

# TOWARDS CONSTRUCTIVE HYBRID SEMANTICS



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# HYBRID PROGRAMMING: BOUNCING BALL

**Bouncing ball** is a simple Newtonian system specified by differential equation  $\dot{h} = -g$  ( $g \approx 9.8$ ) whose solution is

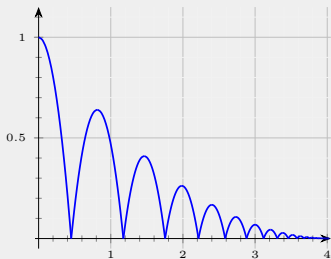
$$h(t) = h_0 + v_0 t - \frac{gt^2}{2}$$

with initial values:

- $v_0 = 0, h_0 \neq 0$  (peak height)
- $h_0 = 0, v_0 \neq 0$  (zero height)

## Features:

- deterministic
  - hybrid: the velocity changes **discretely** at the bottom  $v \mapsto -cv$ , but it changes **continuously** in the meanwhile
  - **progressive**: every iteration consumes non-zero time
  - **Zeno behaviour**: the **state of rest** is only reachable in the limit
- damping factor



Bouncing ball can be formalized in idealized language **HybCore**<sup>1</sup>:

$$\begin{array}{l}
 x := \llbracket (1, 0) \rrbracket \text{ while true} \\
 \{ \\
 \quad (h, v) := (x := t. \text{ball}(x, t) \ \& \ \text{fst } x \geq 0); \\
 \quad \llbracket (h, -cv) \rrbracket \\
 \}
 \end{array}$$

Here,  $\text{ball}(a, b, t)$  is the solution of the **initial value problem**  $\{\dot{h} = v, \dot{v} = -g, h(0) = a, v(0) = b\}$

The critical element of the semantics is **Elgot iteration**:  $(f: X \rightarrow T(Y \uplus X)) \mapsto (f^\dagger: X \rightarrow TY)$  for a suitable **monad**  $T$

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<sup>1</sup>Sergey Goncharov and Renato Neves, An adequate while-language for hybrid computation (PPDP 2019)

# CONTEXT AND MOTIVATION

We investigate hybrid semantics and its generalizations from the **categorical** and **type-theoretic** perspectives

Relevant preceding work:

- Modelling partiality in intentional type theory under **countable choice**: James Chapman, Tarmo Uustalu, and Niccolò Veltri. *Quotienting the delay monad by weak bisimilarity (ICTAC 2015)*
- Modelling partiality as a **quotient inductive-inductive type**: Thorsten Altenkirch, Nils Danielsson, and Nicolai Kraus. *Partiality, revisited (FOSSACS 2017)*

Here we generalize by rendering **hybridness** as **partiality** extended over time

# IMPLEMENTATION

Our main construction is implemented as a quotient inductive-inductive type in **cubical Agda**<sup>2</sup> and is available under:

`https://github.com/sergey-goncharov/hybrid-agda`

Many insights were borrowed from the preceding implementation of the partialiy monad by Danielsson (<http://www.cse.chalmers.se/~nad/listings/partiality-monad>)

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<sup>2</sup>Andrea Vezzosi, Anders Mörtberg, and Andreas Abel. Cubical Agda: A dependently typed programming language with univalence and higher inductive types (ICFP 2019)

# OUTLINE

Hybrid semantics, non-constructively

Categorical abstraction

Characterizing classical semantics

Some details of Agda formalization

Conclusions

# **HYBRID SYSTEMS, NON-CONSTRUCTIVELY**

# MONADS

## Definition (Monad)

A **monad**  $T$  (on **Set**) is given by a **Kleisli triple**  $(T, \eta, -^*)$  where

- $T$  sends sets to sets
- $\eta$  is a family of morphisms  $\eta_X: X \rightarrow TX$ , forming **monad unit**
- $(-)^*$  assigns to each  $f: X \rightarrow TY$  a morphism  $f^*: TX \rightarrow TY$

satisfying the laws:  $\eta^* = \text{id}$ ,  $f^* \eta = f$ ,  $(f^* g)^* = f^* g^*$

This entails that  $f: X \rightarrow TY$  and  $g: Y \rightarrow TZ$  (regarded as programs) can be **Kleisli composed** to  $g^* f: X \rightarrow TZ$

## Definition (Elgot Monad)

$T$  with an **iteration operator**  $(-)^{\dagger}: (X \rightarrow T(Y \uplus X)) \rightarrow (X \rightarrow TY)$ , satisfying **axioms of iteration** (omitted) is called **Elgot**



