leq} A$.

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Theorem (Nachbin)

Let $A \subseteq X$ be closed and decreasing and $B \subseteq X$ be closed and increasing with $A \cap B = \emptyset$. Then there exist $V \subseteq X$ open and co-increasing and $W \subseteq X$ open and co-decreasing with

$$A \subseteq V$$
, $B \subseteq W$, $V \cap W = \emptyset$.

Definition

For a \mathcal{V} -categorical compact Hausdorff space $X = (X, a, \alpha)$, we put

 $HX = \{A \subseteq X \mid A \text{ is closed and increasing}\}$

with the restriction of the Hausdorff structure to HX and the hit-and-miss topology (Vietoris topology). That is, the topology generated by the sets

 $V^{\Diamond} = \{A \in HX \mid A \cap V \neq \emptyset\}$ (V open, co-increasing)

and

 $W^{\Box} = \{A \in HX \mid A \subseteq W\}$ (*W* open, co-decreasing).

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Proposition

For every \mathcal{V} -categorical compact Hausdorff space X, HX is a \mathcal{V} -categorical compact Hausdorff space.

Compare with:

For a compact metric space, the Hausdorff metric induces the Vietoris topology.

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In fact, we obtain a Kock–Zöberlein monad.

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The Hausdorff functor on \mathcal{V} -CatCH preserves codirected initial cones with respect to the forgetful functor \mathcal{V} -CatCH \rightarrow CompHaus.

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Theorem

The Hausdorff functor $H: \mathcal{V}\text{-}CatCH \rightarrow \mathcal{V}\text{-}CatCH$ preserves codirected limits.

For $H: \mathcal{V}$ -CatCH $\rightarrow \mathcal{V}$ -CatCH, the forgetful functor $CoAlg(H) \rightarrow \mathcal{V}$ -CatCH is comonadic. Moreover, \mathcal{V} -CatCH has equalisers and is therefore complete.

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Theorem

The category of coalgebras of a Hausdorff polynomial functor on \mathcal{V} -**CatCH** is (co)complete.

Definition

We call a functor Hausdorff polynomial whenever it belongs to the smallest class of endofunctors on \mathcal{V} -**Cat** that contains the identity functor, all constant functors and is closed under composition with H, products and sums of functors.