# DURATION SEMANTICS AND EVOLUTION SEMANTICS

We distinguish

- **Duration semantics:**  $TX = \mathbb{R}_+ \times X \cup \bar{\mathbb{R}}_+$  – a computation either converges in finite time with a value in  $X$ , or diverges in possibly infinite time ( $\bar{\mathbb{R}}_+ = \mathbb{R}_+ \cup \{\infty\}$ )
- **Evolution semantics:**  $TX = S^{[0, \mathbb{R}_+)} \times X \cup S^{[0, \bar{\mathbb{R}}_+)}$  where  $S^{[0, \mathbb{R}_+)} = \sum_{d: \mathbb{R}_+} [0, d) \rightarrow S$  is the space of **finite trajectories** and  $S^{[0, \bar{\mathbb{R}}_+)} = \sum_{d: \bar{\mathbb{R}}_+} [0, d) \rightarrow S$  is the space of **possibly infinite trajectories** over  $S$

**Idea for Abstraction:** Vault to general monoids instead of concrete  $\mathbb{R}_+, S^{[0, \mathbb{R}_+)}$

# GENERALIZED WRITER MONAD

Fix a (not necessarily commutative) monoid  $(\mathbb{M}, +, \mathbf{o})$

A **monoid  $\mathbb{M}$ -module** is a set  $\mathbb{E}$  equipped with a map  $\triangleright : \mathbb{M} \times \mathbb{E} \rightarrow \mathbb{E}$ , subject to the laws

$$\mathbf{o} \triangleright e = e \qquad (m + n) \triangleright e = m \triangleright (n \triangleright e)$$

Every monoid-module pair  $(\mathbb{M}, \mathbb{E})$  induces the **generalized writer monad**:  $T = \mathbb{M} \times (-) \cup \mathbb{E}$

For example, with  $\mathbb{M} = \mathbb{E} = 1$  we obtain the **maybe monad**  $(-) \cup \{\perp\}$ , which is incidentally an Elgot monad

**Problem:** Elgotness relies on the law of excluded middle!

# CATEGORICAL CONSTRUCTION

# FREE OBJECTS

We extend previous construction of **partiality monad** ( $\mathbb{M} = 1$ )<sup>3</sup>

Recall the concept of **free object**: Given a functor  $U: \mathbf{C} \rightarrow \mathbf{Set}$ , an object  $FX$  is **free** on  $X$  if there is  $(\eta_X: X \rightarrow UFX)_X$  and for every  $f: X \rightarrow UY$  there is unique  $f^*: FX \rightarrow Y$  such that

$$\begin{array}{ccc} UFX & \xrightarrow{\quad Uf^* \quad} & UY \\ \eta_X \uparrow & \nearrow f & \\ X & & \end{array}$$

Standard facts:

- All free objects  $FX$  exist iff  $F$  is a left adjoint to  $U$
- If all free objects exist then  $(UF, \eta, (-)^*)$  constitutes a monad

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<sup>3</sup>Thorsten Altenkirch, Nils Danielsson, and Nicolai Kraus. Partiality, revisited – the partiality monad as a quotient inductive-inductive type

# ORDERED MONOIDS, COMPLETE MODULES

We assume  $\mathbb{M}$  to be ordered with  $0$  being the bottom and right monotone  $+$

**Examples:**  $1, \mathbb{N}, \mathbb{Q}_+, \mathbb{R}_+, S^{[0, \mathbb{R}_+)}, S^*$  (for last two  $+$  is neither commutative, nor left monotone)

## Definition (Complete $\mathbb{M}$ -Modules)

An **ordered  $\mathbb{M}$ -module** is additionally equipped with a partial order  $\sqsubseteq$  and  $\perp$ , such that

$$\frac{}{\perp \sqsubseteq x} \quad \frac{x \sqsubseteq y}{a \triangleright x \sqsubseteq a \triangleright y} \quad \frac{a \leq b}{a \triangleright \perp \sqsubseteq b \triangleright \perp}$$

An ordered  $\mathbb{M}$ -module is **complete** if for any directed  $(s_i)_i$  on  $\mathbb{E}$  there is a least upper bound  $\bigsqcup_i s_i$  and

$$\bigsqcup_i a \triangleright s_i \sqsubseteq a \triangleright \bigsqcup_i s_i$$

# GENERALIZED DURATION MONAD

## Theorem

Let  $\mathbf{Alg}_{\tilde{L}}$  be the category of complete  $\mathbb{M}$ -modules and  $U: \mathbf{Alg}_{\tilde{L}} \rightarrow \mathbf{Set}$  be the obvious forgetful functor

1. All free objects w.r.t.  $U$  exist, yielding a monad  $\tilde{L}$
2.  $\tilde{L}$  is enriched over directed complete partial orders, and moreover, Kleisli composition is strict on both sides
3.  $\tilde{L}$  is an Elgot monad with the iteration operator  $(f: X \rightarrow \tilde{L}(Y \uplus X))^{\dagger}$  calculated as a least fixed point of the map  $[\eta, -]^* f: (X \rightarrow \tilde{L}Y) \rightarrow (X \rightarrow \tilde{L}Y)$

This is true both classically and constructively, thanks to **quotient inductive-inductive types**<sup>4</sup>

<sup>4</sup>Thorsten Altenkirch, Paolo Capriotti, Gabe Dijkstra, Nicolai Kraus, and Fredrik Nordvall Forsberg. Quotient inductive-inductive types.

# $\tilde{L}$ VIA DIRECTED COMPLETION

Let  $\mathbb{M}_X = \mathbb{M} \times (X \uplus \{\perp\})$ , and define  $\triangleright_X, \sqsubseteq_X$  with rules:

$$\overline{a \triangleright_X (b, p) = (a + b, p)} \quad \overline{(a, \text{inl } p) \sqsubseteq_X (a, \text{inl } p)} \quad \overline{a \leq b \quad (a, \text{inr } \perp) \sqsubseteq_X (b, p)}$$

## Lemma

*For any set  $X$ ,  $(\mathbb{M}_X, \triangleright_X, (\mathbf{0}, \text{inr } \perp), \sqsubseteq_X)$  is an ordered  $\mathbb{M}$ -module.*

Intuitively, we are interested in limits of directed sequences over  $\mathbb{M}_X$ , e.g.

• **convergent:**  $(1, \text{inr } \perp) \sqsubseteq_X \dots \sqsubseteq_X (n, \text{inr } \perp) \sqsubseteq_X (n + 1, \text{inl } \mathbf{0})$

• **divergent:**  $(1, \text{inr } \perp) \sqsubseteq_X \dots \sqsubseteq_X (1, \text{inr } \perp) \sqsubseteq_X \dots$

• **Zeno:**  $(1/2, \text{inr } \perp) \sqsubseteq_X \dots \sqsubseteq_X (n/(n + 1), \text{inr } \perp) \sqsubseteq_X \dots$



# DIRECTED COMPLETION

- $(s_i)_i \lesssim_X (t_i)_i$  if  $\forall i: \mathbb{N}. \exists j: \mathbb{N}. s_i \sqsubseteq_X t_j$
- $s \sim_X t$  if  $s \lesssim_X t$  and  $t \lesssim_X s$
- $\tilde{\mathbb{M}}_X$  is the quotient under  $\sim_X$  of the set of directed sequences over  $\mathbb{M}_X$  and write  $[s_i]_i$  instead of  $[(s_i)_i]_{\sim}$

## Theorem (Only Classically!)

$(\tilde{\mathbb{M}}_X, \triangleright_X, \perp_X, \lesssim_X, \tilde{\bigvee}_X)$  is a free complete  $\mathbb{M}$ -module on  $X$  with

$$[s]_{\sim} \lesssim_X [t]_{\sim} \text{ if } s \lesssim_X t \quad a \triangleright_X [s_i]_i = [a \triangleright_X s_i]_i$$

$$\perp_X = [(0, \text{inr } \perp)]_i \quad \tilde{\bigvee}_X [s_{i,j}]_j = [s_{\pi_1^{-1}(i), \pi_2^{-1}(i)}]_i$$

where  $\pi(x, y) = \frac{1}{2}(x + y)(x + y + 1) + x$

Cantor pairing function

# DURATION AND GENERALIZED WRITER

Let us write  $\tilde{M}_\emptyset$  as  $\tilde{M}$

## Theorem (Only Classically!)

- $\tilde{L}X$  and  $\tilde{M}_X$  are isomorphic as complete  $M$ -modules
- $\tilde{L}X \cong M \times X \cup \tilde{M}$  – generalized writer monad over  $(M, \tilde{M})$

## Examples:

- For  $M = 1$ ,  $\tilde{L}X = X \cup \{\perp\}$
- For  $M = \mathbb{N}$ ,  $\tilde{L}X = \mathbb{N} \times X \cup \bar{\mathbb{N}}$
- **But** for  $M = \mathbb{R}_+$ ,  $\tilde{L}X = \mathbb{R}_+ \times X \cup \tilde{\mathbb{R}}_+$  where  $\tilde{\mathbb{R}}_+ \cong \mathbb{R}_+ \uplus (\mathbb{R}_+ \setminus \{0\}) \uplus \{\infty\} \cong \bar{\mathbb{R}}_+ \uplus (\mathbb{R}_+ \setminus \{0\})$ , because of **Zeno behaviour!**

# CONSERVATIVELY COMPLETE MODULES

A **conservatively complete**  $\mathbb{M}$ -module additionally satisfies

$$\bigsqcup_i a_i \triangleright \perp = \left( \bigvee_i a_i \right) \triangleright \perp$$

whenever  $\bigvee_i a_i$  exists

- Again, we obtain an Elgot monad  $\bar{L}$  by the same argument
- There is  $\bar{M}_X$  – an analogue of  $\tilde{M}_X$
- Again,  $\bar{L}X \cong \mathbb{M} \times X \cup \bar{M}$
- $\overline{\mathbb{R}_+} = \mathbb{R}_+ \cup \{\infty\}$ ,  $\overline{S^{[0, \mathbb{R}_+)}} \cong S^{[0, \overline{\mathbb{R}_+)}$ , etc

Analogously, we introduce **free conservatively complete**  $\mathbb{M}$ -modules  $\bar{L}X$  on  $X$ , and obtain an Elgot monad  $\bar{L}$

# MLTT AND HoTT

# FORMULAS AS TYPES

In **Martin-Löf Type Theory**:

Formula	Type
$\top$	$1$
$\perp$	$0$
$A \wedge B$	$A \times B$
$A \vee B$	$A \oplus B$
$\forall x \rightarrow A(x)$	$\prod_{x: I} A(x)$
$\exists [x] A(x)$	$\sum_{x: I} A(x)$

Some examples:

⚙️  $A$  is a **(mere) proposition**:  $\text{IsProp } A = \forall (x\ y : A) \rightarrow x \equiv y$

⚙️  $A$  is a **set**:  $\text{IsSet } A = \forall (x\ y : A) \rightarrow \text{IsProp } (x \equiv y)$

⚙️  $A$  is **decidable**:  $\text{IsDec } A = A \vee \neg A$

# PROPOSITIONAL TRUNCATION

In HoTT and in **Cubical Agda** (which implements HoTT), we can express properly more, e.g. **propositional truncation** as a **quotient inductive type**:

```
data ||_|| (A : Set ℓ) : Set ℓ where
  |_: A → || A ||
  |||-prop : IsProp || A ||
```

**Axiom of Countable Choice:**

$$AC\omega \{ \ell \} = \forall (P : \mathbb{N} \rightarrow \text{Set } \ell) \rightarrow (\forall n \rightarrow || P n ||) \rightarrow || (\forall n \rightarrow P n) ||$$

Implementing  $\tilde{L}$  and  $\bar{L}$  requires more sophisticated quotient inductive-inductive types

# NOTIONS OF COMPLETENESS

Chains, **intensionally** and **extensionally directed** sequences:

$$\text{Inc } \sigma = \forall (n : \mathbb{N}) \rightarrow \sigma n \leq \sigma (\text{succ } n)$$

$$\text{Dir } \sigma = \forall (n m : \mathbb{N}) \rightarrow \exists [k] (\sigma n \leq \sigma k \wedge \sigma m \leq \sigma k)$$

$$\|\text{Dir}\| \sigma = \forall (n m : \mathbb{N}) \rightarrow \|\exists [k] (\sigma n \leq \sigma k \wedge \sigma m \leq \sigma k)\|$$

## Theorem

Let (a), (b) and (c) stand for completeness of a fixed set  $A$  w.r.t.  $\|\text{Dir}\|$ ,  $\text{Dir}$  and  $\text{Inc}$  correspondingly. Then

- ❗ (a)  $\Rightarrow$  (b)  $\Rightarrow$  (c)
- ❗ (b)  $\Rightarrow$  (a) under countable choice
- ❗ (c)  $\Rightarrow$  (a) under the decidability of  $\leq$  on  $A$  (i.e. under  $\forall (x y : A) \rightarrow \text{IsDec } (x \leq y)$ )

Our  $\tilde{L}$  and  $\bar{L}$  are based on intensional completeness

# FURTHER WORK



## FURTHER WORK

- ❁ Implement the concept of free objects in cubical Agda
- ❁ Is it possible to rebase  $\tilde{L}$  and  $\bar{L}$  on extensional completeness (currently based on intensional completeness)? Which approach would be the right one if both are possible?
- ❁ Implement classical characterizations of  $\tilde{L}$  and  $\bar{L}$  in cubical Agda (possible under countable choice?)
- ❁ Further flavours of hybrid semantics, e.g. non-deterministic